Finance Committee Meeting - Open Session

University of California College of the Law, San Francisco
333 Golden Gate, San Francisco, CA 94102, 501 Deb Colloquium Rm.
2026-11-19 10:15 - 12:00 PST

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Finance Committee Meeting Minutes

University of California College of the Law, San Francisco
August 20, 2026

University of California College of the Law, San Francisco, Alumni Reception Center, Mary Kay Kane Hall, 200 McAllister St., San Francisco, CA 94102. Participants and members of the public were also able to join the open session via the web link or dial-in numbers listed in the public notice of this meeting linked here: https://www.uclawsf.edu/our-story/board-of-directors/board-meeting-notices-agendas-and-materials/.

1. Roll Call

   The Chair called the meeting to order at 10:15 a.m., and the Secretary called the roll.
   Directors Present
   Director Chip Robertson, Chair
   Director C. Don Clay
   Director Claes Lewenhaupt
   Director Joshua Perttula
   Director Albert Zecher, *ex officio*

   Directors Absent
   Director Andrew Giacomini

   Staff Participating:
   Chancellor & Dean David Faigman
   General Counsel and Secretary, John DiPaolo
   Director of Business Services, CPPO, CPSM Purchasing and Procurement James Ferrell
   Assistant Dean of IT & Chief Information Officer Ben Huffman
   Chief Communications Officer Bill Kisliuk
   Farella Braun + Martel LLP Partner Said Kordestani
   Director of Construction Management Flora Li
   Deputy Chief Financial Officer & Controller Sandra Plenski
   Provost & Academic Dean Morris Ratner
   Chief Financial Officer David Seward

2. Public Comment

   Chair Robertson invited public comment. No member of the public offered comments.

3. Approval of Prior Meeting Minutes
   Motion:
   The Chair called for a motion to approve the committee's May 21, 2026 minutes.

Motion moved and seconded. The motion carried.

4. 2025-26 Year-end Investment Report

Mr. Seward reported investment returns of approximately 22 to 23 percent, resulting in roughly $30 million in unrealized gains. Operating fund investments increased despite liquidation of assets to support the retirement of $14 million in Series 2017 bonds. Staff plans to present a December recommendation regarding investment diversification through the University of California Blue and Gold Fund.

5. Core Operations Budget Report - Preliminary 2025-26 Year-end

Mr. Seward reported that the College closed fiscal year 2025-26 with a balanced operating budget and a small surplus. Vacancy savings and staff turnover contributed to favorable results.

6. Action Item: Core Operations – Budget for 2026-27

Mr. Seward presented a balanced budget including a 3 percent compensation pool. The proposal would include policy-level staff in the compensation pool. Chair Robertson and Director Clay raised concerns about limiting Board flexibility and adopting University of California policies without considering the College's circumstances. Mr. Seward responded that the Board could modify the provision in future budget actions and noted that the recommendation originated from the Executive Compensation Committee

Motion:

Chair Robertson called for a motion to approve the Core Operations – Budget for 2026-27.

Motion made and seconded. Motion carried.

7. Action Item: Student Fees – JD, LLM & MLS Increases for 2027-28

Mr. Seward recommended increasing the general enrollment fee by 4 percent and non-resident tuition by 10 percent. He stated that the increases were necessary to address inflationary pressures and maintain operations and that tuition would still be below comparable institutions. Board members discussed affordability, value, and fee comparisons. Mr. Seward reported that the College would remain below the University of California average.

Motion:

Chair Robertson called for a motion to approve the Student Fees – JD, LLM & MLS Increases for 2027-28.

Motion made and seconded. Motion carried.

8. Non-State Budget Report - Preliminary 2025-26 Year-end

Mr. Seward reviewed non-state fund results, noting strong fundraising performance and unrealized investment gains.

9. Action Item: Non-State Budget for 2026-27

Motion:

Chair Robertson called for a motion to approve the Non-State Budget for 2026-27
Motion made and seconded. Motion carried.

10. Preliminary 2025-26 Year-end Auxiliary Enterprises Budget Report

Mr. Seward reported positive results from parking, Student Health Services, and Special Events and Guest Services. He highlighted growth in event activity and diversification of revenue sources.

11. Preliminary 2025-26 Year-end Academe at 198 Budget Report

Mr. Seward reported improving operating performance, increasing occupancy, and plans to strengthen long-term financial results through rent adjustments, occupancy growth, and possible refinancing. Board members discussed reducing subsidies and future debt obligations.

12. Action Item: Contracts & Grants in Excess of $100,000

The Committee reviewed contract and grant items, including the 100 McAllister Phase 2 budget release.

Motion:

Chair Robertson called for a motion to approve the contracts listed below Items 12.1 through 12.6.
Motion made and seconded. Motion carried.

12.1. 100 McAllister FF&E - Foilot Furniture

12.2. Water Damage Remediation - Restoration Management Company

12.3. 100 McAllister Custom Lighting - Phoenix Day, Inc.

12.4. HVAC Repair and Maintenance - ACCO Engineered Systems, Inc.

12.5. 198 McAllister Wireless Infrastructure - Cupertino Electric, Inc.

12.6. 100 McAllister Phase 2 Budget Release - Various

12.7. LITC (Low Income Tax Clinic) - Internal Revenue Service

13. Annual Report on Insurance Coverage – 2026-27

Mr. Ferrell reported successful renewal of the College's insurance programs and approximately $1.64 million in premiums, an increase of 8.8 percent over the prior year.

14. Core Operations – State Budget Planning for 2027-28

Mr. Seward outlined state budget priorities, including workload funding, facility upgrades, and ongoing debt-service support. Board members discussed funding risks, state advocacy strategies, and financial sensitivity analyses.

15. Academic Village - Academe at 198 - Leasing & Marketing Update

Mr. Seward reported occupancy approaching 91 percent and reviewed potential partnerships that could increase occupancy and revenue. Board members discussed operational, security, and community impacts. Mr. Seward stated that proposed agreements would return to the Committee for approval.

16. Academic Village – McAllister Tower Seismic Upgrade – Project Update

Ms. Li reported on ongoing construction progress, schedule milestones, and budget status. Mr. Seward noted that approximately 200 beds are expected to return online within 12 months and highlighted efforts to secure funding and future occupancy partnerships.

17. [This number intentionally left without content.]

18. Action Item: Wellness and Health Center Fees Transfer

Mr. Seward presented a revised proposal to transfer balances from the Student Health Insurance Stabilization Fund and Student Health Services Fund to support recreation and fitness facilities. The Wellness Activities Fund was removed pending student consultation.

Motion:

Chair Robertson called for a motion to approve the revised resolution.
Motion made and seconded. Motion carried.

19. Action Item: Delegation of Contract Signature Authority

Mr. Seward and Ms. Plenski proposed delegation of limited signature authority to designated positions. Director Clay recommended tying authority to positions and thresholds rather than individuals. Staff agreed to provide a summary table.

Motion:

Chair Robertson called for a motion to approve the proposed delegation of signature authority as follows:

- Deputy CFO and Controller: contracts up to $100,000
- Director of Business Services: purchase orders up to $100,000
- Director of Housing: Housing license agreements with residents

Motion made and seconded. Motion carried.

20. Listing of Checks and Wire Transfers over $100,000

The Committee reviewed checks and transfers exceeding $100,000.

The Committee entered closed session pursuant to Education Code Section 92032(b)(5)&(6) and Cal. Gov't Code §11126 at 11:36 a.m. Only Board members and authorized participants were permitted to attend.

The Committee returned to open session at 12:22 a.m.

The Chair reported that in closed session the Committee approved the minutes from its closed session of May 21, 2026.

21. Adjournment

The Chair adjourned the meeting at 12:23 a.m.

Respectfully submitted,

_____

John K. DiPaolo, Secretary

Joint Meeting of the Finance Committee and the Audit Subcommittee Minutes

University of California College of the Law, San Francisco
October 13, 2026

University of California College of the Law, San Francisco, Cotchett Law Center, 198 McAllister St., Room 206, San Francisco, CA 94102. Participants and members of the public were also able to join the open session via the web link or dial-in numbers listed in the public notice of this meeting linked here: https://uclawsf.edu/our-story/board-of-directors/board-meeting-notices-agendas-and-materials/.

1. Roll Call:

   The Chair of the Finance Committee called the meeting to order at 3:00 p.m., and the Secretary called the roll.

   Finance Committee Members Present
   Director Chip Robertson, Chair
   Director Andrew Giacomini
   Director Josh Perttula
   Director Albert Zecher, *Ex Officio*

   Finance Committee Members Absent
   Director C. Don Clay
   Director Claes Lewenhaupt

   Audit Subcommittee Member Present
   Director Albert Zecher

   Audit Subcommittee Member Absent
   Director Claes Lewenhaupt, Chair

   Staff Participating
   Chancellor & Dean David Faigman
   General Counsel & Secretary John DiPaolo
   Director of Financial Aid Angie Harris
   Chief Advancement Officer Julia Jordan
   Assistant Chancellor & Dean/Chief of Staff to the Chancellor & Dean Jenny Kwon
   Associate Director of Fiscal Services Zach Naqvi
   Deputy Chief Financial Officer & Controller Sandra Plenski
   Chief Financial Officer David Seward

Others Participating
Gordon Lam, Moss Adams, LLP
Jeffrey Rosichan, Treasurer, UC Law SF Foundation
Kinman Tong, Moss Adams, LLP

2. Public Comment

The Chair invited public comment. No member of the public offered comment.

3. Action Item: McAllister Tower - Project Scope Expansion - Residential Buildouts and Recreation & Athletic Center

Mr. Seward presented a proposal to increase the McAllister Tower project budget by $30.9 million to complete the residential build-out and lower-level recreation and athletic center. He stated that completing the work during the current construction phase would be significantly more cost effective than completing it after contractor demobilization and would enhance the project's long-term financial performance through additional residential units and recreation facilities. He reported that the expansion is expected to generate approximately $46 million in net historic tax credit proceeds and would require liquidation of up to $26.1 million from reserves. He also reported that all schedule and budget impacts were incorporated into the project plan, with temporary certificate of occupancy expected in December 2027 and final certificate of occupancy in January 2028.

Director Robertson asked about the financial impact of the additional residential units. Mr. Seward stated that the 38 additional units and 77 beds are expected to generate approximately $2.4 million to $2.6 million in annual net operating income upon stabilization.

Director Robertson asked about the effect of the reserve drawdown on institutional liquidity. Mr. Seward stated that the College would retain approximately $15.6 million in operating reserves, representing about two months of operating revenue, which is consistent with Government Finance Officers Association guidelines. He added that the Hastings Operating Fund Investment Pool held approximately $94.6 million in assets as of August 31, providing additional financial flexibility.

Mr. Seward presented a modified resolution authorizing the residential build-out, recreation and athletic center, and project budget increase, along with the related contract amendment, reserve liquidation, and bridge-loan adjustments

Motion:
The Chair called for a motion to approve the modified resolution.
Motion made and motion seconded. The motion carried.

4. Approval of Finance Committee/Audit Subcommittee Minutes

Motion: The Chair called for a motion to approve the minutes of the March 24, 2025 meeting.
Motion made and motion seconded. The motion carried

5. Action Item: Review of Draft 2026 Audit Report and Required Communications

Mr. Seward recognized Baker Tilly, including Mr. Tong and Mr. Lam, for their long-standing service to the College and contributions to significant financing and capital projects. Ms. Plenski also thanked Baker Tilly and recognized Mr. Naqvi for his leadership during the audit process.

Mr. Tong reported that Baker Tilly had substantially completed its work and expected to issue unmodified audit opinions for both the College and the Foundation. He stated that the financial statements were fairly presented in accordance with GAAP and reflected implementation of new GASB reporting requirements. He further reported that compliance audit procedures had been substantially completed with no findings identified, although issuance of the final federal compliance report remained pending due to delays in release of federal guidance.

Mr. Tong reported that the audit identified no material weaknesses, significant deficiencies, compliance findings, or issues affecting issuance of clean audit opinions.

Mr. Lam reported that the audit was completed as planned and reviewed implementation of GASB Statements No. 103 and No. 104, which primarily affected financial statement presentation and disclosures. He stated that all significant accounting estimates reviewed were reasonable and that accounting related to the 2026 bond issuance and tower construction expenditures was appropriate.

Mr. Lam further reported that management was responsive throughout the audit process, no material misstatements, disagreements, fraud, compliance issues, or legal violations were identified, and no matters raised concern regarding the College's ability to continue as a going concern. He concluded that the audit reflected a strong control environment and sound financial management practices.

Director Robertson commented that the audit results reflected the type of outcome expected by the Committee. Mr. Lam agreed and noted that the absence of significant findings was positive for both management and the Board.

Ms. Plenski recognized Ms. Harris and her team for successfully implementing financial aid changes and supporting enrollment efforts.

Motion:
The Chair called for a motion to accept the Fiscal Year 2026 audited financial statements and auditor's report as presented.
Motion made and motion seconded. The motion carried.

The Committee entered closed session Pursuant to Education Code Section 92032(b)(7) at 3:35 p.m. Only Board members and, for item 1, representatives of the auditor were permitted to attend.

Closed Session

1. Discussion of Management Performance as Related to the Operations of the College

   The Committee discussed management performance with Mr. Kinman and Mr. Lam.

2. Update on Chancellor & Dean Search

   The Committee received an update on the Chancellor & Dean Search from Director Zecher and Director Perttula.

The Committee returned to open session at 3:41 p.m.

6. Adjournment
   The Chair adjourned the meeting at 3:42 p.m.

Respectfully submitted,

_____

John K. DiPaolo, Secretary

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: Investment Report – As of September 30, 2026

3. REPORT:

Investment fund balances held by the University of California are displayed below:

Market Value of Funds Invested with the Regents

	As of 6/30/2024	As of 6/30/2025	As of 6/30/2026	As of 9/30/2026
UC Law SF Endowment Fund (GEP)	$57,038,235	$63,206,851	$77,575,213	$79,388,656
UC Law SF Operating Fund (GEP)	78,281,533	87,763,484	92,190,435	94,345,532
Endowed Funds Held by Regents (GEP-7)	11,241,618	12,058,497	14,352,528	13,092,928
UC Law SF Operations – STIP	323,025	7,655	1,018,658	1,044,995
Sub-total	146,884,411	163,036,487	185,136,834	187,872,111
100 McAllister Project Fund – STIP	48,910,757	55,467,207	8,094,887	8,182,927
100 McAllister Project Fund – TRIP	50,443,458	0	0	0
Sub-total	99,354,215	55,467,207	8,094,887	8,182,927
Total	$246,238,626	$218,503,694	$193,231,721	$196,055,038

Attachment:

- UC Endowment Report, Office of the Chief Investment Officer, June 30, 2026

UC Investments Way

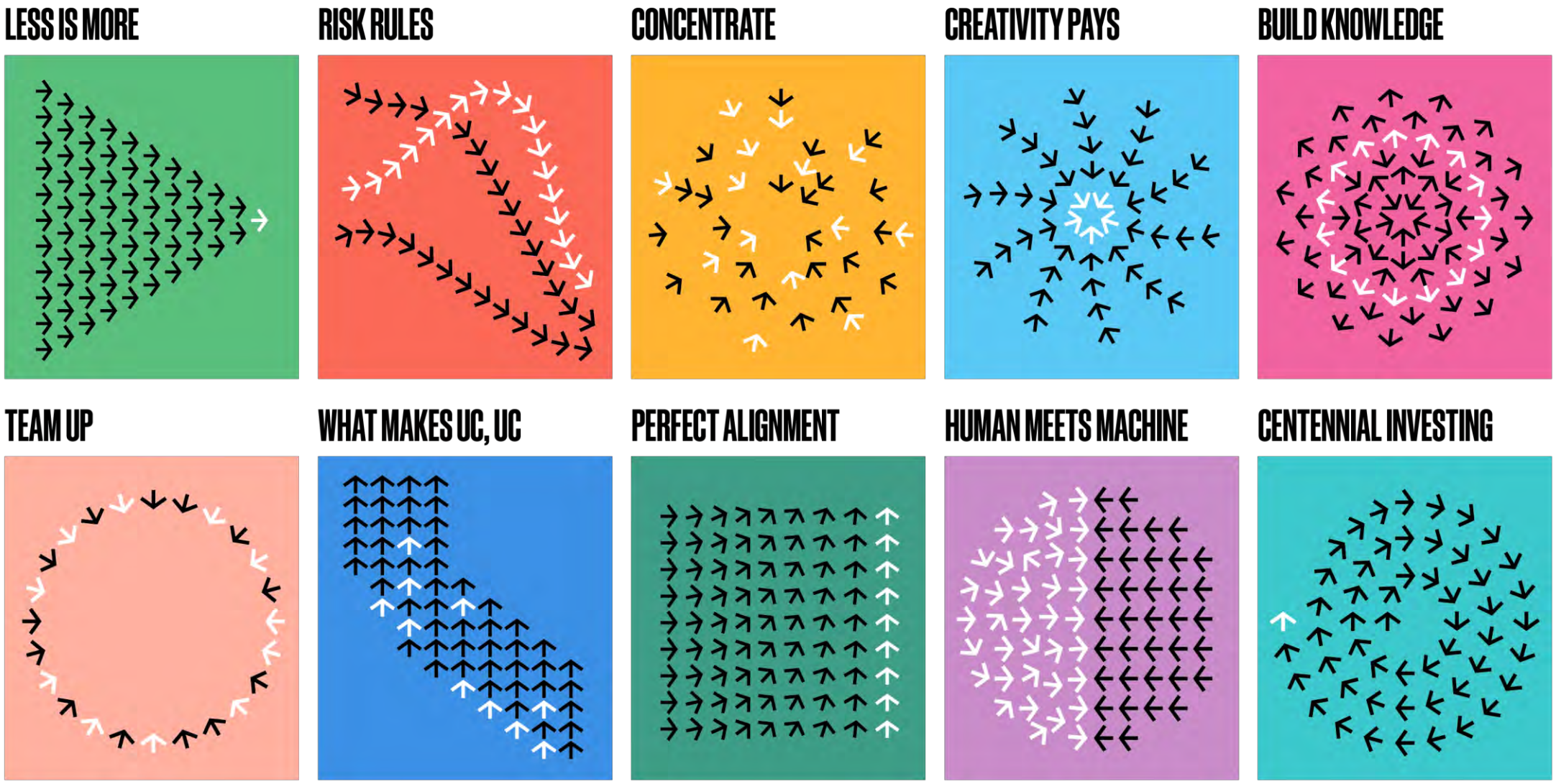
Fiscal Year 2025/2026

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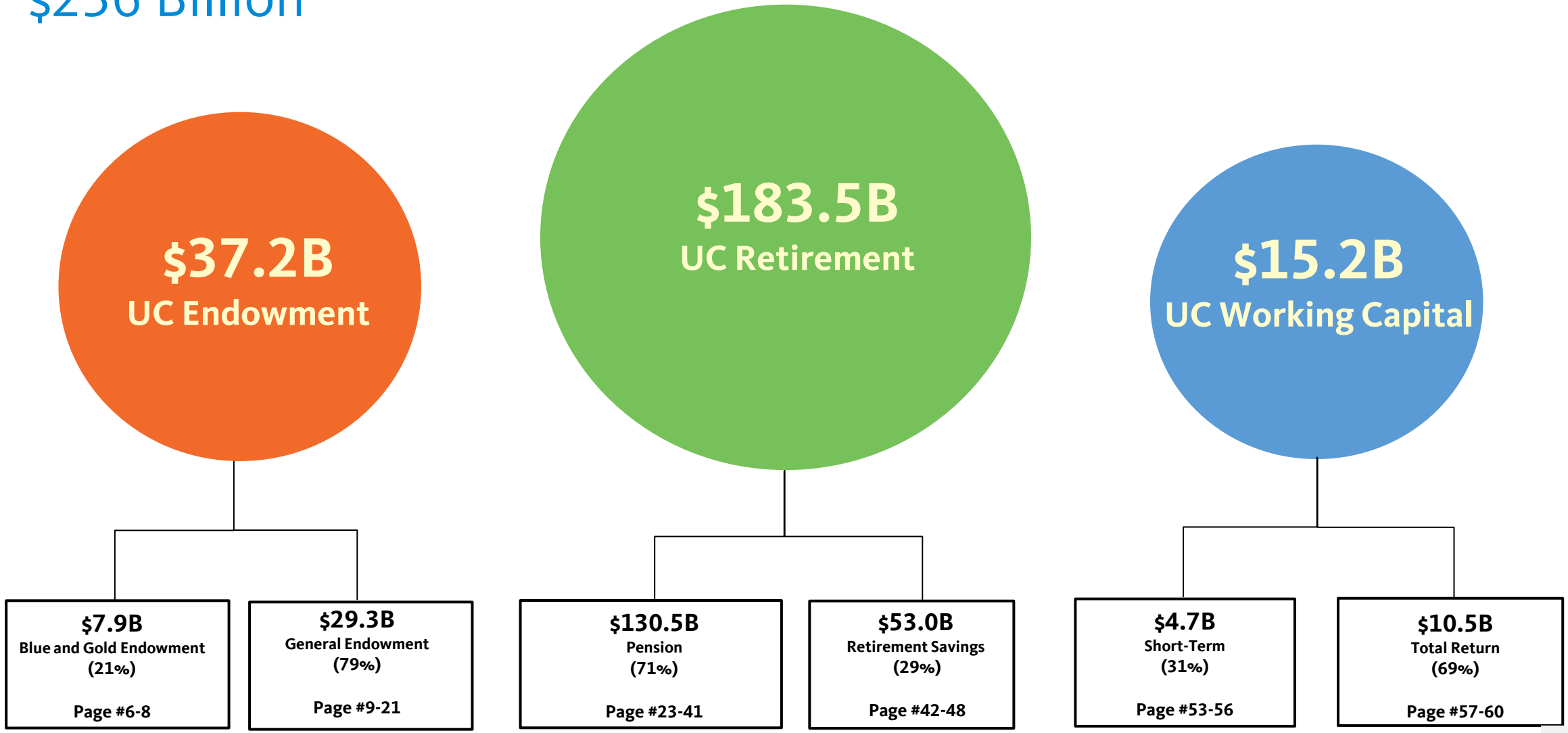
As of June 30, 2026

UNIVERSITY OF CALIFORNIA

UC Investments Way



UC Investments Assets
$236 Billion



UC Investments Way

UC Endowment

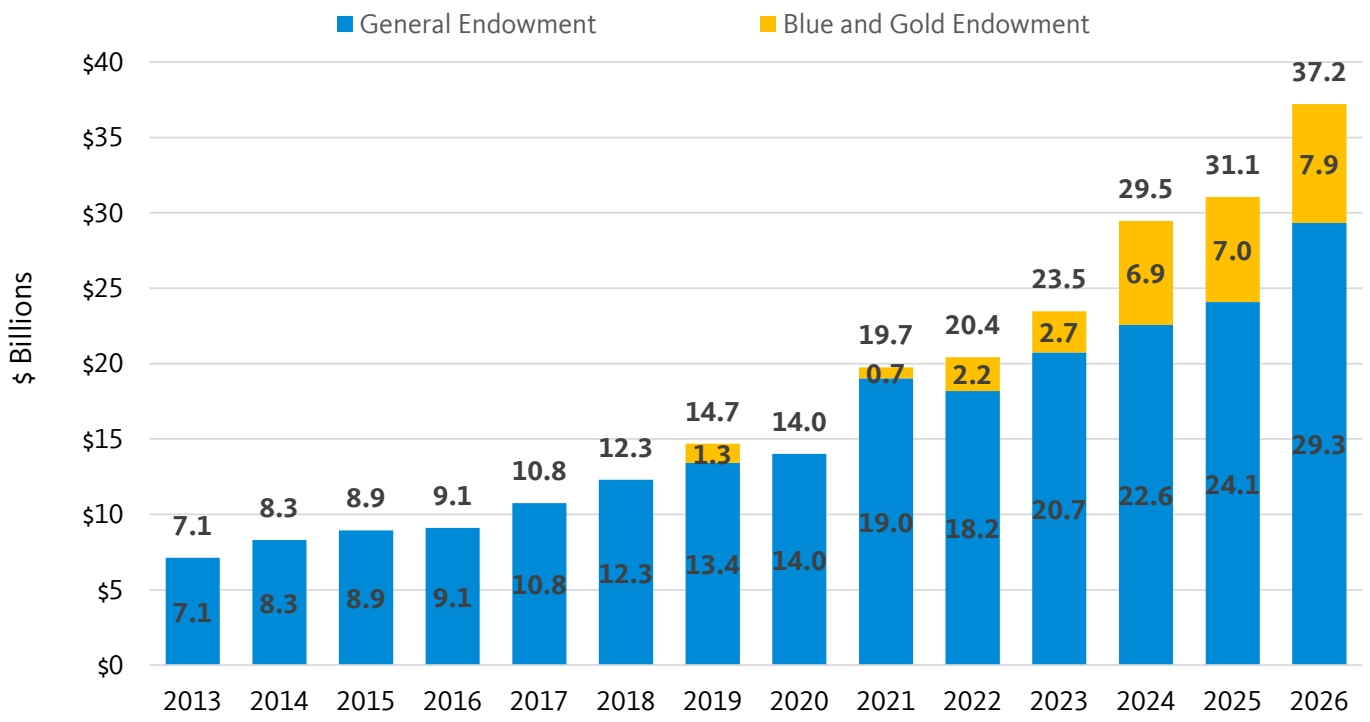
Blue and Gold Endowment

General Endowment

June 30, 2026

UC Endowment: Assets Growth

UC ENDOWMENT ASSETS 2013 – 2026



ASSET GROWTH

June 30, 2026		
Today	$37B	Δ Change
1 Year Ago	$31B	+$6B
5 Years Ago	$20B	+$17B
10 Years Ago	$9B	+$28B
12 Years Ago	$8B	+$29B
13 Years Ago	$7B	+$30B

UC Endowment: Assets

Fiscal Year To Date (12 Months)	General Endowment Market Value ($M)	Blue and Gold Endowment Market Value ($M)	UC Endowment Total Market Value ($M)
June 30, 2025	24,080	6,975	31,055
Market Gains	4,607	1,373	5,980
Value Added	762	217	979
Net Cash Flow	(110)	(691)	(801)
June 30, 2026	29,339	7,874	37,213

Blue and Gold Endowment

Provide low cost, liquid, diversified investment vehicle for long-term excess capital reserves.

1. Asset Allocation
2. Performance
3. Asset Classes
4. Risk Allocation

Blue and Gold Endowment: Asset Allocation

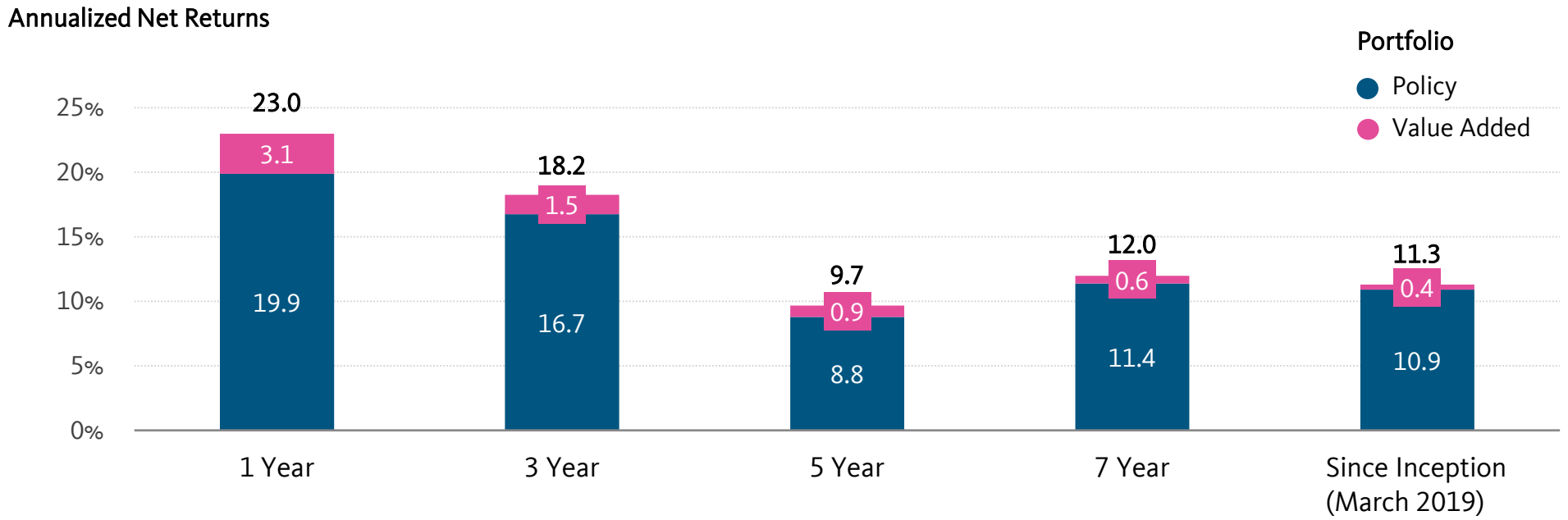
	Market Value ($M)	Weight (%)	Over/Underweight to Policy (%)	Policy (%)
Public Equity	7,082	90	10.0	80
Fixed Income	792	10	(10.0)	20
Total	**7,874**	**100%**		**100%**

Policy Benchmark

Asset Class	Policy Benchmark Component	Target	Min Allowable Range (%)	Max Allowable Range (%)
Public Equity	MSCI All Country World Index (ACWI) Investable Market Index (IMI) Tobacco and Fossil Fuel Free - Net Dividends	80	50	100
Fixed Income	Bloomberg 1-5 Year Government/Credit Index	20	0	50

Blue and Gold Endowment: Performance

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	7 Year	Since Inception (March 2019)
Blue and Gold Endowment	7,874	100	23.0	23.0	18.2	9.7	12.0	11.3
Benchmark			19.9	19.9	16.7	8.8	11.4	10.9
Value Added			3.1	3.1	1.5	0.9	0.6	0.4
Public Equity	7,082	90	24.7	24.7	20.2	10.8	13.0	12.5
Benchmark			24.3	24.3	19.8	10.4	12.7	12.1
Value Added			0.4	0.4	0.4	0.4	0.3	0.4
Fixed Income	792	10	3.1	3.1	4.8	1.8	1.0	2.7
Benchmark			3.0	3.0	4.7	1.7	1.0	2.6
Value Added			0.1	0.1	0.1	0.1	0.0	0.1



General Endowment

Maximize real, long-term total returns (income plus capital appreciation adjusted for inflation), while assuming the appropriate levels of risk.

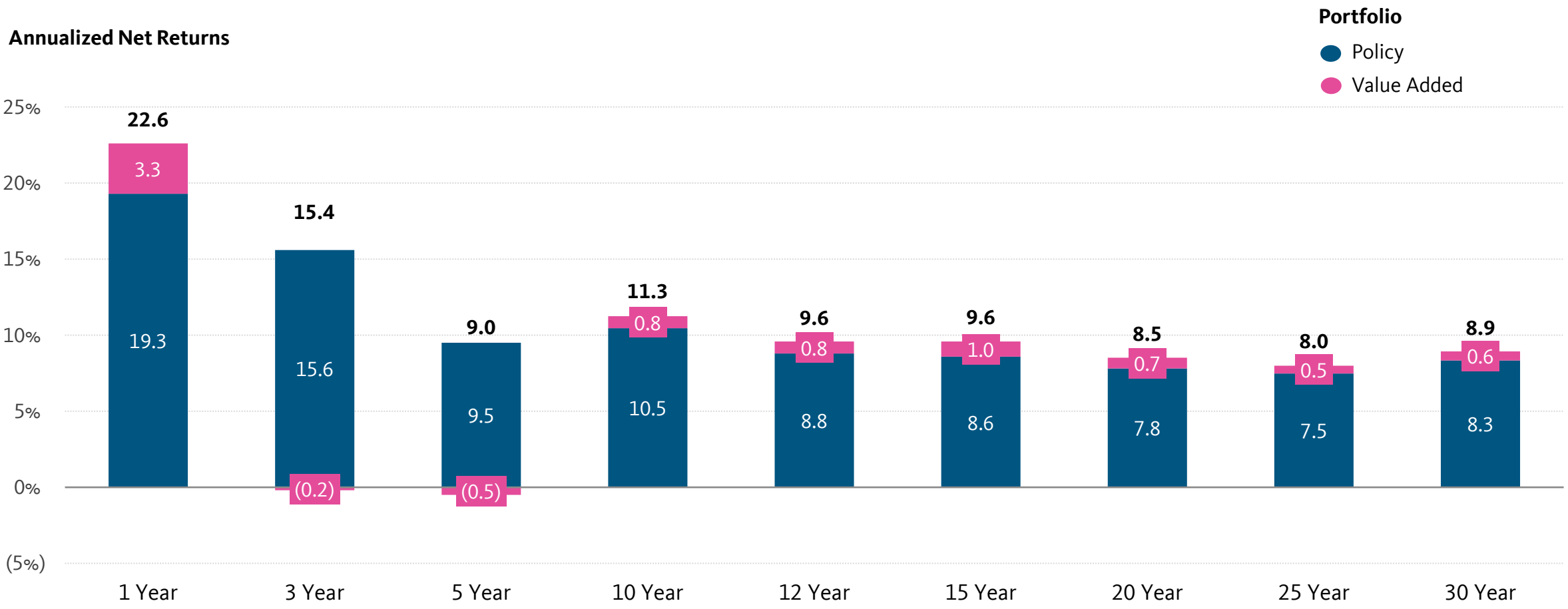
Maintain the liquidity necessary to meet the investment goals of the Endowment, which are to provide a stable and continuously growing stream of income, all while preserving the real (inflation-adjusted) purchasing power of assets.

1. Asset Allocation
2. Performance
3. Asset Classes
4. Risk Allocation

General Endowment: Asset Allocation

	Market Value ($M)	Weight (%)	Over/Underweight (%)	Policy (%)
Global Equity	**17,510**	**59.7**	**9.7**	**50**
Passive - Public Equity	9,549	32.6		
Active - Public Equity	7,961	27.1		
Fixed Income	**1,655**	**5.6**	**(2.4)**	**8**
Private Assets	**9,685**	**33.0**	**(7.0)**	**40**
Private Equity	6,224	21.2	(2.8)	24
Real Estate	2,671	9.1	1.1	8
Real Assets	489	1.7	(2.3)	4
Private Credit	301	1.0	(3.0)	4
Cash	**489**	**1.7**	**(0.3)**	**2**
Total	**$29,339**	**100%**		**100%**

General Endowment: Performance



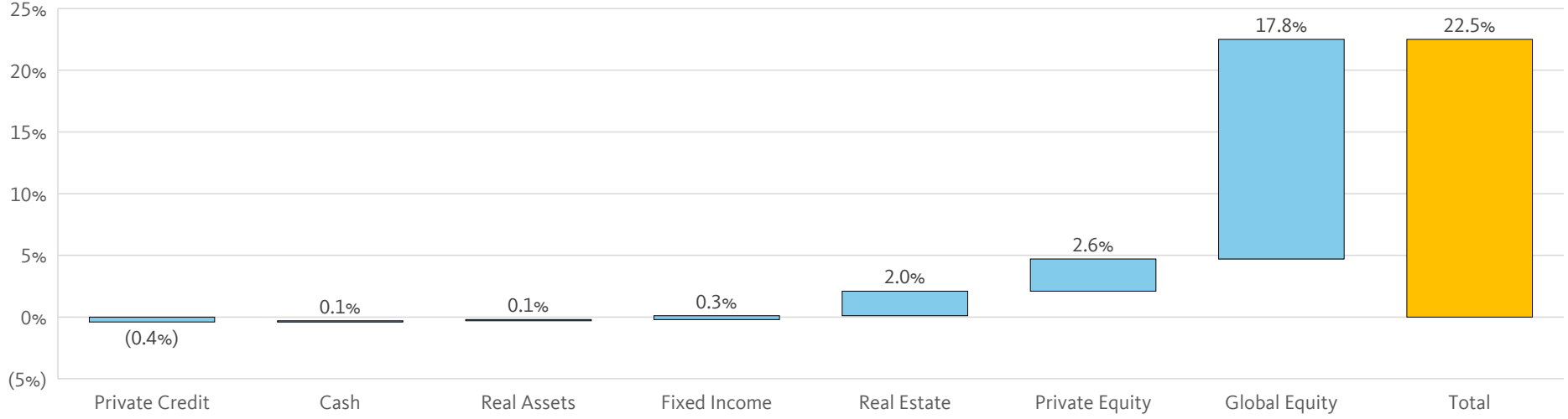
General Endowment: Performance

Net Returns (%)	Market Value in Millions ($)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Endowment	**$29,339**	**100%**	**22.6**	**22.6**	**15.4**	**9.0**	**11.3**	**9.6**	**9.6**	**8.5**	**8.0**	**8.9**
Benchmark			19.3	19.3	15.6	9.5	10.5	8.8	8.6	7.8	7.5	8.3
Value Added			3.3	3.3	(0.2)	(0.5)	0.8	0.8	1.0	0.7	0.5	0.6
Public Equity	**$17,510**	**59.7%**	**33.8**	**33.8**	**23.7**	**12.4**	**14.5**	**11.4**	**11.3**	**9.3**	**8.3**	**9.0**
Benchmark			24.3	24.3	19.8	10.4	12.6	10.0	10.1	8.6	8.3	9.3
Value Added			9.5	9.5	3.9	2.0	1.9	1.4	1.2	0.7	0.0	(0.3)
Total Fixed Income	**$1,655**	**5.6%**	**8.2**	**8.2**	**7.2**	**3.5**	**3.4**	**3.1**	**3.6**	**4.6**	**5.0**	**5.8**
Benchmark			3.0	3.0	4.7	1.7	2.8	2.8	3.3	4.4	4.8	5.3
Value Added			5.2	5.2	2.5	1.8	0.6	0.3	0.3	0.2	0.2	0.5
Private Assets	**$9,685**	**33.0%**	**10.2**	**10.2**	**7.9**	**5.9**	**10.1**	**9.5**	**9.5**	**8.2**	**6.7**	**12.4**
Private Equity	**$6,224**	**21.2%**	**9.7**	**9.7**	**6.1**	**3.9**	**16.1**	**16.5**	**16.4**	**14.2**	**12.7**	**17.6**
Benchmark			25.8	25.8	23.4	15.3	17.6	17.7	17.4	14.9	12.3	13.6
Value Added			(16.1)	(16.1)	(17.3)	(11.4)	(1.5)	(1.2)	(1.0)	(0.7)	0.4	4.0
Real Estate	**$2,671**	**9.1%**	**18.1**	**18.1**	**12.5**	**13.5**	**10.6**	**11.2**	**11.4**	**6.9**	**-**	**-**
Benchmark			3.6	3.6	(1.5)	1.9	3.9	5.3	6.6	3.5	-	-
Value Added			14.5	14.5	14.0	11.6	6.7	5.9	4.8	3.4	-	-
Real Assets	**$489**	**1.7%**	**5.1**	**5.1**	**2.7**	**4.6**	**6.1**	**3.1**	**3.5**	**-**	**-**	**-**
Benchmark			5.1	5.1	2.7	4.6	6.1	3.1	3.5	-	-	-
Value Added			-	-	-	-	-	-	-	-	-	-
Private Credit	**$301**	**1.0%**	**(8.8)**	**(8.8)**	**2.0**	**3.4**	**-**	**-**	**-**	**-**	**-**	**-**
Benchmark			6.2	6.2	9.3	6.9	-	-	-	-	-	-
Value Added			(15.0)	(15.0)	(7.3)	(3.5)	-	-	-	-	-	-
Cash	**$489**	**1.7%**	**5.3**	**5.3**	**5.0**	**3.6**	**-**	**-**	**-**	**-**	**-**	**-**
Benchmark			3.8	3.8	4.6	3.5	-	-	-	-	-	-
Value Added			1.5	1.5	0.4	0.1	-	-	-	-	-	-

General Endowment: Asset Classes

Asset Class	Contribution To Return	Portfolio Return	Benchmark Return	Allocation Effect	Selection Effect	Total Attribution
Global Equity	**17.8%**	**33.8%**	**24.3%**	**0.3%**	**5.1%**	**5.4%**
Fixed Income	**0.3%**	**8.2%**	**3.0%**	**0.7%**	**0.2%**	**0.9%**
Private Assets	**4.3%**	**10.2%**	**17.1%**	**(0.9%)**	**(2.4%)**	**(3.3%)**
Private Equity	2.6%	9.7%	25.8%	(0.6%)	(3.5%)	(4.1%)
Real Estate	2.0%	18.1%	3.6%	(0.7%)	1.7%	1.0%
Real Assets	0.1%	5.1%	5.1%	0.2%	0.0%	0.2%
Private Credit	(0.4%)	(8.8%)	6.2%	0.2%	(0.6%)	(0.4%)
Cash	**0.1%**	**5.3%**	**3.8%**	**0.3%**	**0.0%**	**0.3%**
Total	**22.5%**	**22.5%**	**19.3%**	**0.4%**	**2.9%**	**3.3%**

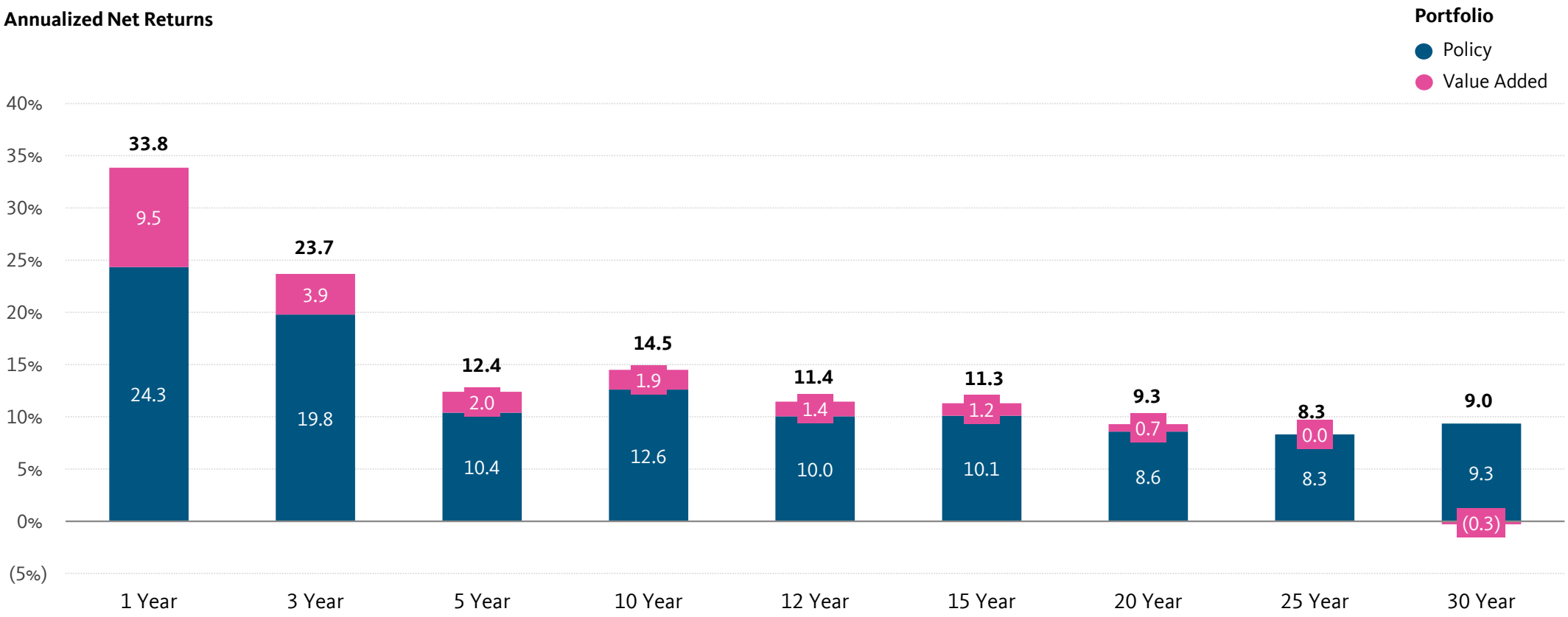
Contribution to Return



General Endowment: Public Equity

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Public Equity	17,510	100	33.8	33.8	23.7	12.4	14.5	11.4	11.3	9.3	8.3	9.0
Benchmark			24.3	24.3	19.8	10.4	12.6	10.0	10.1	8.6	8.3	9.3
Value Added			9.5	9.5	3.9	2.0	1.9	1.4	1.2	0.7	0.0	(0.3)
Passive	9,549	55	24.7	24.7	20.8	12.2	-	-	-	-	-	-
Active	7,961	45	49.0	49.0	28.8	13.1	-	-	-	-	-	-

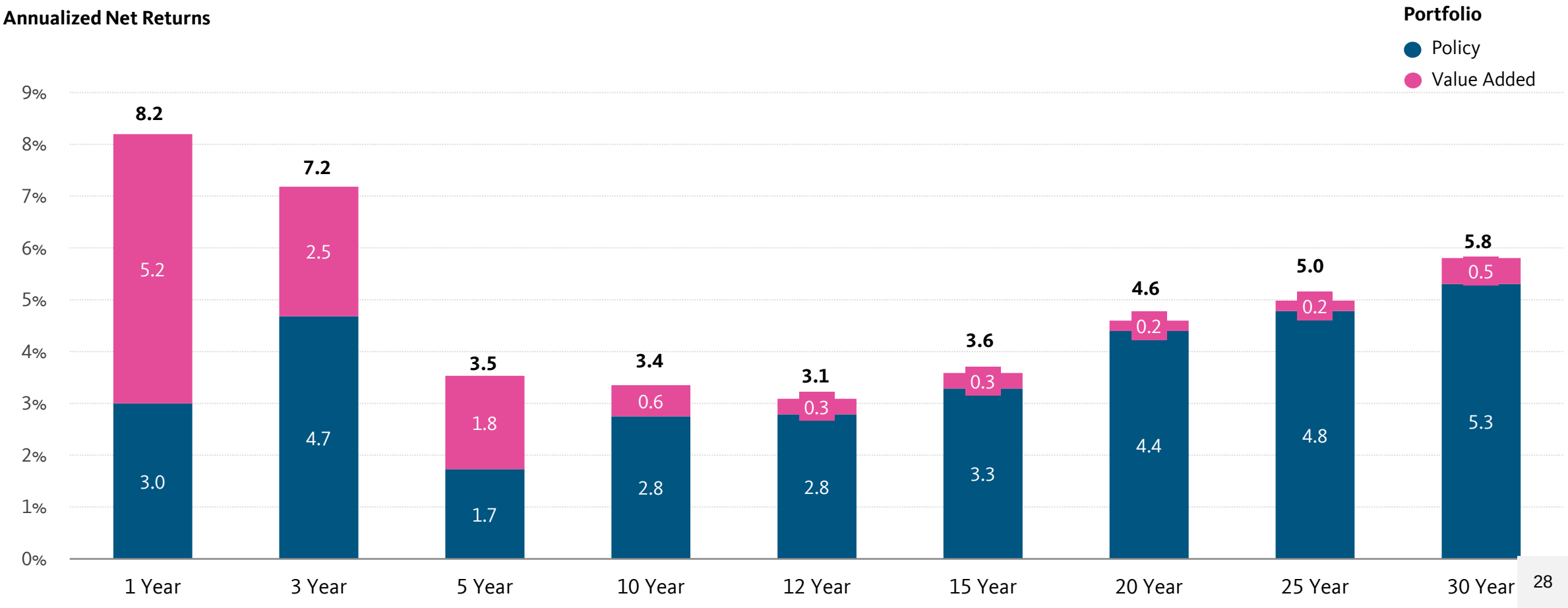
Annualized Net Returns



General Endowment: Fixed Income

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Fixed Income	1655	100	8.2	8.2	7.2	3.5	3.4	3.1	3.6	4.6	5.0	5.8
Benchmark			3.0	3.0	4.7	1.7	2.8	2.8	3.3	4.4	4.8	5.3
Value Added			5.2	5.2	2.5	1.8	0.6	0.3	0.3	0.2	0.2	0.5
Core	1,145		9.1	9.1	7.4	3.6	4.2	5.2	5.0	5.3	-	-
Active	500		14.7	14.7	11.6	-	-	-	-	-	-	-

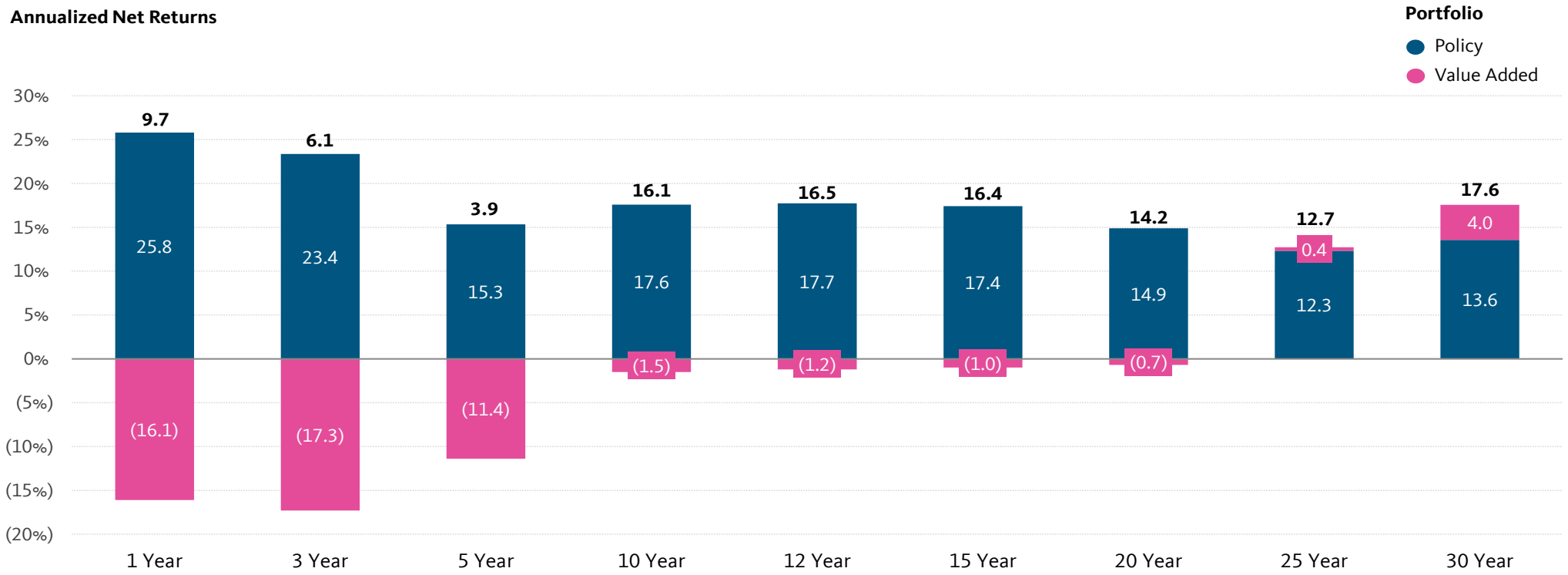
Annualized Net Returns



General Endowment: Private Equity

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Private Equity	6,224	100	9.7	9.7	6.1	3.9	16.1	16.5	16.4	14.2	12.7	17.6
Benchmark			25.8	25.8	23.4	15.3	17.6	17.7	17.4	14.9	12.3	13.6
Value Added			(16.1)	(16.1)	(17.3)	(11.4)	(1.5)	(1.2)	(1.0)	(0.7)	0.4	4.0
Co-investment	1,604	26	8.5	8.5	8.8	3.5	17.4	19.6	-	-	-	-
Buyout	1,622	26	(7.3)	(07)	1.5	5.0	14.6	15.8	16.0	11.7	-	-
Growth	1,235	20	20.5	20.5	8.3	7.1	14.1	11.4	13.5	-	-	-
Venture Capital	1,113	17	24.1	24.1	7.5	2.1	16.0	22.8	20.6	-	-	-
Passive	613	10	23.1	-	-	-	-	-	-	-	-	-
Secondaries	37	1	(15.9)	(15.9)	(3.7)	5.9	-	-	-	-	-	-

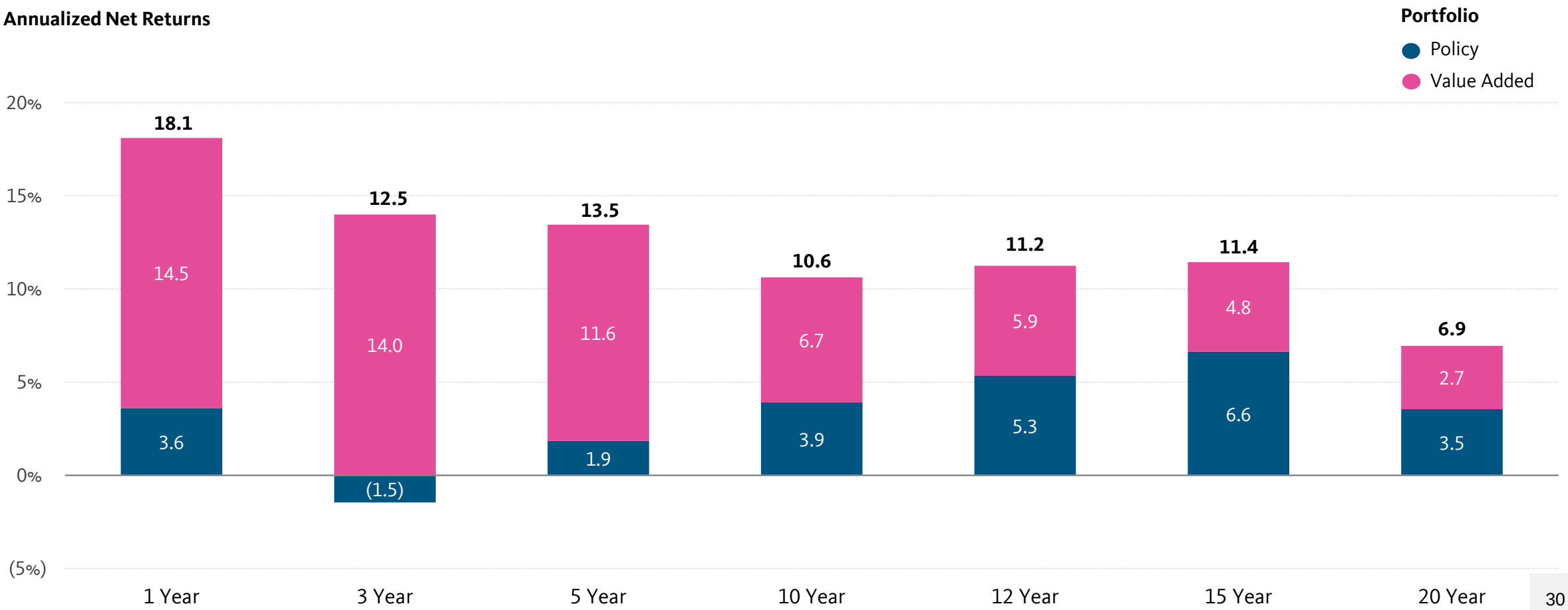
Annualized Net Returns



General Endowment: Real Estate

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year
Real Estate	2,671	100	18.1	18.1	12.5	13.5	10.6	11.2	11.4	6.9
Benchmark			3.6	3.6	(1.5)	1.9	3.9	5.3	6.6	3.5
Value Added			14.5	14.5	14.0	11.6	6.7	5.9	4.8	3.4

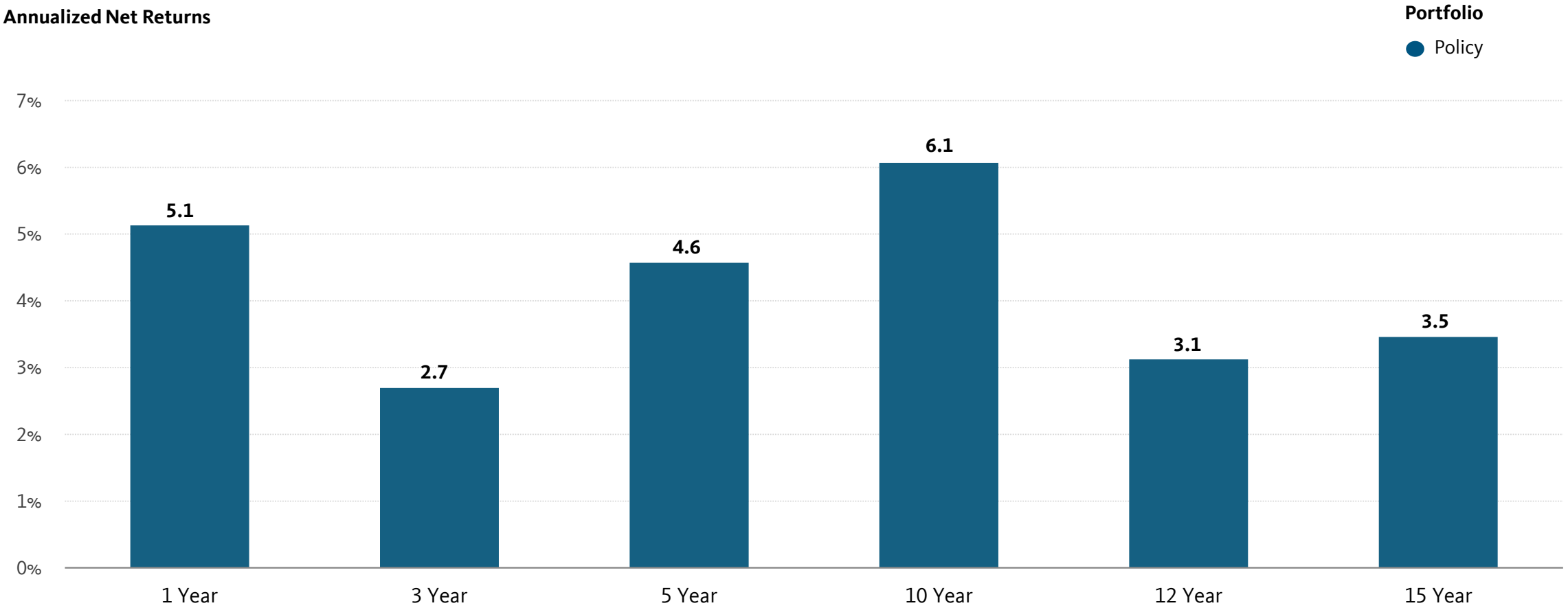
Annualized Net Returns



General Endowment: Real Assets

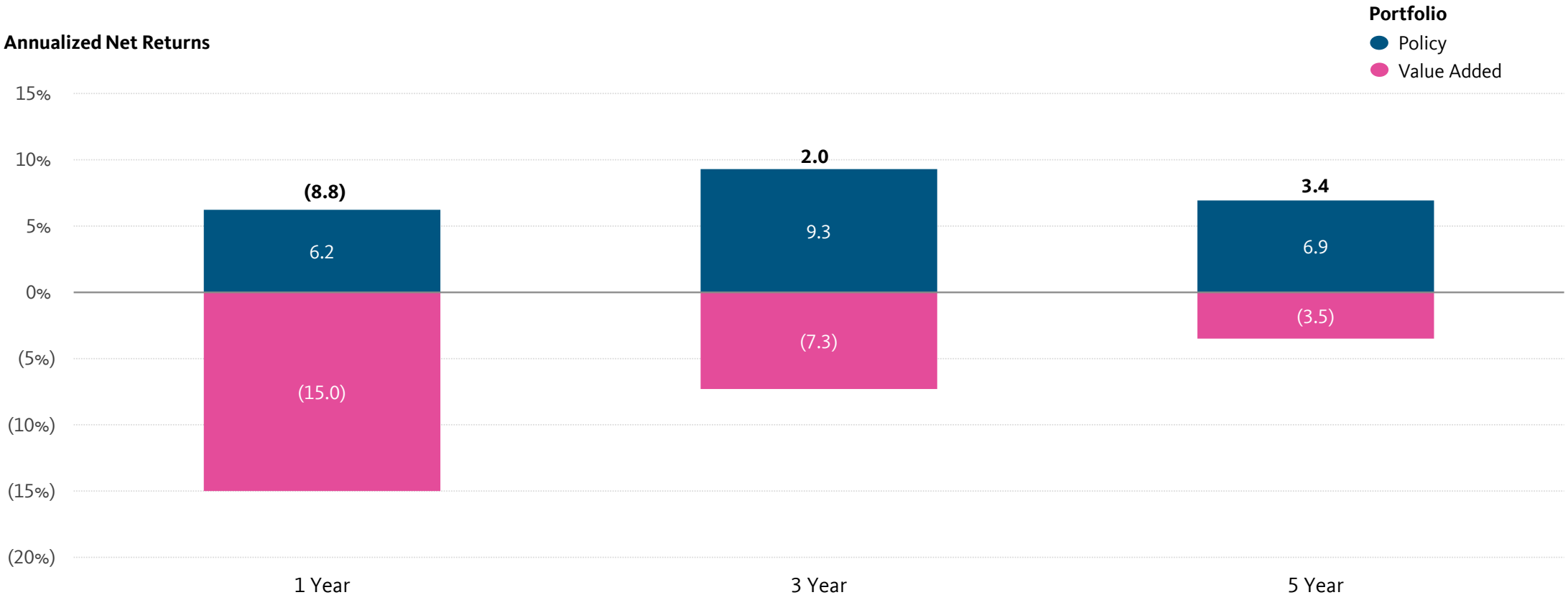
Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year
Real Assets	489	100	5.1	5.1	2.7	4.6	6.1	3.1	3.5
Diversifying Strategies	403	82	3.9	3.9	3.5	7.1	6.8	6.3	-
Infrastructure	86	18	18.1	18.1	6.8	6.5	9.2	9.9	10.2

Annualized Net Returns



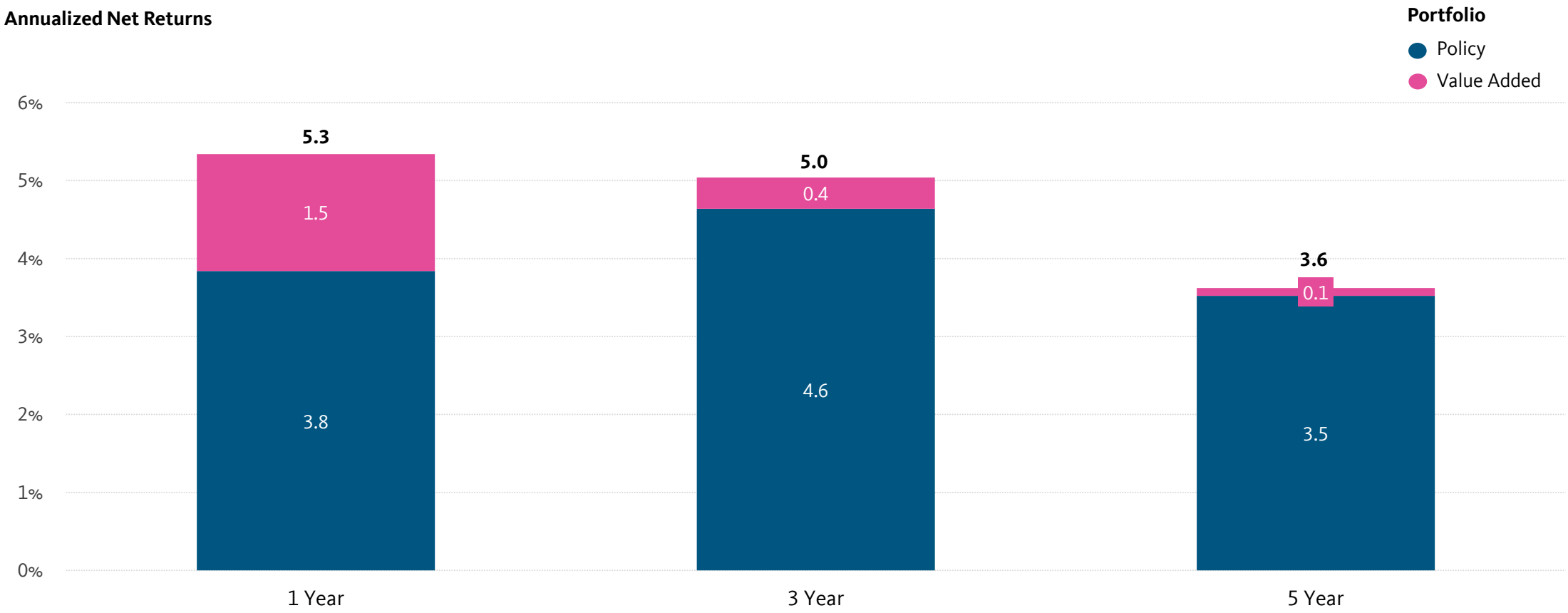
General Endowment: Private Credit

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year
Private Credit	301	100	(8.8)	(8.8)	2.0	3.4
Policy Benchmark			6.2	6.2	9.3	6.9
Value Added			(15.0)	(15.0)	(7.3)	(3.5)



General Endowment: Cash

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year
Cash	489	100	5.3	5.3	5.0	3.6
Policy Benchmark			3.8	3.8	4.6	3.5
Value Added			1.5	1.5	0.4	0.1



General Endowment: Risk Allocation

			Portfolio Risk (%)		Benchmark Risk (%)		Active Risk (%)	
Portfolio	Benchmark	Weight (%)	Standalone Volatility	QoQ Change	Standalone Volatility	QoQ Change	Tracking Error	QoQ Change
Endowment	Policy (Blend)	100.0	14.2	1.1	12.7	0.6	5.1	1.6
Public Equity	ACWI IMI TF FF	59.7	16.3	1.5	15.2	0.6	5.8	4.5
Fixed Income	BBG 1-5yr G/C	5.6	4.9	1.7	2.2	(0.1)	4.6	2.6
Private Equity	R3K + 3%	21.2	17.9	(0.2)	15.5	0.3	13.6	1.0
Real Estate	NFI ODCE	9.1	17.6	0.9	15.0	(0.3)	4.6	0.7
Real Assets	Real Assets	1.7	32.2	19.9	32.2	19.9	0.0	0.0
Private Credit	CS LL/ML HY BB-B	1.0	22.2	12.8	4.0	(0.1)	21.6	13.7
Cash	BofA 3mo TBill	1.7	0.0	0.0	0.1	(0.0)	0.1	(0.0)

Policy Benchmark

Asset Class	Policy Benchmark Component	Policy (%)	Min Allowable Range (%)	Max Allowable Range (%)
Public Equity	MSCI All Country World Index (ACWI) Investable Market Index (IMI) Tobacco and Fossil Fuel Free - Net Dividends	50	30	65
Fixed Income	Bloomberg 1-5 Year Government/Credit Index	8	3	15
Private Assets				
Private Equity	Russell 3000 Index + 3%	24	10	30
Absolute Return	HFRI Fund of Funds Composite	0	0	0
Real Estate	NCREIFODCE Index Non Lagged	8	4	20
Real Assets	Actual Real Asset Portfolio Returns	4	0	8
Private Credit	75% S&P UBS Leveraged Loan Total Return / 25% ICE BofA BB-B US High Yield Constrained Index FF + 1.5%	4	0	8
Cash	ICE Bank of America 3-Month US Treasury Bill Index	2	0	5

UC Investments Way

UC Retirement

June 30, 2026

Pension

Maximize real, long-term total returns (income plus capital appreciation adjusted for inflation), while assuming the appropriate levels of risk.

Maximize the probability of meeting the Plan's liabilities, subject to the Regents' funding policy.

1. Asset Allocation
2. Performance
3. Asset Classes
4. Risk Allocation

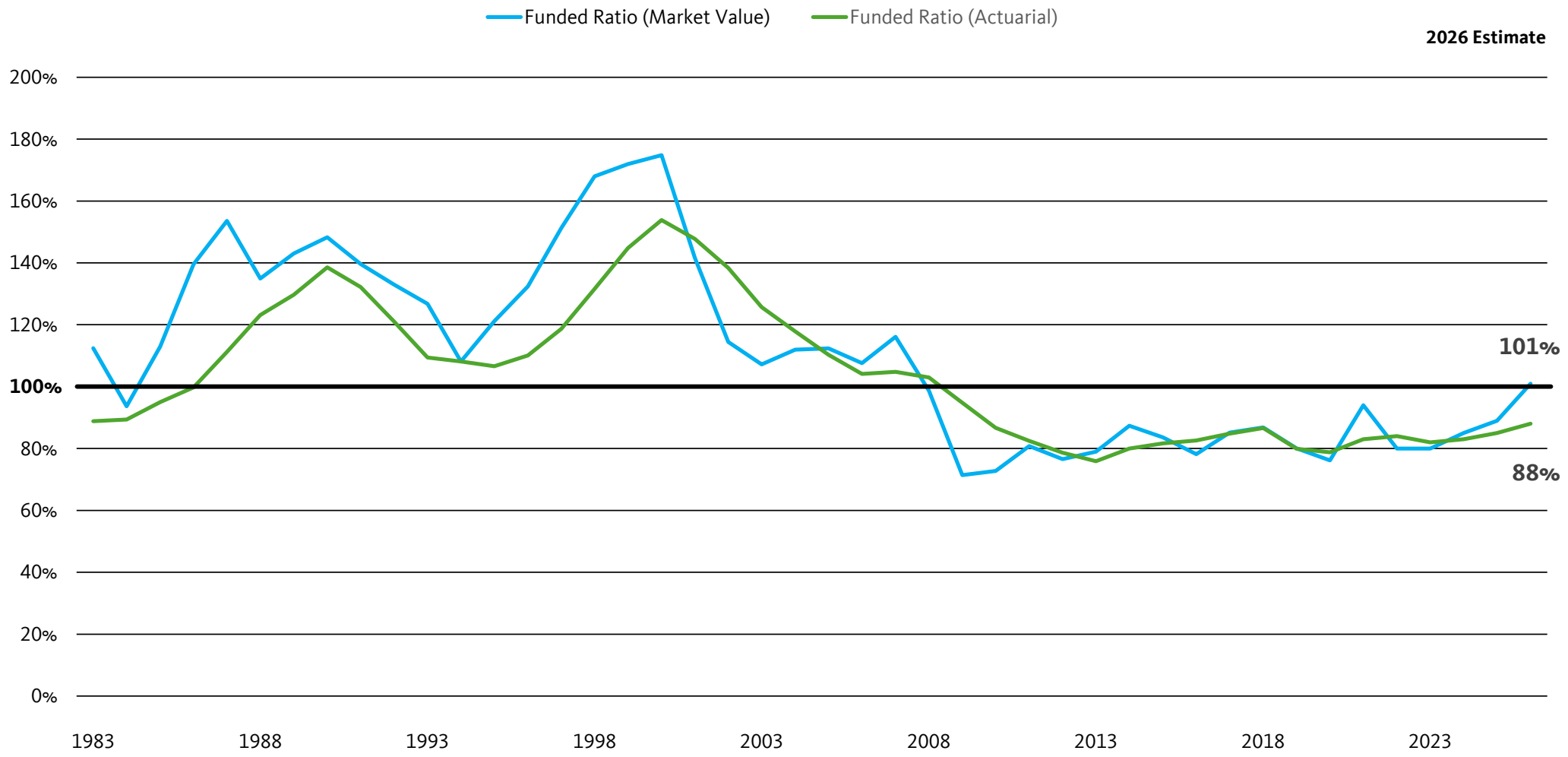
PENSION

Investing for 66 Years

ASSETS UNDER MANAGEMENT $130B	PENSION PLAN MEMBERS 292,084	30 YEAR ANNUALIZED RETURN 8.1%
FUNDING RATIO (MARKET VALUE) 101%	ACTIVE MEMBERS (54%) 158,136	10 YEAR ANNUALIZED RETURN 9.9%
FUNDING RATIO (ACTUARIAL) 88%	DISCOUNT RATE 6.75%	1 YEAR NET RETURN 19.5%

Pension Funded Ratio

Pension is 88% Funded on an Actuarial Basis in Fiscal Year 2026



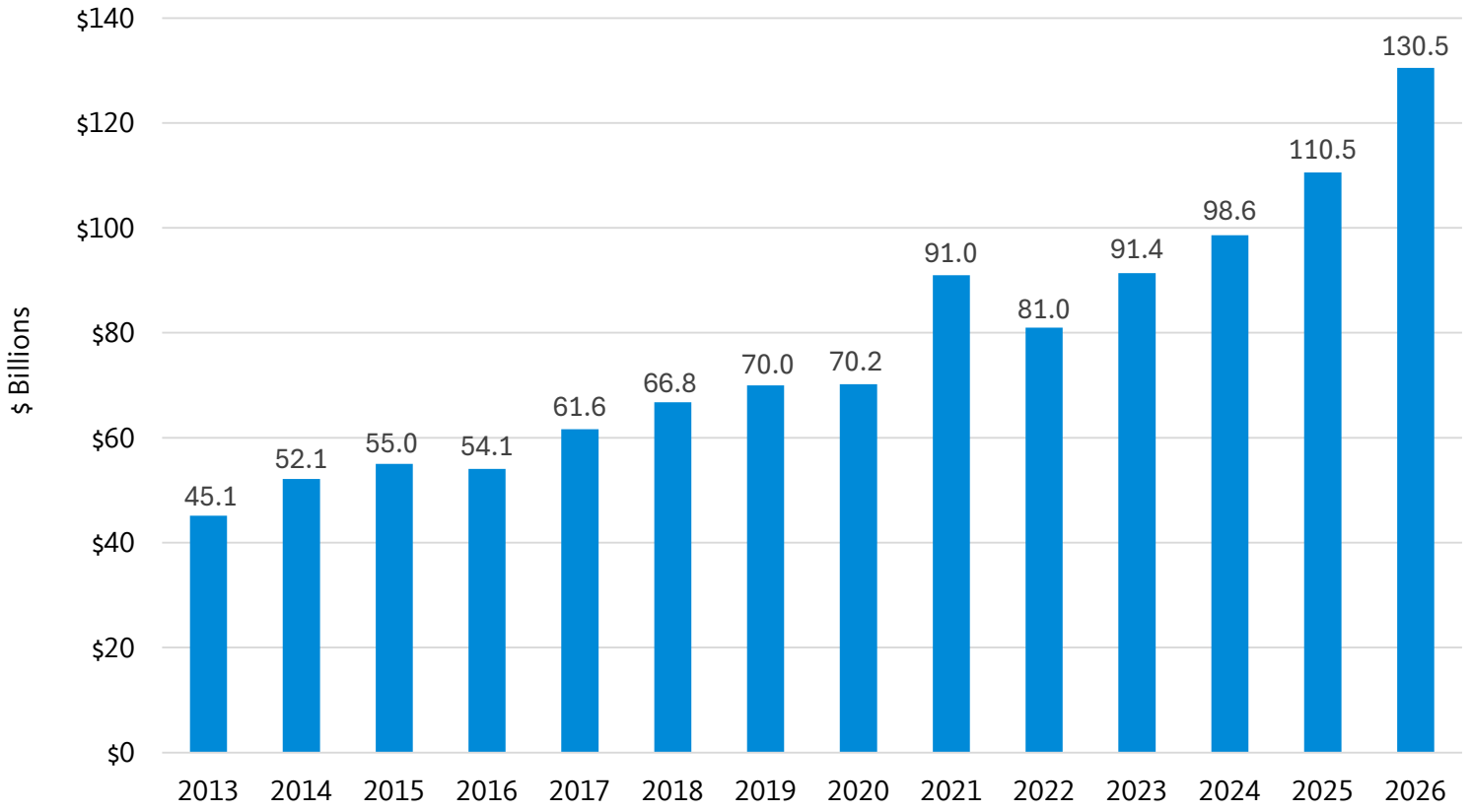
Pension Funded Ratio

Pension is 88% Funded on an Actuarial Basis in Fiscal Year 2026

Fiscal Year	PV of Accrued Liabilities	Assets Market Value	Assets Actuarial Value	Funding Ratio (Market Value)	Funding Ratio (Actuarial)	Discount Rate	FYTD Return
2007	41.3	48.0	43.3	116.1%	104.8%	7.50%	18.8%
2008	42.6	42.0	43.8	98.7%	103.0%	7.50%	-5.7%
2009	45.2	32.3	42.9	71.4%	94.8%	7.50%	-18.8%
2010	47.5	34.5	41.2	72.8%	86.7%	7.50%	12.7%
2011	51.4	41.5	42.4	80.8%	82.5%	7.50%	22.4%
2012	54.1	41.4	42.5	76.5%	78.7%	7.50%	0.4%
2013	57.1	45.1	43.4	79.0%	75.9%	7.50%	11.7%
2014	59.7	52.1	47.7	87.4%	80.0%	7.50%	17.4%
2015	65.8	55.0	53.7	83.6%	81.7%	7.50%	4.5%
2016	69.2	54.1	57.1	78.2%	82.6%	7.25%	-2.0%
2017	72.4	61.6	61.4	85.1%	84.8%	7.25%	14.4%
2018	76.9	66.8	66.6	86.9%	86.6%	7.25%	7.9%
2019	87.4	70.0	69.9	80.1%	79.9%	7.25%	6.0%
2020	92.1	70.2	72.6	76.2%	78.8%	6.75%	1.7%
2021	96.8	91.0	80.3	94.0%	83.0%	6.75%	30.5%
2022	101.2	81.0	85.0	80.0%	84.0%	6.75%	-10.8%
2023	110.3	88.3	90.5	80.0%	82.0%	6.75%	10.1%
2024	116.0	98.6	96.2	85.0%	83.0%	6.75%	12.2%
2025	124.2	110.5	105.6	89.0%	85.0%	6.75%	12.7%
2026	129.2	130.5	113.7	101.0%	88.0%	6.75%	19.5%

Pension Assets Grow by $78 Billion over 12 Years

PENSION ASSETS 2013-2026



ASSET GROWTH

June 30, 2026

Today	$130B	Δ Change
1 Year Ago	$111B	+$19B
5 Years Ago	$91B	+$39B
10 Years Ago	$54B	+$76B
12 Years Ago	$52B	+$78B
13 Years Ago	$45B	+$85B

Pension: Assets

Fiscal Year To Date (12 Months)	Market Value ($M)
June 30, 2025	110,549
Market Gains	19,577
Value Added	1,820
Net Cash Flow	(1,452)
June 30, 2026	130,494

Pension: Asset Allocation

	Market Value ($M)	Weight (%)	Over/Underweight (%)	Policy (%)
Public Equity	**82,650**	**63.3**	**6.3**	**57**
Passive - Public Equity	64,265	49.2	-	-
Active - Public Equity	18,385	14.1	-	-
Fixed Income	**18,814**	**14.5**	**(2.5)**	**17**
Private Assets	**27,687**	**21.2**	**(3.8)**	**25**
Private Equity	13,325	10.1	(1.9)	12
Real Estate	8,842	6.8	(0.2)	7
Real Assets	3,099	2.4	(0.6)	3
Private Credit	2,421	1.9	(1.1)	3
Cash	**1,343**	**1.0**	**0.0**	**1**
Total	$130,494	100%		100%

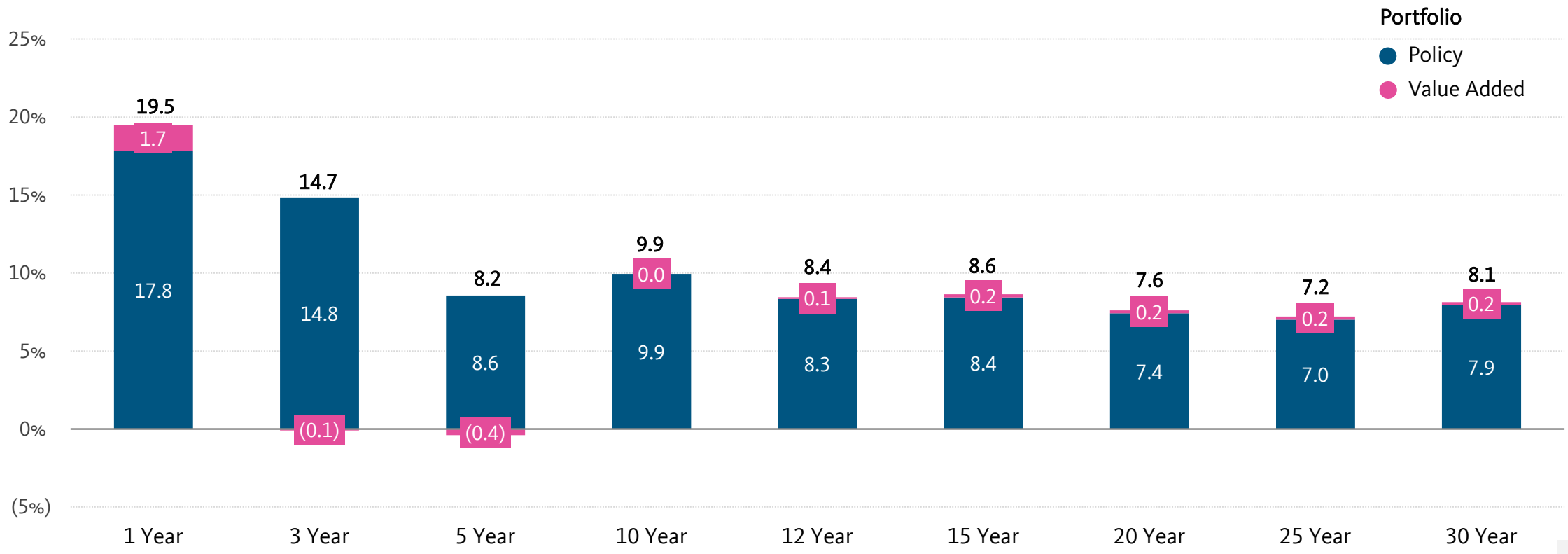
Pension: Performance

Net Returns (%)	Market Value in Millions ($)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Pension	**$130,494**	**100%**	**19.5**	**19.5**	**14.7**	**8.2**	**9.9**	**8.4**	**8.6**	**7.6**	**7.2**	**8.1**
Benchmark			17.8	17.8	14.8	8.6	9.9	8.3	8.4	7.4	7.0	7.9
Value Added			1.7	1.7	(0.1)	(0.4)	0.0	0.1	0.2	0.2	0.2	0.2
Public Equity	**$82,650**	**63.3%**	**27.4**	**27.4**	**21.1**	**11.0**	**13.4**	**10.8**	**10.9**	**8.8**	**7.8**	**8.6**
Benchmark			24.3	24.3	19.8	10.4	12.5	10.0	10.2	8.6	8.2	9.3
Value Added			3.1	3.1	1.3	0.6	0.9	0.8	0.7	0.2	(0.4)	(0.7)
Fixed Income	**$18,814**	**14.4%**	**4.2**	**4.2**	**5.9**	**2.3**	**3.1**	**3.0**	**3.4**	**4.5**	**4.9**	**5.8**
Benchmark			3.5	3.5	5.5	2.0	3.0	3.0	3.4	4.5	4.8	5.4
Value Added			0.7	0.7	0.4	0.3	0.1	0.0	0.0	0.0	0.1	0.4
Core	**$16,205**	**12.4%**	**3.8**	**3.8**	**5.4**	**2.2**	**2.7**	**2.8**	**3.1**	**4.0**	**4.5**	**5.5**
Benchmark			3.0	3.0	4.7	1.7	2.5	2.7	2.9	3.9	4.3	5.0
Value Added			0.8	0.8	0.7	0.5	0.2	0.1	0.2	0.1	0.2	0.5
High Yield	**$2,609**	**2.0%**	**6.2**	**6.2**	**8.8**	**4.3**	**5.6**	**4.9**	**5.9**	**6.6**	**-**	**-**
Benchmark			5.7	5.7	8.8	4.1	5.6	4.7	5.6	6.5	-	-
Value Added			0.5	0.5	0.0	0.2	0.0	0.2	0.3	0.1	-	-
Private Assets	**$27,686**		**6.9**	**6.9**	**5.4**	**4.9**	**7.8**	**7.5**	**8.1**	**7.0**	**7.0**	**12.5**
Private Equity	**$13,325**	**10.2%**	**8.7**	**8.7**	**6.1**	**4.5**	**12.5**	**12.0**	**12.4**	**11.1**	**10.1**	**15.2**
Benchmark			25.8	25.8	23.4	15.3	16.9	15.6	15.3	13.3	11.4	12.8
Value Added			(17.1)	(17.1)	(17.3)	(10.8)	(4.4)	(3.6)	(2.9)	(2.2)	(1.3)	2.4
Real Estate	**$8,842**	**6.8%**	**11.2**	**11.2**	**5.9**	**8.0**	**6.9**	**8.2**	**9.1**	**4.5**	**-**	**-**
Benchmark			3.6	3.6	(1.5)	1.9	3.9	5.3	6.6	2.8	-	-
Value Added			7.6	7.6	7.4	6.1	3.0	2.9	2.5	1.7	-	-
Private Credit	**$2,421**	**1.9%**	**(6.8)**	**(6.8)**	**2.6**	**3.5**	**-**	**-**	**-**	**-**	**-**	**-**
Benchmark			6.2	6.2	9.3	6.9	-	-	-	-	-	-
Value Added			(13.0)	(13.0)	(6.7)	(3.4)	-	-	-	-	-	-
Real Assets	**$3,099**	**2.4%**	**(2.1)**	**(2.1)**	**(0.9)**	**2.1**	**4.8**	**2.1**	**2.8**	**-**	**-**	**-**
Benchmark			(2.1)	(2.1)	(0.9)	2.1	4.8	2.1	2.8	-	-	-
Value Added			-	-	-	-	-	-	-	-	-	-
Cash	**$1,343**	**1.0%**	**4.1**	**4.1**	**4.2**	**3.3**	**-**	**-**	**-**	**-**	**-**	**-**
Benchmark			3.8	3.8	-	-	-	-	-	-	-	-
Value Added			0.3	0.3	-	-	-	-	-	-	-	-

Pension: Performance

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
UC Pension	130,494	100	19.5	19.5	14.7	8.2	9.9	8.4	8.6	7.6	7.2	8.1
Benchmark			17.8	17.8	14.8	8.6	9.9	8.3	8.4	7.4	7.0	7.9
Value Added			1.7	1.7	(0.1)	(0.4)	0.0	0.1	0.2	0.2	0.2	0.2

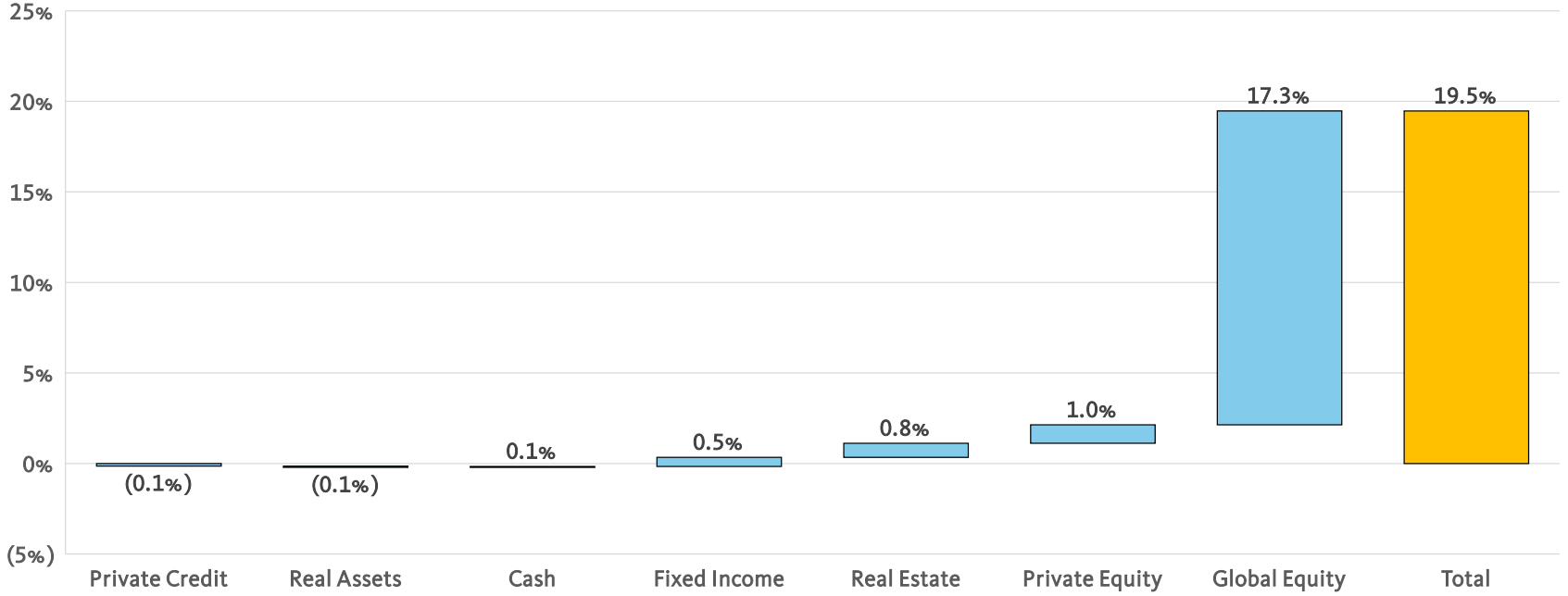
Annualized Net Returns



Pension: Asset Classes

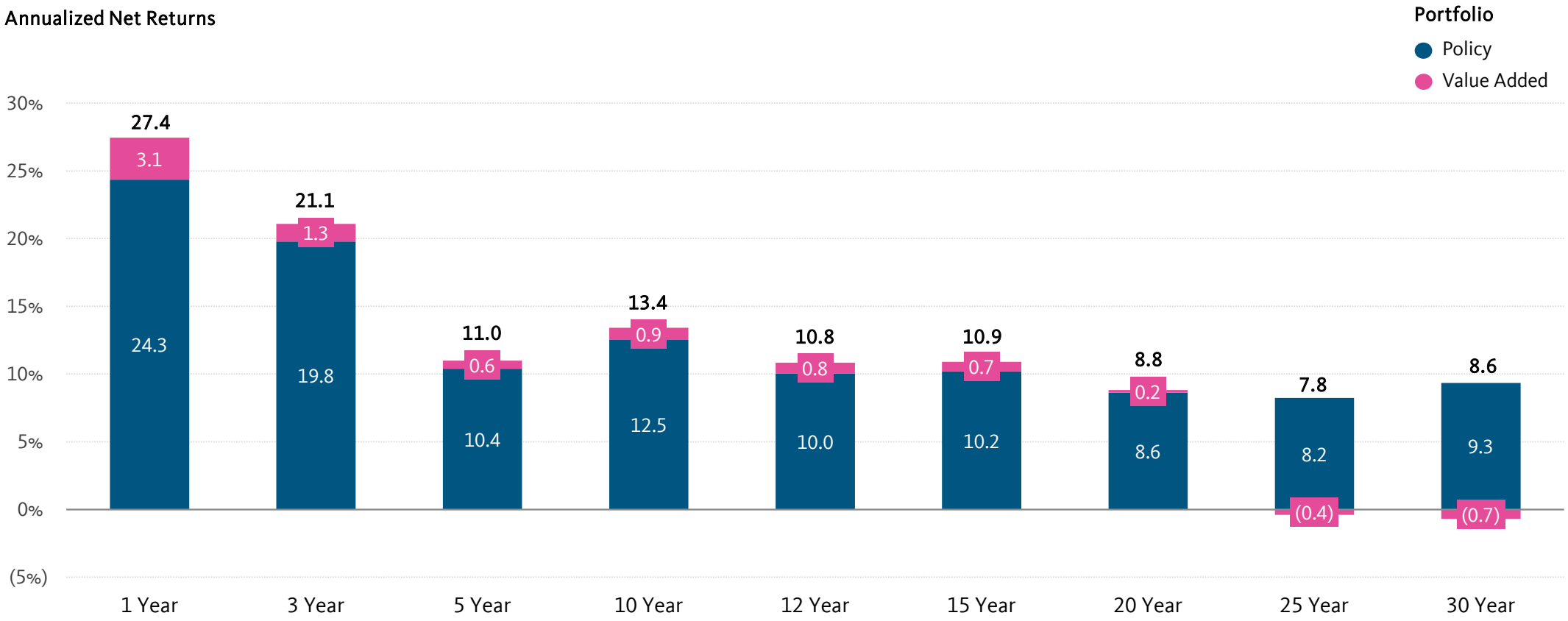
Asset Class	Contribution To Return	Portfolio Return	Benchmark Return	Allocation Effect	Selection Effect	Total Attribution
Global Equity	17.3%	27.4%	24.3%	0.5%	1.8%	2.3%
Fixed Income	0.5%	4.2%	3.5%	0.8%	0.1%	0.9%
Private Assets	1.6%	6.9%	13.5%	(0.6%)	(0.9%)	(1.5%)
Private Equity	1.0%	8.7%	25.8%	(0.8%)	(1.1%)	(2.0%)
Private Credit	(0.1%)	(6.8%)	6.2%	0.1%	(0.3%)	(0.2%)
Real Estate	0.8%	11.2%	3.6%	0.0%	0.5%	0.6%
Real Assets	(0.1%)	(2.1%)	(2.1%)	0.1%	0.0%	0.1%
Cash	0.1%	4.1%	3.8%	0.0%	(0.0%)	0.0%
Total	19.5%	19.5%	17.8%	0.7%	1.0%	1.7%

Contribution to Return



Pension: Public Equity

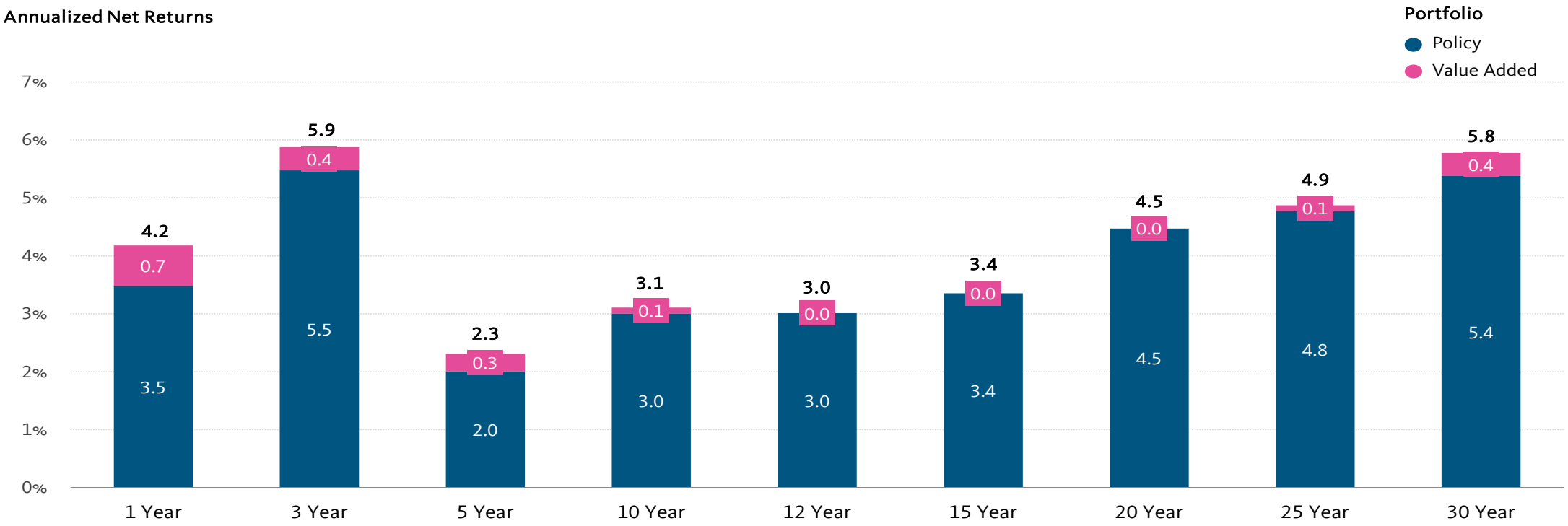
Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Public Equity	82,650	100	27.4	27.4	21.1	11.0	13.4	10.8	10.9	8.8	7.8	8.6
Benchmark			24.3	24.3	19.8	10.4	12.5	10.0	10.2	8.6	8.2	9.3
Value Added			3.1	3.1	1.3	0.6	0.9	0.8	0.7	0.2	(0.4)	(0.7)
Passive	64,265	78	24.7	24.7	20.3	10.9	-	-	-	-	-	-
Active	18,385	22	38.1	38.1	25.0	11.9	-	-	-	-	-	-



Pension: Fixed Income

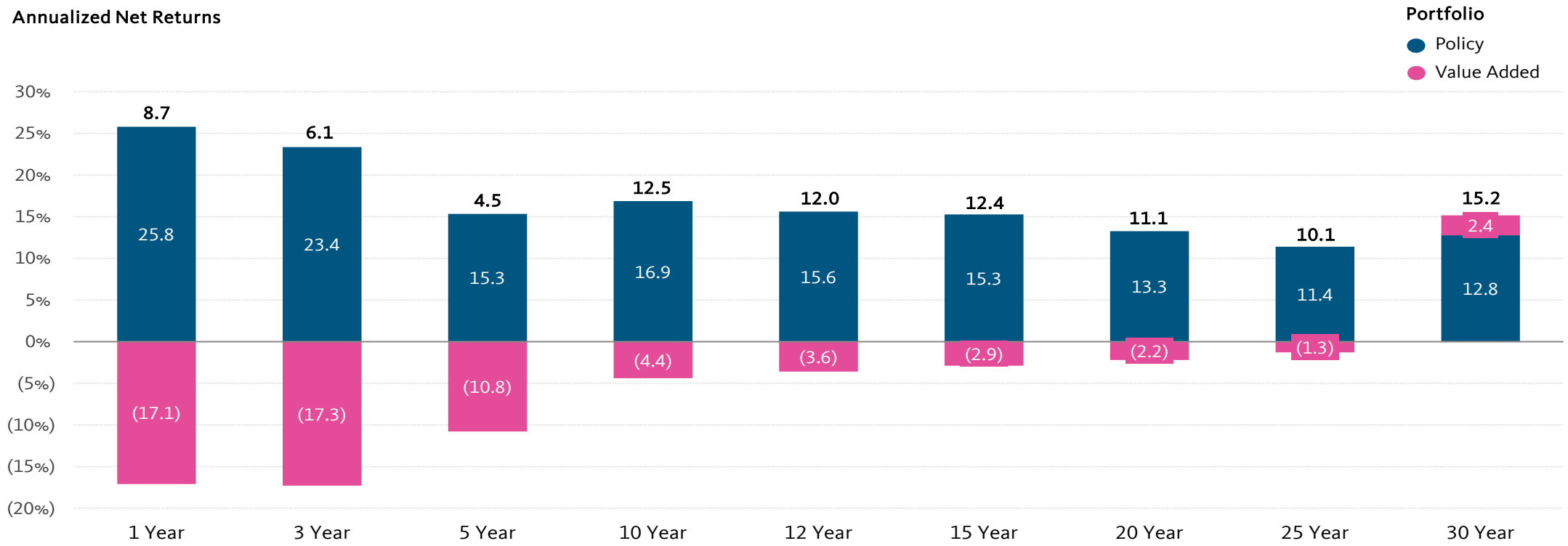
Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Fixed Income	18,814	100	4.2	4.2	5.9	2.3	3.1	3.0	3.4	4.5	4.9	5.8
Benchmark			3.5	3.5	5.5	2.0	3.0	3.0	3.4	4.5	4.8	5.4
Value Added			0.7	0.7	0.4	0.3	0.1	0.0	0.0	0.0	0.1	0.4
Core Fixed Income	16,205	86	3.8	3.8	5.4	2.2	2.7	2.8	3.1	4.0	4.5	5.5
Benchmark			3.0	3.0	4.7	1.7	2.5	2.7	2.9	3.9	4.3	5.0
Value Added			0.8	0.8	0.7	0.5	0.2	0.1	0.2	0.1	0.2	0.5
High Yield	2,609	14	6.2	6.2	8.8	4.3	5.6	4.9	5.9	6.6	-	-
Benchmark			5.7	5.7	8.8	4.1	5.6	4.7	5.6	6.5	-	-
Value Added			0.5	0.5	0.0	0.2	0.0	0.2	0.3	0.1	-	-

Annualized Net Returns



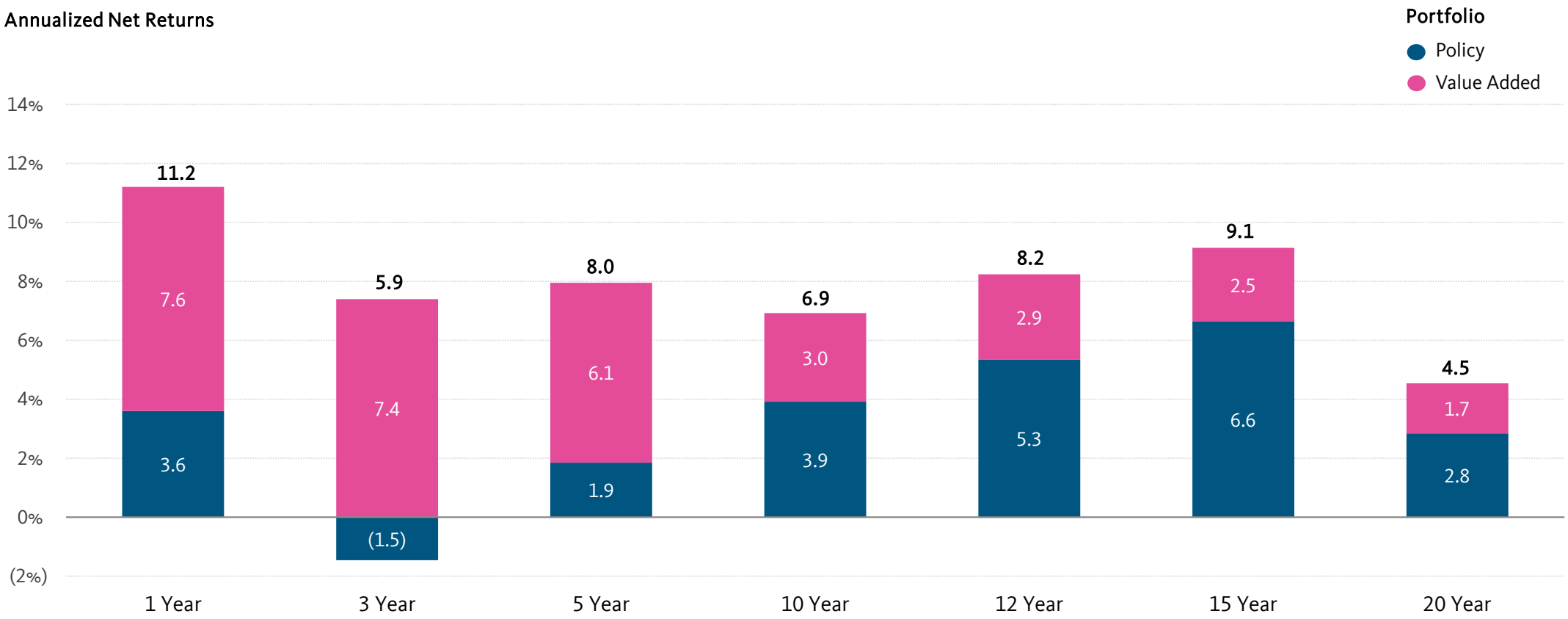
Pension: Private Equity

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Private Equity	13,325	100	8.7	8.7	6.1	4.5	12.5	12.0	12.4	11.1	10.1	15.2
Benchmark			25.8	25.8	23.4	15.3	16.9	15.6	15.3	13.3	11.4	12.8
Value Added			(17.1)	(17.1)	(17.3)	(10.8)	(4.4)	(3.6)	(2.9)	(2.2)	(1.3)	2.4
Passive	4,091	31	23.2	23.2	-	-	-	-	-	-	-	-
Buyout	3,922	29	(5.8)	(5.8)	3.2	5.8	11.1	10.9	11.2	9.7	-	-
Co-investment	1,920	15	1.3	1.3	5.9	4.8	19.0	20.3	20.2	-	-	-
Growth	1,637	12	23.4	23.4	10.1	8.2	12.9	11.2	-	-	-	-
Venture Capital	1,064	8	21.2	21.2	(0.0)	0.6	10.4	10.9	12.2	10.6	-	-
Secondaries	691	5	0.1	0.1	6.1	8.6	13.2	11.0	-	-	-	-



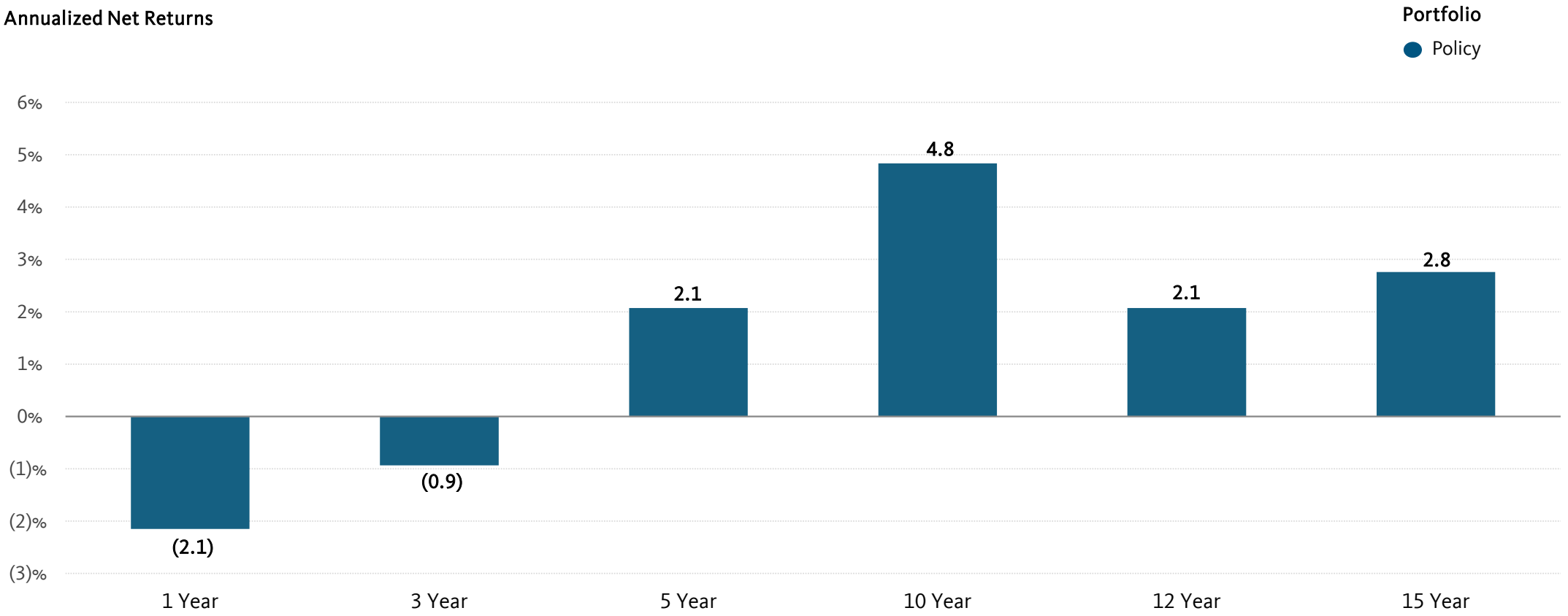
Pension: Real Estate

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year
Real Estate	8,842	100	11.2	11.2	5.9	8.0	6.9	8.2	9.1	4.5
Benchmark			3.6	3.6	(1.5)	1.9	3.9	5.3	6.6	2.8
Value Added			7.6	7.6	7.4	6.1	3.0	2.9	2.5	1.7



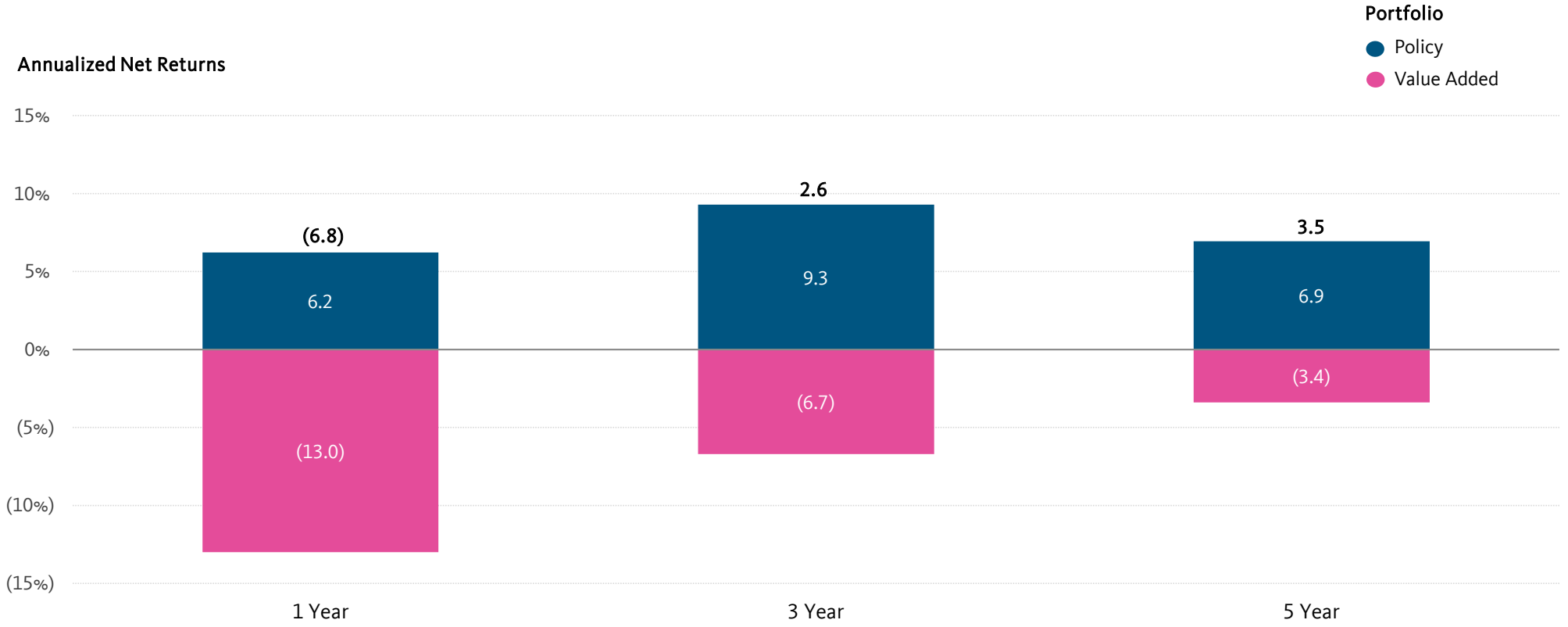
Pension: Real Assets

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year
Real Assets	3,099	100	(2.1)	(2.1)	(0.9)	2.1	4.8	2.1	2.8
Infrastructure	1,898	61	(5.3)	(5.3)	(1.2)	1.6	7.2	8.2	8.9
Diversifying Strategies	682	22	2.3	2.3	(1.2)	3.2	4.8	4.6	-
Natural Resources	519	17	6.1	6.1	1.5	3.3	1.8	(2.7)	(1.3)



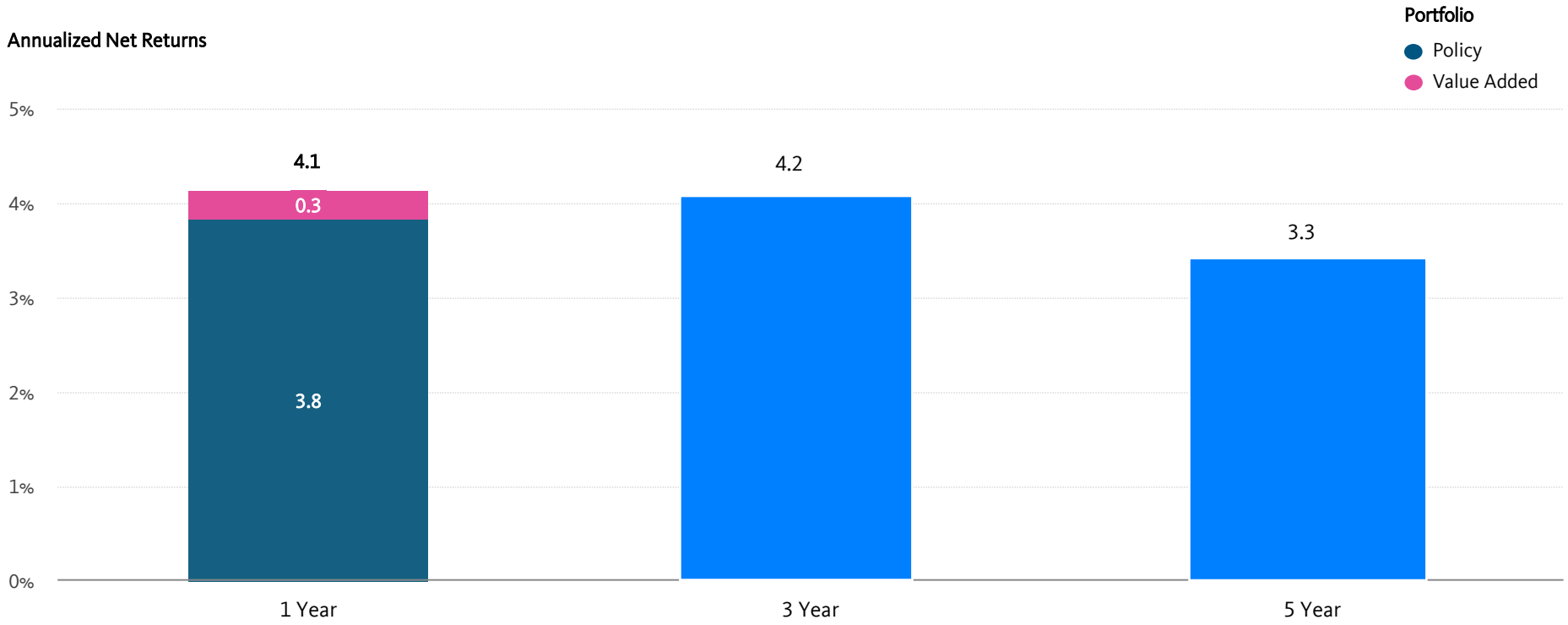
Pension: Private Credit

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year
Private Credit	2,421	100	(6.8)	(6.8)	2.6	3.5
Benchmark			6.2	6.2	9.3	6.9
Value Added			(13.0)	(13.0)	(6.7)	(3.4)



Pension: Cash

Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year
Cash	1,343	100	4.1	4.1	4.2	3.3
Benchmark			3.8	3.8	-	-
Value Added			0.3	0.3	-	-



Pension: Risk Allocation

			Portfolio Risk (%)		Benchmark Risk (%)		Active Risk (%)	
Portfolio	Benchmark	Weight (%)	Standalone Volatility	QoQ Change	Standalone Volatility	QoQ Change	Tracking Error	QoQ Change
Pension	Policy (Blend)	100.0	12.1	(0.2)	11.5	0.3	1.4	(0.2)
Public Equity	ACWI IMI TF FF	63.3	15.3	0.6	15.2	0.6	1.1	0.3
Fixed Income	FI Policy (Blend)	14.5	2.4	(0.2)	2.3	(0.1)	0.3	(0.2)
Private Equity	R3K + 3%	10.1	16.0	(0.4)	15.5	0.3	9.1	0.3
Real Estate	NFI ODCE	6.8	14.5	(1.3)	15.0	(0.3)	3.4	(0.1)
Real Assets	Real Assets	2.4	11.6	(0.8)	11.6	(0.8)	0.0	0.0
Private Credit	CS LL/ML HY BB-B	1.9	7.4	0.3	2.6	(0.0)	6.4	0.4
Cash	BofA 3mo TBill	1.0	0.0	0.0	0.1	(0.0)	0.1	(0.0)

Pension: Policy Benchmark

Asset Class	Policy Benchmark Component	Policy (%)	Min Allowable Range (%)	Max Allowable Range (%)
Public Equity	MSCI All Country World Index (ACWI) Investable Market Index (IMI) Tobacco and Fossil Fuel Free - Net Dividends	57	40	70
Total Fixed Income		17	8	30
Core Fixed Income	Bloomberg 1-5 Year Government/Credit Index	14	8	20
High Yield Fixed Income	ICE BofA High Yield Cash Pay Index Fossil Free	3	0	10
Private Assets				
Private Equity	Russell 3000 Index + 3%	12	5	20
Absolute Return	HFRI Fund of Funds Composite	0	0	0
Real Estate	NCREIF Fund Index - Open End Diversified Core (ODCE) non-lagged	7	2	20
Real Assets	Actual Real Asset Portfolio Returns	3	0	10
Private Credit	75% S&P UBS Leveraged Loan Total Return / 25% ICE BofA BB-B US High Yield Constrained Index FF + 1.5%	3	0	10
Cash	ICE BofA 3-Month US Treasury Bill Index	1	0	5

UC Investments Way

UC Retirement Savings

June 30, 2026

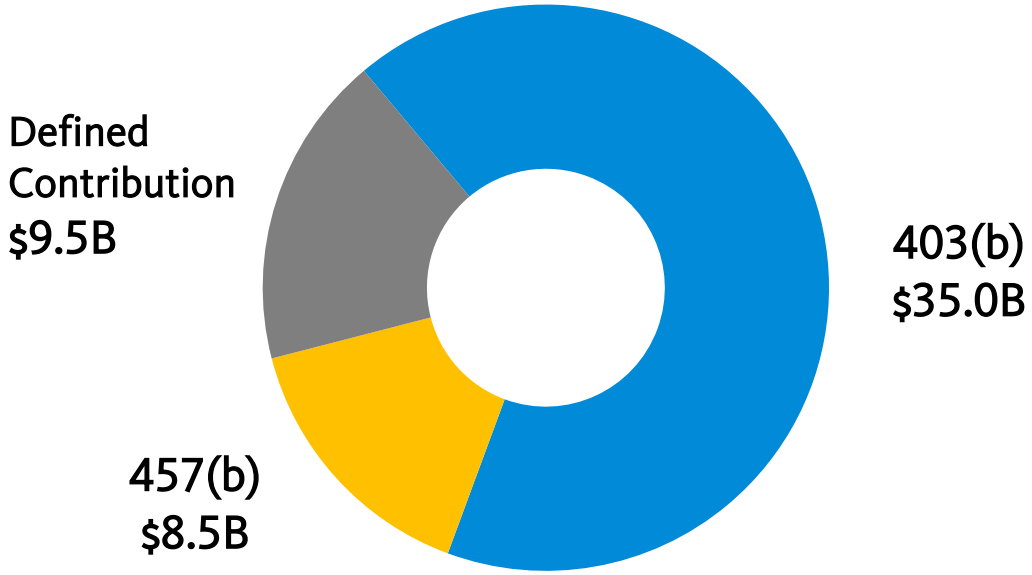
UC Retirement Savings

2nd largest public Defined Contribution plan in the United States (USA)

3 PLANS $53.0B

INVESTMENT STRUCTURE

TARGET DATE FUND	14 CORE OPTIONS	BROKERAGE WINDOW
$23.4B	$25.5B	$4.1B
44.2%	48.0%	7.8%



0.06% INVESTMENT MANAGEMENT FEE

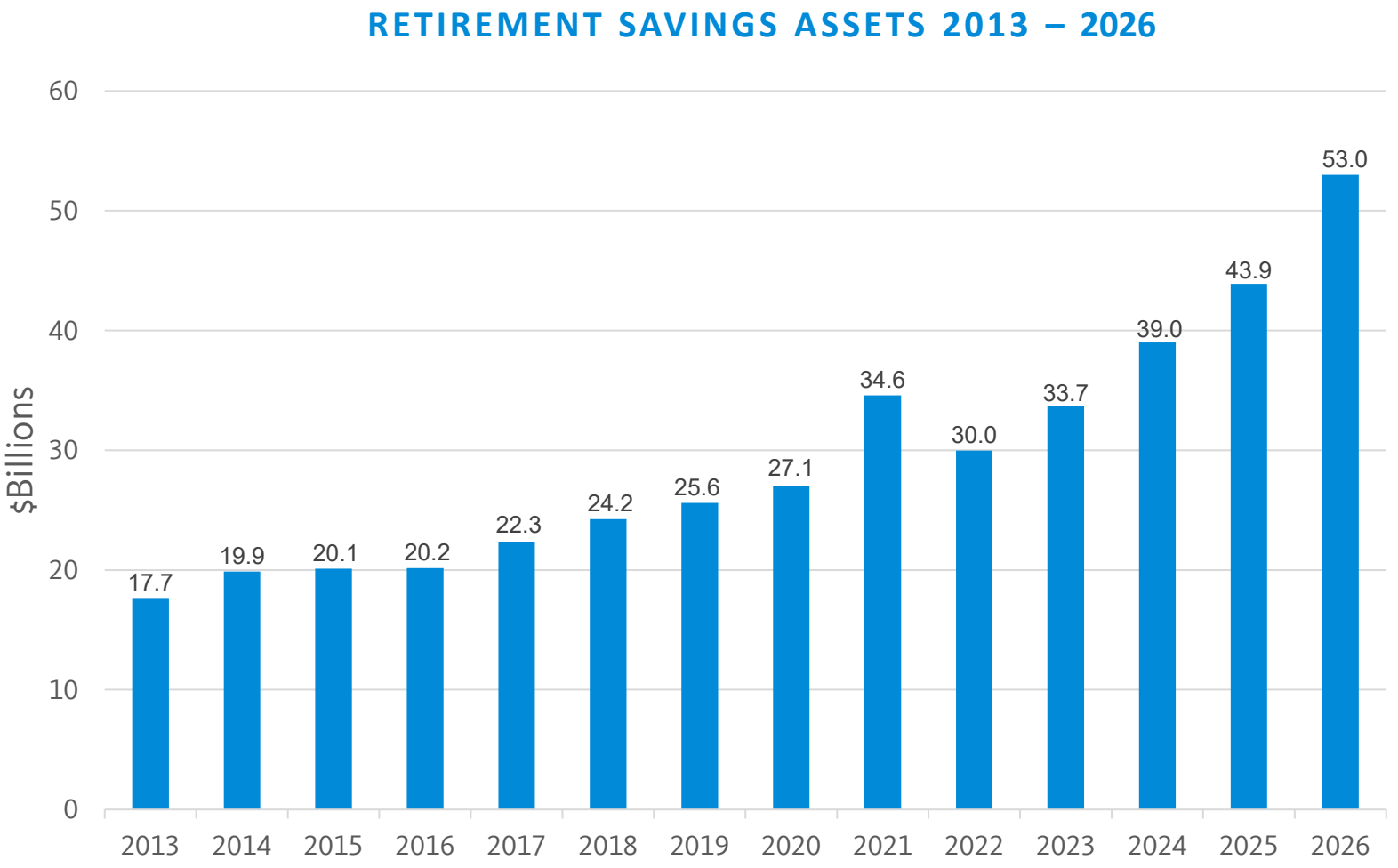
UC Retirement Savings

2nd largest public Defined Contribution plan in the United States (USA)

	2014	2026	12 Years
Participants	301,000	382,000	+81,000
Assets	$19.8B	$53.0B	+$33.2B
Default	UC Savings Fund	UC Pathway	UC Pathway
Target Date Assets	$3.1B	$23.4B	+$20.3B
Investment Choices	75	16	↓ 59
Management Fee (Annual)	0.14%	0.06%	↓ 57%

UC Retirement Savings

$53.0 Billion in Assets as of June 30, 2026



EVOLUTION

2026

Launch of UC Money Market Fund

Consolidated TIPS into Short TIPS Fund

2025

Added Roth in-plan conversion

Added Pathway 2070

2024

Added UC Blue and Gold

Added UC Short Duration Bond Fund

2023

Added Roth 403(b) and Roth 457(b)

2022

Moved UCRSP Fossil Fuel Free

2021

Launched Deferred Lifetime Income

Launched UC Global ex Fossil Fuel Fund

2015 - 2020

Moved 4 fixed income funds to passive

Launched Pathway 2065

3rd Party manager for Pathway

Moved to white labeled institutional funds

Reduced funds from 64 to 16

UC Retirement Savings: Investment Options at a Glance

TARGET DATE FUNDS - $23.4 billion
UC Pathway Funds

UC Pathway Income Fund
UC Pathway Fund 2025
UC Pathway Fund 2030
UC Pathway Fund 2035
UC Pathway Fund 2040
UC Pathway Fund 2045
UC Pathway Fund 2050
UC Pathway Fund 2055
UC Pathway Fund 2060
UC Pathway Fund 2065
UC Pathway Fund 2070

CORE FUNDS - $25.5 Billion
Bond and Stock Investments

Bond Investments - $4,767
Shorter-Term
UC Money Market Fund - $2,874
UC Short Duration Bond Fund - $100

Intermediate-Term
UC Bond Fund - $1,206

Inflation-Protected
UC Short Term TIPS Fund - $587

Domestic Stock - $16,636
Large Cap
UC Growth Company Fund - $5,528

Small Cap
UC Domestic Small Cap Equity Fund - $910

Broad Cap
UC Domestic Equity Index Fund – 10,198

Foreign/Global Stock - $2,471
Developed Markets
UC International Equity Index Fund - $1,612
UC Diversified International Fund - $301

Emerging Markets
UC Emerging Markets Equity Fund - $455

Global Markets
UC Global Equity Fund - 103

Specialty Stock - $1,627
UC Real Estate Fund - $340
UC Social Equity Fund - $1,220
UC Blue and Gold Fund - $67

BROKERAGE WINDOW - $4.1 billion
Fidelity BROKERAGELINK®

UC Retirement Savings: Core Funds Performance

				Annualized Total Return (%)			
US Large Equity	**Market Value ($M)**	**Weight (%)**	**Fiscal YTD 12 Months**	**One Year**	**Three Year**	**Five Year**	**Ten Year**
UC Domestic Equity Index Fund	$10,198	19.3%	23.3	23.3	20.8	12.6	15.4
UC Social Index Fund	$1,220	2.3%	21.3	21.3	21.0	12.7	16.2
US Small/Mid Cap Equity							
UC Domestic Small Cap Index Fund	$910	1.7%	41.7	41.7	19.3	7.4	11.5
Global/World ex-US Equity							
UC International Equity Index Fund	$1,612	3.0%	19.8	19.8	17.1	9.0	10.0
Growth Large Equity							
UC Growth Company Fund	$5,528	10.4%	49.4	49.4	33.1	17.4	24.4
World ex-US Equity							
UC Diversified Intl. Fund	$301	0.6%	23.1	23.1	17.7	8.6	10.6
UC Emerging Markets Fund	$455	0.9%	43.1	43.1	22.6	7.4	9.5
Global Equity							
UC Global Equity Fund	$103	0.2%	24.7	24.7	20.2	10.8	-
Real Estate							
UC Real Estate Fund	$340	0.6%	21.1	21.1	12.4	5.9	5.9
Endowment Like							
UC Blue and Gold Fund	$67	0.1%	20.3	20.3	-	-	-

UC Retirement Savings: Fixed Income Performance

				Annualized Total Return (%)			
Capital Preservation	**Market Value ($M)**	**Weight (%)**	**Fiscal YTD 12 Months**	**One Year**	**Three Year**	**Five Year**	**Ten Year**
UC Money Market Fund	$2,874	5.4%	-	-	-	-	-
Inflation Sensitive							
UC Short Term TIPS Fund	$587	1.1%	3.6	3.6	5.3	3.7	3.2
Diversified Fixed Income							
UC Bond Fund	$1,206	2.3%	3.8	3.8	4.2	0.1	1.6
UC Short Duration Bond Fund	$100	0.2%	3.1	3.1	-	-	-

Target Date Fund – Pathway Performace

				Annualized Total Return (%)			
Target Date Funds	**Market Value ($M)**	**Weight (%)**	**Fiscal YTD 12 Months**	**One Year**	**Three Year**	**Five Year**	**Ten Year**
UC Pathway Income Fund	$3,345	6.3%	12.8	12.8	10.8	6.1	6.5
UC Pathway Fund 2025	$2,329	4.4%	14.9	14.9	12.9	6.7	8.4
UC Pathway Fund 2030	$3,372	6.4%	17.6	17.6	14.8	7.5	9.3
UC Pathway Fund 2035	$3,032	5.7%	19.8	19.8	15.9	8.2	10.1
UC Pathway Fund 2040	$2,880	5.4%	21.4	21.4	16.7	8.6	10.6
UC Pathway Fund 2045	$2,737	5.2%	22.7	22.7	17.4	8.9	11.2
UC Pathway Fund 2050	$2,575	4.9%	23.5	23.5	17.8	9.1	11.5
UC Pathway Fund 2055	$1,768	3.3%	23.5	23.5	17.8	9.1	11.6
UC Pathway Fund 2060	$1,154	2.2%	23.5	23.5	17.8	9.1	11.6
UC Pathway Fund 2065	$175	0.3%	23.5	23.5	17.8	9.1	-
UC Pathway Fund 2070	$19	0.0%	23.5	23.5	-	-	-

UC Investments Way

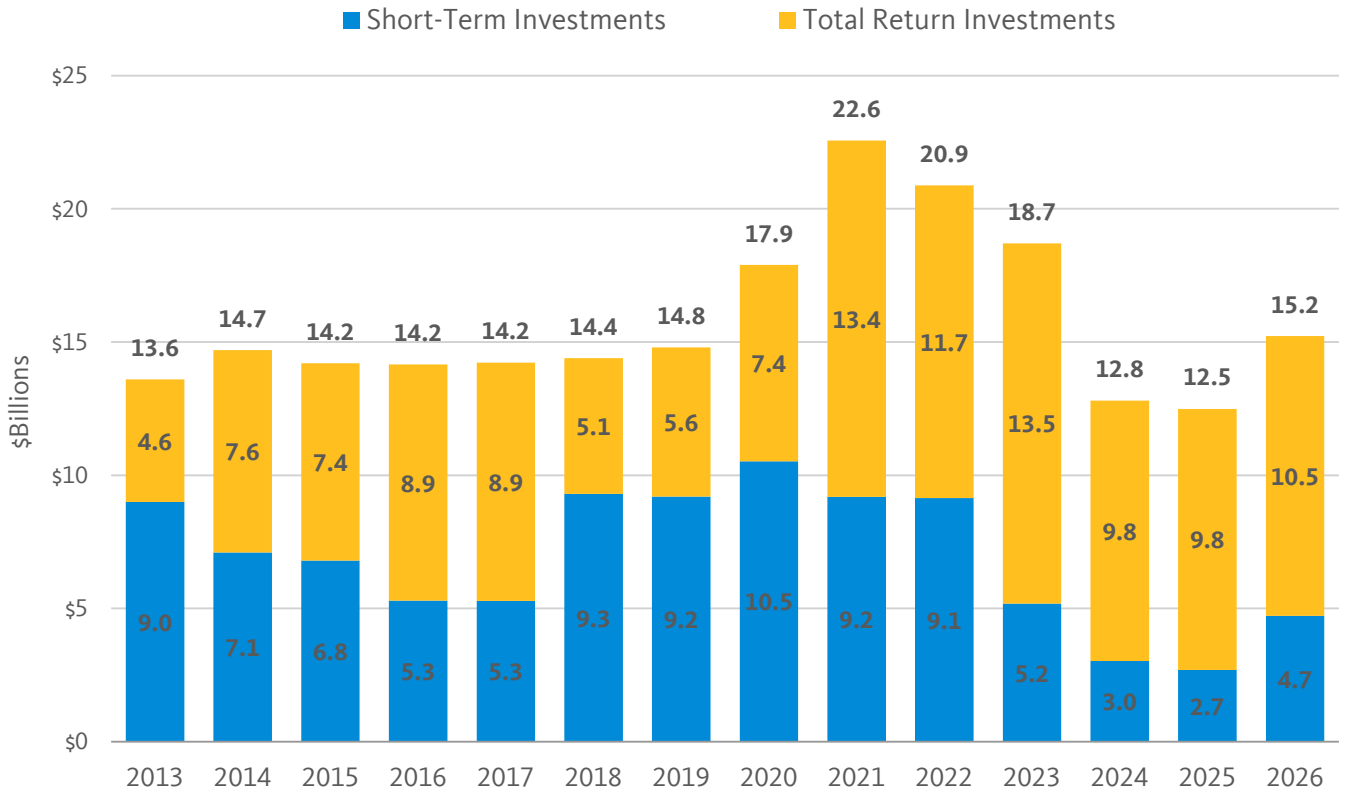
UC Working Capital

Short-Term Investments

Total Return Investments

June 30, 2026

UC Working Capital: Assets Growth



ASSET GROWTH

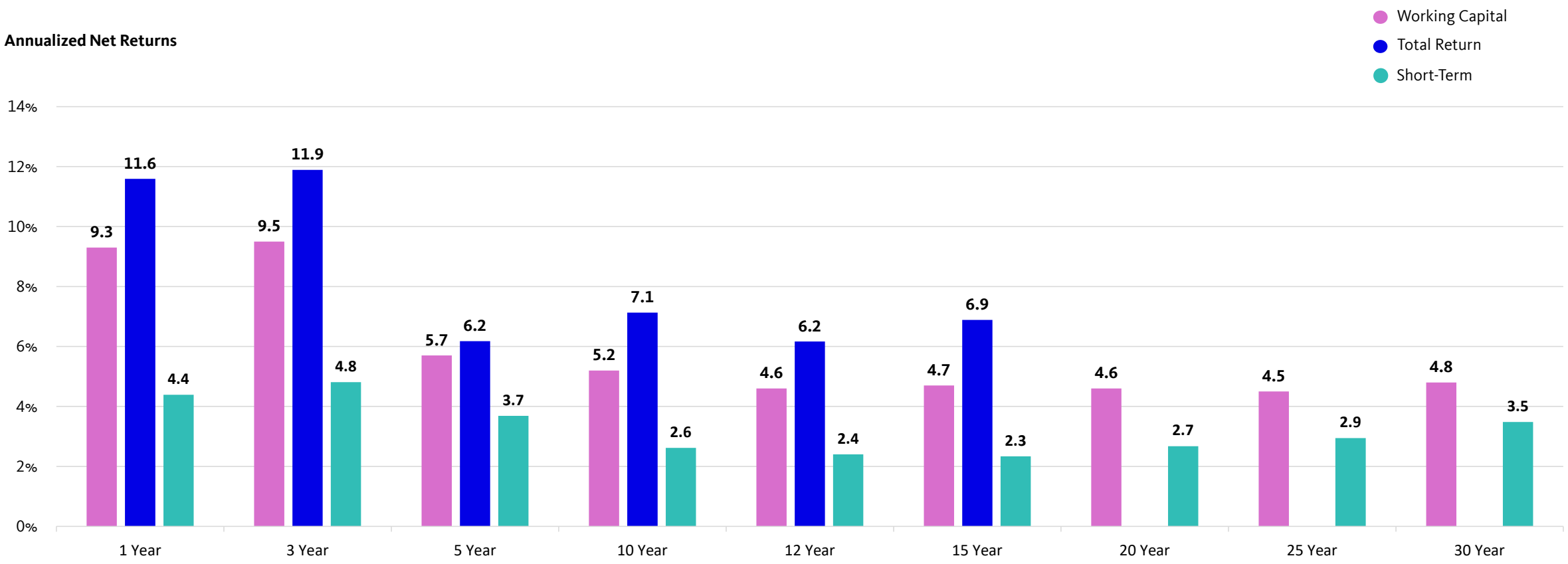
June 30, 2026

Today	$15B	Δ Change
1 Year Ago	$12B	+$3B
5 Years Ago	$23B	-$8B
10 Years Ago	$14B	+$1B
12 Years Ago	$15B	$0B
13 Years Ago	$14B	+$1B

UC Working Capital: Assets

Fiscal Year To Date (12 Months)	Total Return Market Value ($M)	Short-Term Market Value ($M)	UC Working Capital Market Value ($M)
June 30, 2025	9,798	2,693	12,491
Market Gains	1,285	86	1,371
Value Added	(179)	28	(151)
Net Cash Flow	(398)	1,915	1,517
June 30, 2026	10,506	4,722	15,228

UC Working Capital: Performance



SHORT-TERM INVESTMENTS

A working capital portfolio managed to ensure adequate liquidity to meet our system's cash needs.

1. Asset Allocation
2. Performance
3. Asset Classes
4. Risk Allocation

Short-Term: Asset Allocation

Fiscal Year To Date (12 Months)	Market Value ($M)
June 30, 2025	2,693
Market Gains	86
Value Added	28
Net Cash Flow	1,915
June 30, 2026	4,722

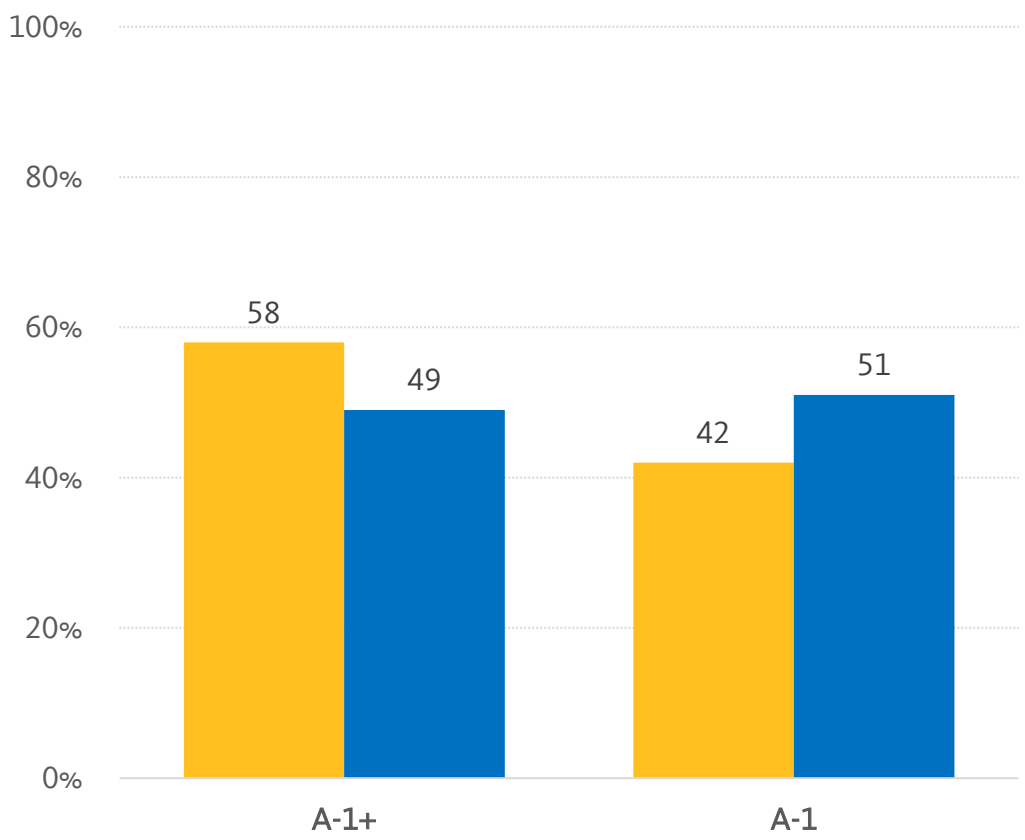
100% Fixed Income	Market Value ($M)	Portfolio (%)	Duration (Year)	Rating
Government & Agencies	2,307	49	0.04	A-1+
Commercial Paper	2,415	51	0.01	A-1
Total	4,722	100	0.05	A-1

Policy Benchmark

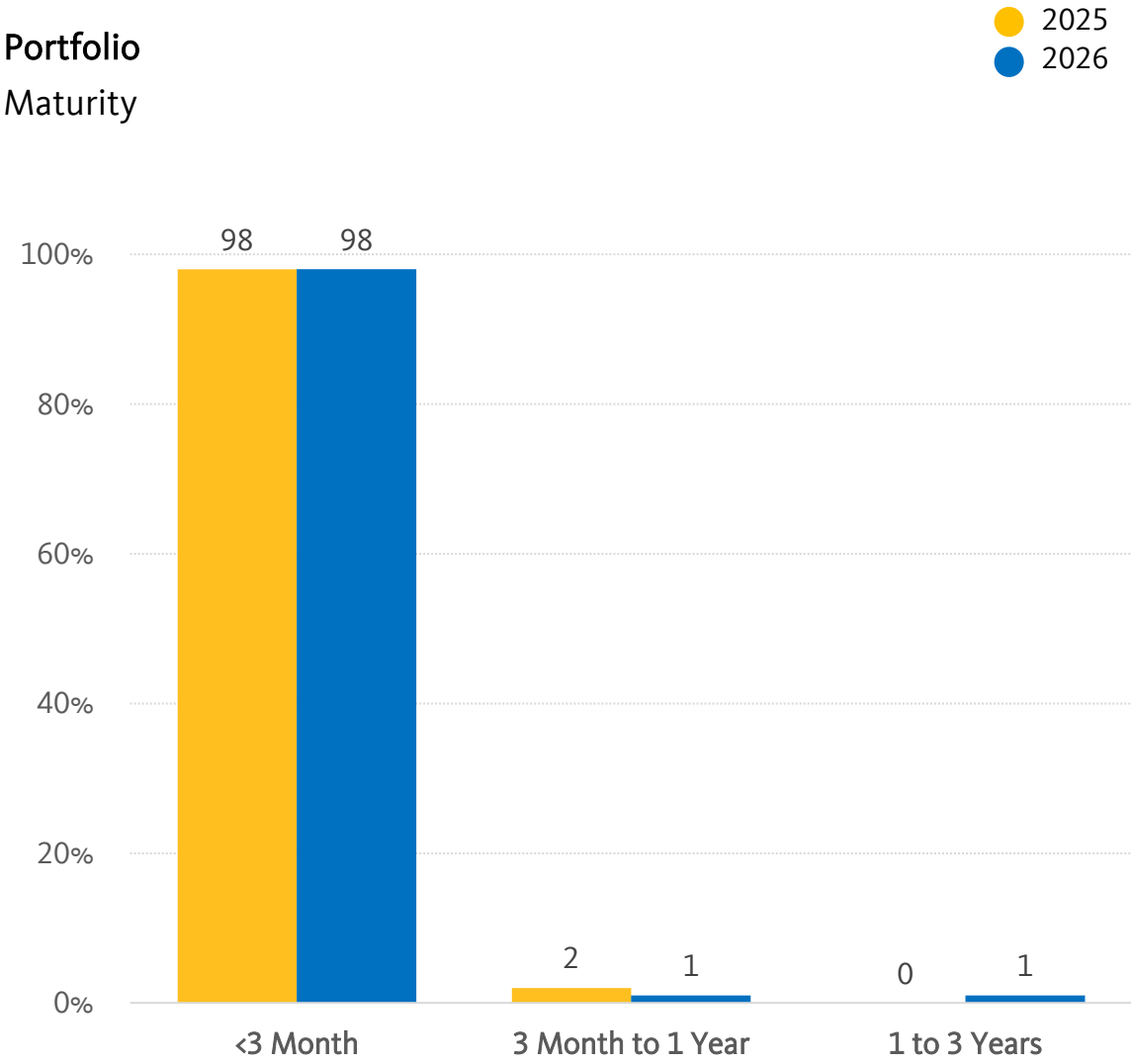
Asset Class	Policy Benchmark
Short-Term	50/50 Weighted Average of the yield on a constant maturity One Year US Treasury Note and US 30-Day Treasury Bills

Short-Term: Portfolio Credit Quality and Maturity

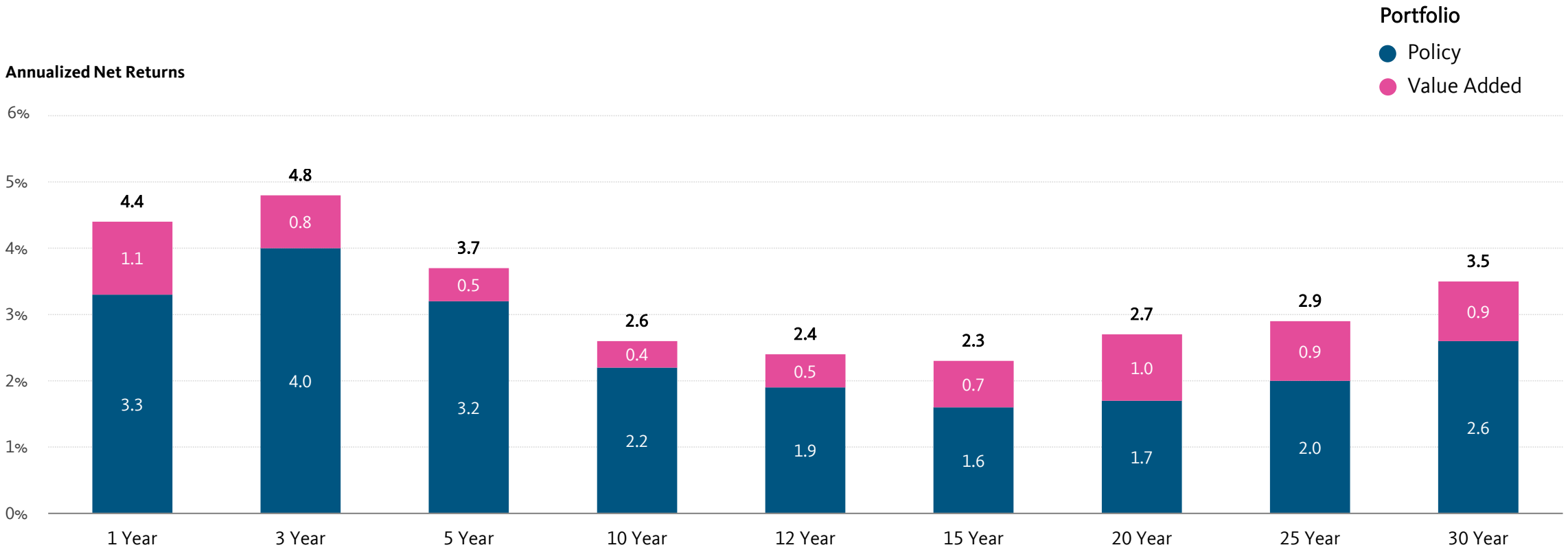
Portfolio
Credit Quality



Portfolio
Maturity



Short-Term: Performance



Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year	20 Year	25 Year	30 Year
Short-Term	4,722	100	4.4	4.4	4.8	3.7	2.6	2.4	2.3	2.7	2.9	3.5
Benchmark			3.3	3.3	4.0	3.2	2.2	1.9	1.6	1.7	2.0	2.6
Value Added			1.1	1.1	0.8	0.5	0.4	0.5	0.7	1.0	0.9	0.9

TOTAL RETURN INVESTMENTS

Generate a rate of return,
after all costs and fees,
in excess of the policy benchmark,
and consistent with liquidity,
cash flow requirements,
and risk budget

1. Asset Allocation
2. Performance
3. Asset Classes
4. Risk Allocation

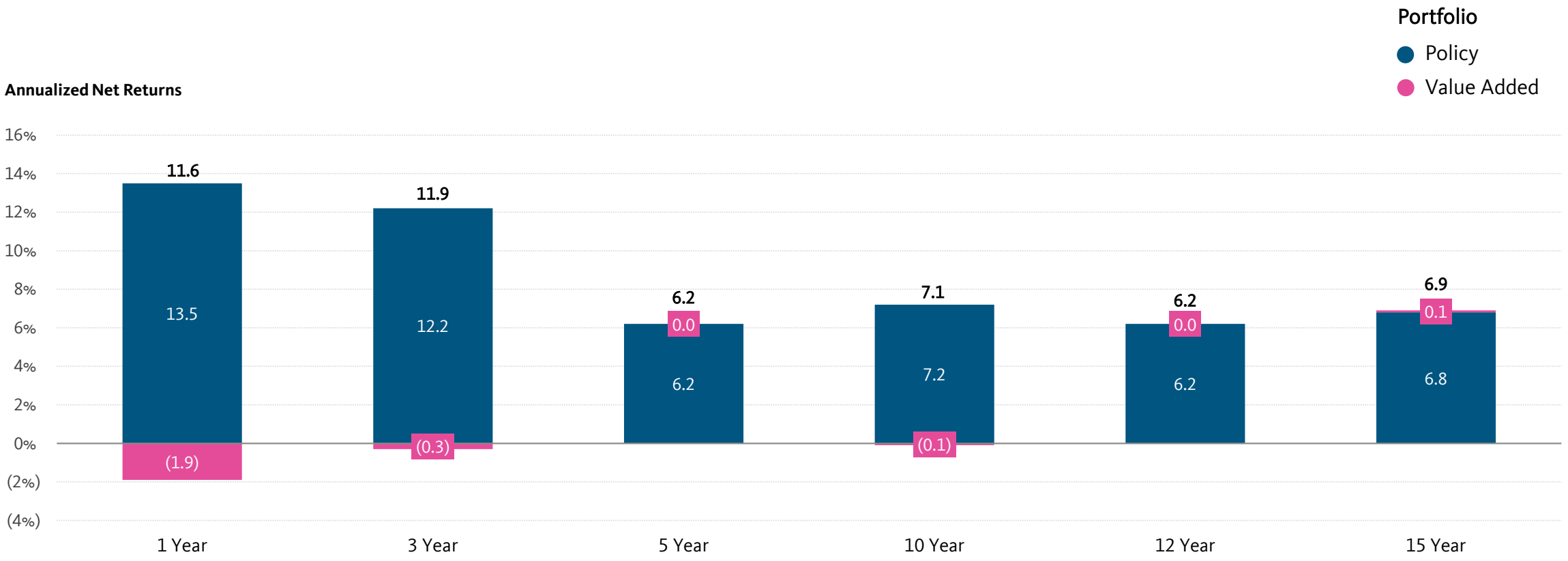
Total Return: Asset Allocation

	Market Value ($M)	Weight (%)	Over/Underweight (%)	Policy (%)
Public Equity	5,892	56.1	6.1	50
Fixed Income	3,477	33.1	(16.9)	50
Private Assets	1,087	10.3	10.3	-
Cash	50	0.5	0.5	-
Total	$10,506	100%		100%

Policy Benchmark

Asset Class	Policy Benchmark Component	Target (%)	Min Allowable Range (%)	Max Allowable Range (%)
Public Equity	MSCI All Country World Index (ACWI) Investable Market Index (IMI) Tobacco and Fossil Fuel Free - Net Dividends	50	30	70
Fixed Income	Bloomberg 1-5 Year Government/Credit Index	50	30	70
Private Assets	Total TRIP Portfolio Benchmark	0	0	40

Total Return: Performance



Total Return: Performance

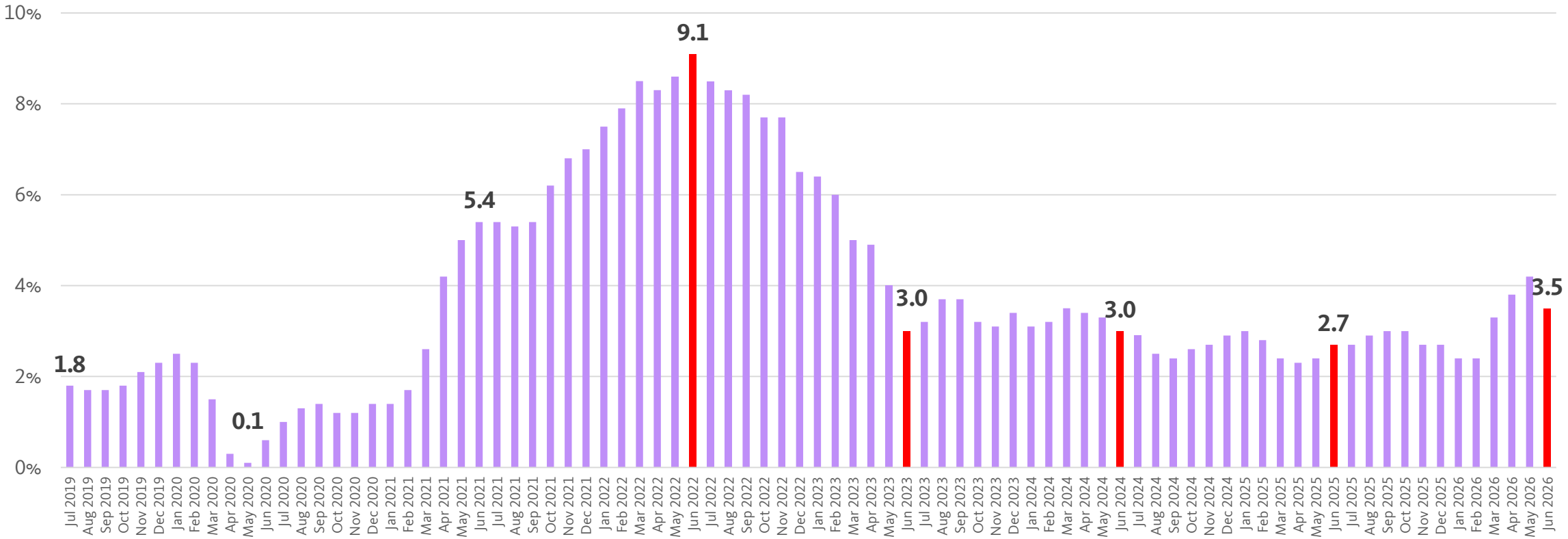
Net Returns (%)	Market Value ($M)	Weight (%)	Fiscal YTD 12 Months	Annualized Returns 1 Year	3 Year	5 Year	10 Year	12 Year	15 Year
Total Return	10,506	100	11.6	11.6	11.9	6.2	7.1	6.2	6.9
Benchmark			13.5	13.5	12.2	6.2	7.2	6.2	6.8
Value Added			(1.9)	(1.9)	(0.3)	0.0	(0.1)	0.0	0.1
Public Equity	5,892	56.1	20.3	20.3	18.9	10.1	11.1	8.9	9.9
Benchmark			24.3	24.3	19.8	10.4	12.5	10.8	10.7
Value Added			(4.0)	(4.0)	(0.9)	(0.3)	(1.4)	(1.9)	(0.8)
Fixed Income	3,477	33.1	3.1	3.1	4.7	1.9	2.6	2.7	3.5
Benchmark			3.0	3.0	4.7	1.7	2.5	2.7	2.9
Value Added			0.1	0.1	0.0	0.2	0.1	0.0	0.6
Private Assets	1,087	10.3	1.0	1.0	-	-	-	-	-
Benchmark			13.5	13.5	-	-	-	-	-
Value Added			(12.5)	(12.5)	-	-	-	-	-
Cash	50	0.5	4.4	4.4	4.8	3.7	2.6	2.4	2.3

UC Investments Way

Market Update

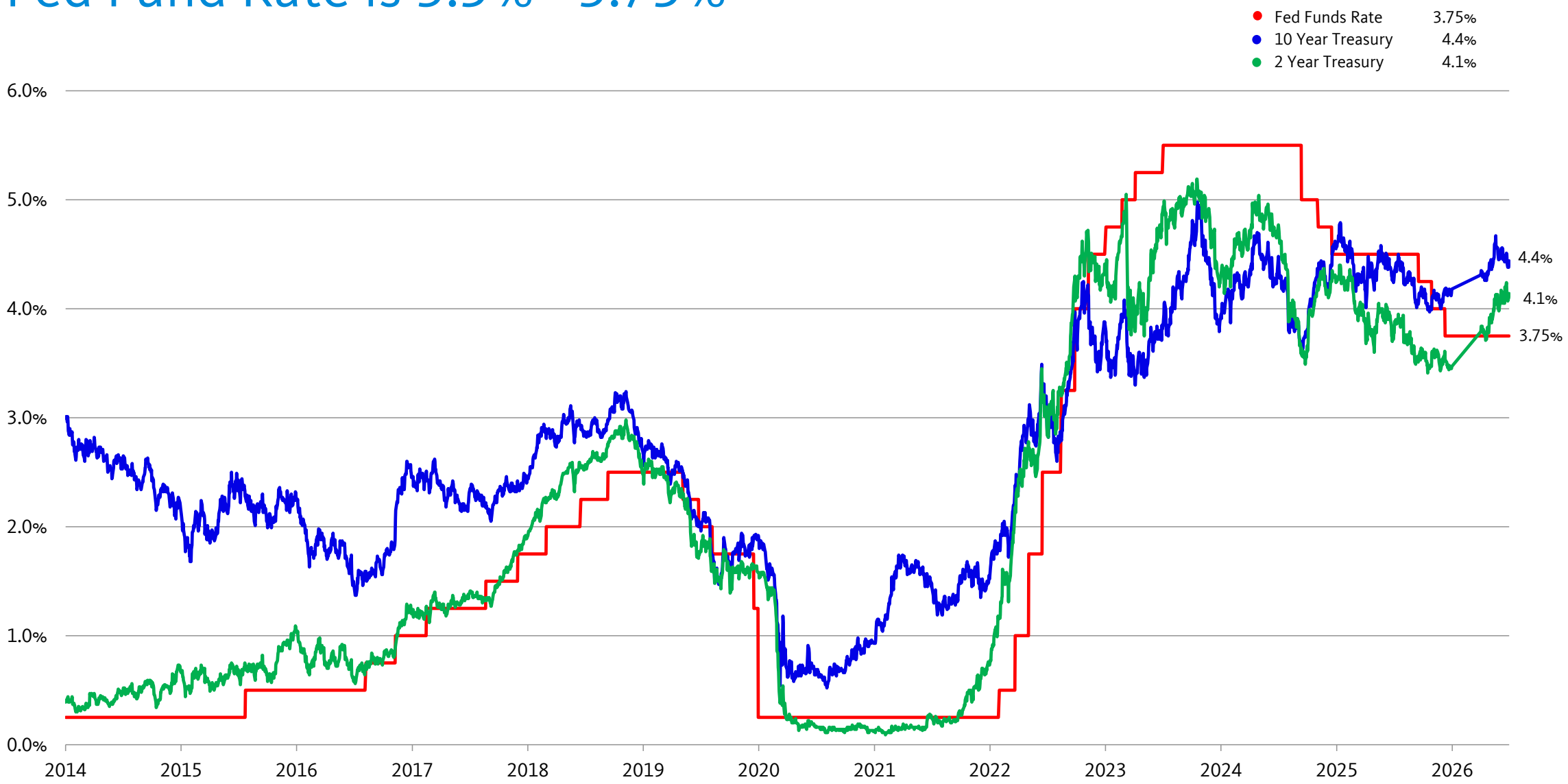
June 30, 2026

U.S. Inflation Increases to 3.5% in June



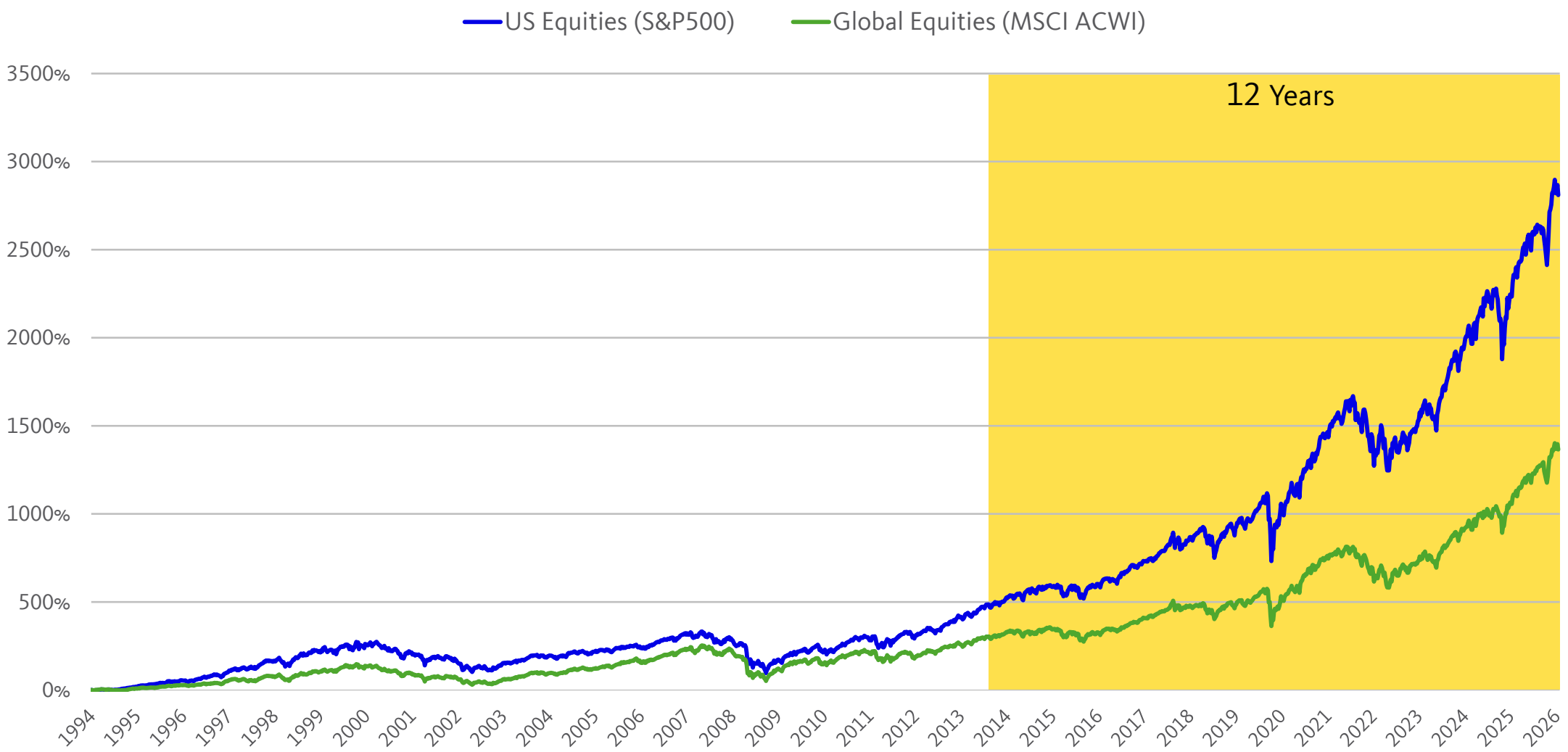
Source: Bloomberg

Fed Fund Rate is 3.5% - 3.75%



Source: Bloomberg

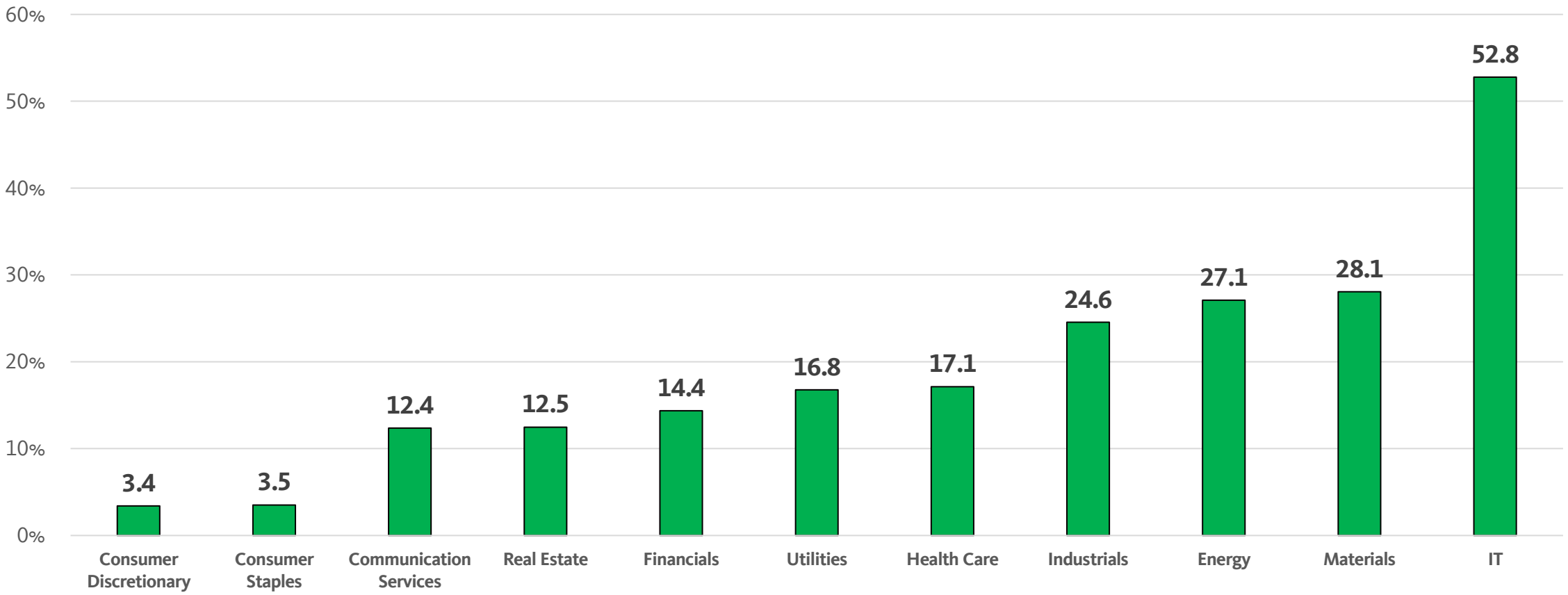
Global Equities Up 24.2% in FY 2025/2026



Source: Bloomberg

Global Equity Returns By Sector

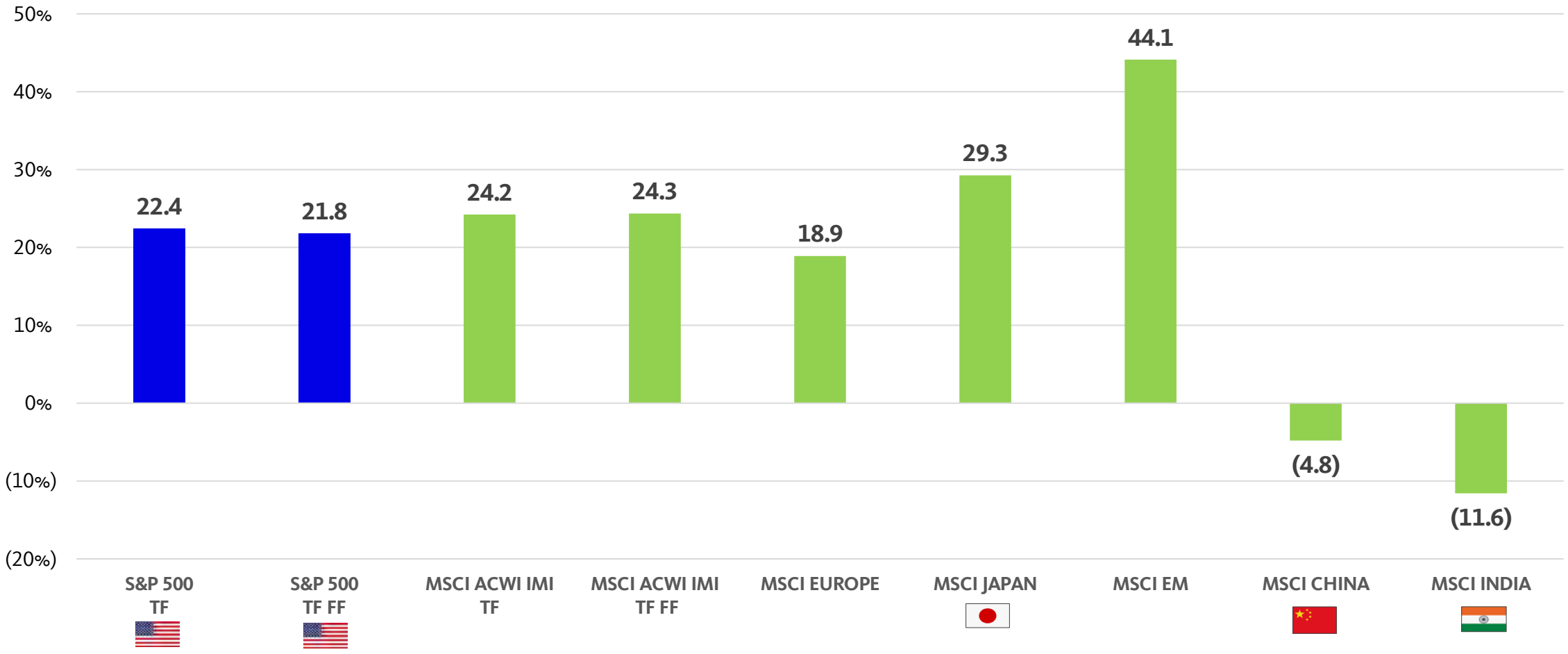
1 Year



Source: Bloomberg

Global Equity Performance

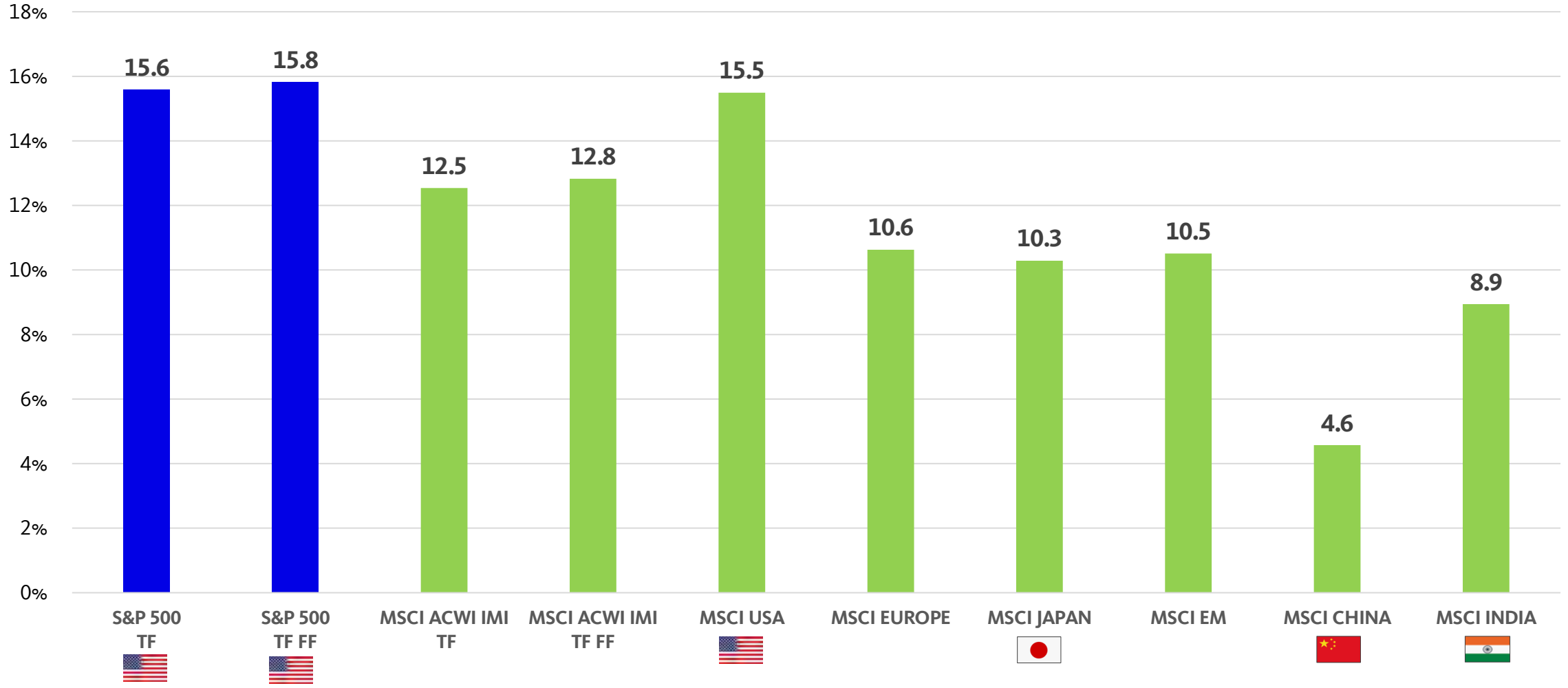
1 Year



TF: Tobacco Free, FF: Fossil Fuel Free
Denominated in USD
Source: Bloomberg

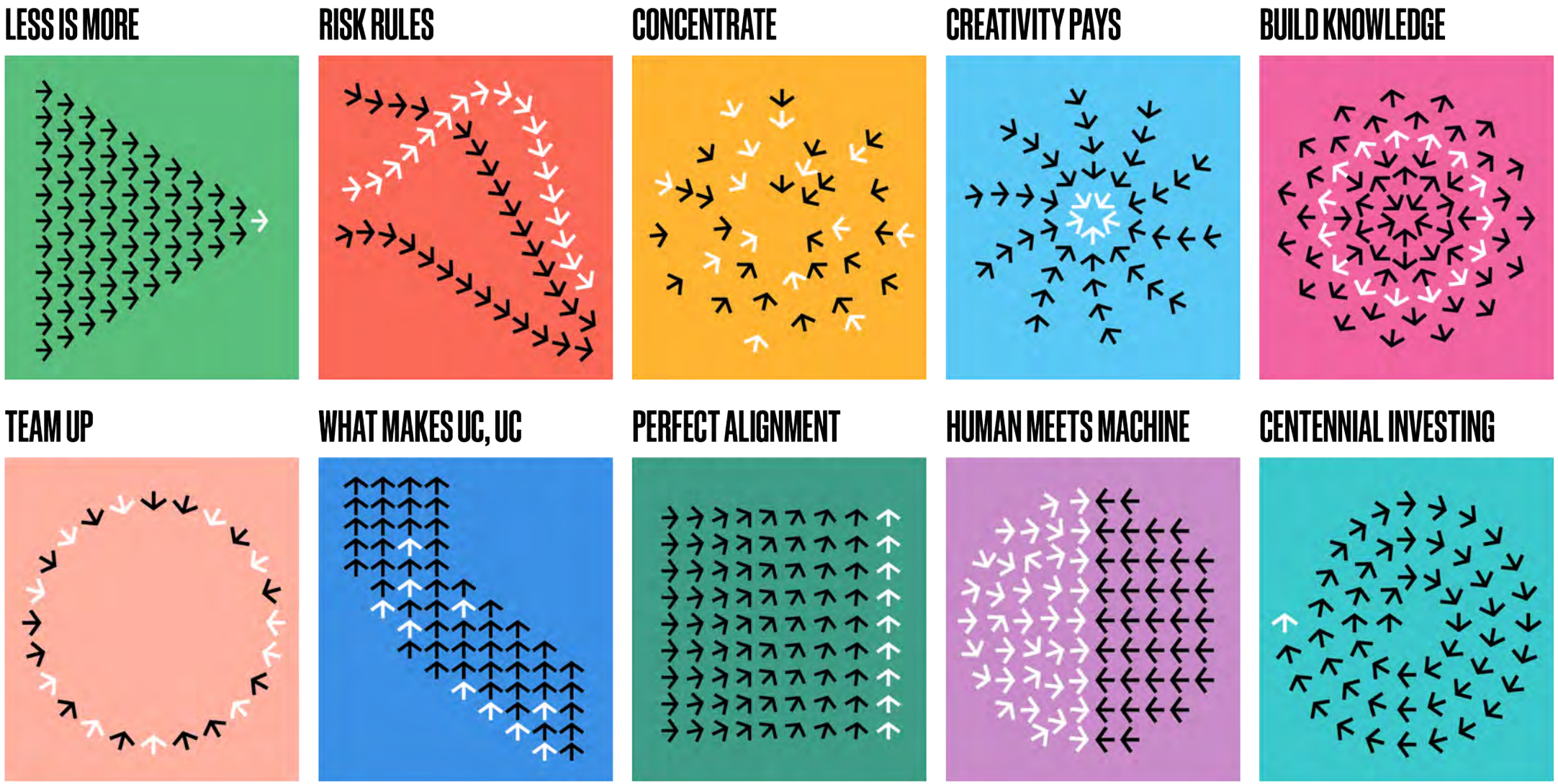
Global Equity Performance

10 Years Annualized



TF: Tobacco Free, FF: Fossil Fuel Free
Denominated in USD
Source: Bloomberg

UC Investments Way



REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: Core Operations Budget Report for 2026-27 - As of September 30, 2026

3. REPORT:

Attached is the State Core Operations Budget Report for 2026-27 as of September 30, 2026. Significant variances are discussed below:

Overview

As of September 30, 2026, the College recorded total revenues of $51 million, representing approximately 50% of the 2026–27 beginning budget of $103.5 million. Total expenses were $38.4 million, or approximately 37% of the beginning budget of $103.3 million. Excluding $10.08 million in internal fund transfers (i.e., the annual appropriation supporting McAllister Tower debt service) and $9.2 million in financial aid grants, operating expenses as of September 2026 are $19.1 million, or 26% of the adjusted budget.

At the end of the first quarter of the fiscal year, 25% of the fiscal year has elapsed. Revenue collections are ahead of the straight-line budget benchmark, primarily reflecting the typical timing of tuition and fee collections, while expenditures are moderately above the expected quarterly spending pace, reflecting normal operational timing and a few front-loaded costs, particularly in insurance and contractual services.

Revenues

Overall revenue collections are ahead of the 25% first-quarter benchmark, reflecting the expected timing of state appropriations and tuition and related fees.

- **State Appropriations, General Fund**—The 2026-27 actual claims as of September 2026 totaled $17.1 million, representing 48% of the annual budget of $35.9 million for ongoing support appropriations. State appropriations are claimed according to the State's disbursement schedule. This includes $10.08 million in ongoing General Fund allocations to support debt service for the McAllister Tower building capital renovation project, which was fully received in September 2026. Pass-through General Fund lease-revenue funding for 333 Golden Gate building rental payments continues, with a 2026-27 appropriation of $3.1 million that is not included in this report.
- **Tuition and Related Fees**—Tuition and related fee revenue totaled $33.5 million, representing approximately 51% of the annual budget of $65.7 million. This category represents the College's largest revenue source, accounting for approximately 64% of total revenues recorded through September. Overall tuition and fee collections are

performing favorably. The table below reports projected FTE student enrollment and actual enrollments based on revenues as of September 2026.

FTE Student Enrollment 2026-27	Beginning Budget	Actual as of 9/30/26	Variance	% Variance
JD	1,125.0	1,144.2	19.2	2%
LL.M.	16.0	13.4	(2.6)	-16%
MLS/CLS	56.3	57.8	1.5	3%

- **JD Enrollment Fee—**The beginning budget projected a total JD enrollment of 1,125 FTE students paying the $54,680 annual general enrollment fee. JD enrollment fee revenue totaled $31.3 million as of September 2026, representing 51% of the annual budget of $61.5 million. As the College's primary tuition revenue source, JD enrollment fees account for approximately 93% of total tuition and related fee revenue. As of September 2026, the College received revenue from 1,144 FTE JD students for the Fall 2026 semester.

JD Program 2026-27	Beginning Budget	Actual as of 9/30/26	9/30/26 Annualized Projection	Projection $ Variance to Budget	Projection % Variance to Budget
Enrollemnt Fee	61,515,000	31,283,176	62,566,351	1,051,351	1.7%
Financial Aid	(18,679,635)	(8,680,314)	(17,360,628)	1,319,007	-7.1%
Net JD Revenues	**$ 42,835,365**	**$ 22,602,862**	**$ 45,205,723**	**$ 2,370,358**	**5.5%**
Grant Discount Rate	-30.4%	-27.7%	-27.7%		

- **Veteran Fee Waivers**—The 2026-27 budget projects 29 FTE JD students are eligible for a waiver of the $54,680 annual enrollment fee, for a total of $1.6 million in foregone fee revenues resulting from this unfunded state mandate. As of September 2026, 33 FTE students qualified for fee waivers, bringing the revised annual projection to $1.8 million. In 2025-26, 27 FTE students received waivers totaling $1.5 million in foregone revenue.
- **LL.M. Tuition**—The 2026-27 budget projects 16 FTE LLM students paying a $55,000 annual fee for $880,00 in revenue before financial aid. As of September 2026, revenue from 13.4 FTE students has been recorded. The projected yearly revenue after financial aid is 16% above the beginning budget.

LL.M. Program 2026-27	Beginning Budget	Actual as of 9/30/26	9/30/26 Annualized Projection	Projection $ Variance to Budget	Projection % Variance to Budget
LLM Fee	880,000	368,914	737,829	(142,171)	-16%
Financial Aid	(581,250)	(195,625)	(391,250)	190,000	-33%
Net LLM Revenue	**$ 298,750**	**$ 173,289**	**$ 346,579**	**$ 47,829**	**16%**
Grant Discount Rate	-66.1%	-53.0%	-53.0%		

- **MLS and CLS Tuition**—Part-time students are enrolled in the MLS and CLS programs, with a projected enrollment of 56.3 FTE students paying per-unit charges equivalent to a $37,500 annual fee in 2026-27. As of September 2026, revenue from 57.8 FTE students has been recorded. If this enrollment is held through the spring semester, projected annual revenue after financial aid is 8% above the beginning budget.

MSL & CSL Programs 2026-27	Beginning Budget	Actual as of 9/30/26	9/30/26 Annualized Projection	Projection $ Variance to Budget	Projection % Variance to Budget
MLS/CLS Fee	2,109,375	1,083,479	2,166,959	57,584	2.7%
Financial Aid	(230,625)	(70,315)	(140,630)	89,995	-39.0%
Net MSL/CSL Revenues	**$ 1,878,750**	**$ 1,013,164**	**$ 2,026,329**	**$ 147,579**	**7.9%**
Grant Discount Rate	-10.9%	-6.5%	-6.5%		

- **HPL Revenue Share**—The 2026-27 budget reflects 15.5 FTE students for a total of $698,000 in fees collected by UC Law SF before paying UCSF's revenue share of $139,000 at fiscal year-end, which results in a net revenue share projection of $559,000. $408,000, or 73% of the net revenue share budget, had been posted as of September 2026.
- **Unrealized Gain/Loss on Investments**—This category accounts for the change in the market value of the Core Operation's fund share of the UC General Endowment Pool (GEP), and it is not a budgeted item. As of September 2026, unrealized gains total $1.1 million. For comparison, unrealized gains totaled $2.4 million as of September 2025, and 2025-26 ended the year with a $12.3 million increase in market value.
- **Overhead Allowances**—The 2026-27 beginning budget included $225,221 in overhead allowances from Auxiliary Enterprises, which will be captured at the fiscal year-end. The remaining $657,309 in the budget is overhead or indirect cost allowances on federal, state, and private grants, and as of September 2026, $36,569 has been recognized.

Expenses

Excluding both transfers and financial aid grants, operating expenditure totaled $19.10 million, representing approximately 26% of the adjusted budget of $72.55 million. This provides a more representative view of the College's underlying operating expenditure trends and indicates that routine operating expenses are generally tracking close to the first-quarter benchmark.

Overall, while total expenditures are slightly above the expected quarterly spending pace, the underlying operating expenditure level is relatively moderate. Certain categories, particularly insurance, technology-related expenses, electronic databases, and financial aid grants, have higher year-to-date utilization rates because payments are front-loaded.

- **Staff Benefits** – As of September 2026, $2.7 million, or 25% of the total $10.8 million budget, was posted. This aligns with salary expenditure and is consistent with first-

quarter payroll timing. The 2025-26 actual expense of $5.9 million included $(4.8) million in non-cash pension liability, retiree health benefits expense, and OPEB adjusting entries. Excluding these non-cash adjustments, the 2025-26 actual staff benefits cost is $10.6 million.

- **Recruiting & Advertising**—The 2026-27 budget is projected at approximately $604,000. It is primarily for $470,000 in advertising costs for the Graduate Division, increasing by $270,000 to account for an additional 25% of the incoming MLS student revenue share to vendor All Campus. As of September 2026, $259,324 had been paid, accounting for 43% of the total budget, mainly because $200,000, or 43% of the Graduate Division advertising budget, was spent in the first quarter. $274,352, or 63% of the 2025-26 actuals, was spent as of September 2025.
- **Insurance**—Insurance expenditures totaled $1.28 million, representing approximately 101% of the annual budget of $1.27 million as of September 2026. Actual expenditure exceeded the full-year budget by approximately $12,737; accounting adjustments will reduce this by reallocating to non-state cost centers. Insurance annual premiums are typically paid in full in the first quarter of the fiscal year.
- **Travel**—Included here are allocations for faculty research and professional development from which other expenditure categories are allowed. As of September 2026, $92,159, or 10% of the total budget, was spent. This is mainly because $10,118, or 5% of the $192,250 budget allocated to faculty research accounts, has been spent. Moot Court student competition team travel is budgeted at $250,000, and $20,636 (8%) has been paid to date. The lower expenditure level may reflect the timing of conferences, professional travel, and other College-related activities.
- **Computer Software**—The 2026-27 budget is approximately $1.7 million, an increase of approximately $293,000, or 21%, driven by software licensing increases and system upgrades. As of September 2026, expenditure totaled $875,025, representing 52% of the annual budget. The higher expenditure reflects annual software license renewals, subscription payments, and technology-related contractual commitments paid at the beginning of the fiscal year. The IT department has a budget of $880,000, and 51% has been spent. $110,000 is budgeted for Blackbaud, and 89% has been paid for annual services. This year is comparable to $696,657, or 50% of the 2025-26 actuals paid as of September 2025.
- **Data Processing**—The 2026-27 budget is projected at approximately $680,000, and 64% of the budget is allocated for UC Path data processing payment to the UC Regents. As of September 2026, expenditure totaled $250,789, or 37% of the annual budget. Spending is moderately above the quarterly benchmark. This is mainly because $105,350, or 88% of the budget allocated for Lingk data-processing services for data integration, had been paid in July 2026.
- **Electronic Databases & Bibliography Services**—The 2026-27 budget is approximately $569,000, and 92% of the total budget is allocated for the Law Library electronic database. As of September 2026, expenditure totaled $380,499, representing 67% of the annual budget. This category has one of the highest expenditure utilization rates among the operating expense categories. This is mainly because $338,296, or 65% of the budget allocated for the Law Library electronic database, has been paid. The spending pattern reflects annual subscription renewals and institutional database access agreements that are paid early in the fiscal year. This year is comparable to $343,416, or 69% of the 2025-26 actuals paid as of September 2025.

- **Security Service Contract**—The 2026-27 budget is projected at $5.1 million, and $3.2 million is for public safety services provided by Urban Alchemy. As of September 2026, expenditure totaled $400,847, representing 8% of the annual budget. Spending is significantly below the quarterly benchmark. This is mainly due to delays in vendor payments. Urban Alchemy provided sidewalk safety services from August to September 2026 totaling approximately $575,000, but these payments were not made, and no payments have been made to the UCSF Police Department on a quarterly billing cycle as of September 2026.
- **Other Contract Services**—This budget category contains various contracted services across all functions and departments of the College. It is budgeted at $2.8 million, and $1.7 million, or 61% of the total budget, is allocated to the IT department for hosted systems and contractual services. As of September 2026, expenditure totaled $1.1 million, representing 39% of the annual budget. Spending is moderately above the quarterly benchmark. This is mainly because around $750,000, or 83% of the budget allocated for hosted systems contracts for IT and Library, was paid in the first quarter. As of September 2025, $2.3 million, or 92% of the 205-26 year-end actuals, was posted. This was mainly because around $700,000 for hosted systems contracts for IT and Library was paid in the first quarter; a $302,137 security services payment to Urban Alchemy was pending reclassification to the Security Service contract line. Additionally, $745,217 had been paid for building maintenance contracts for the Law Café code compliance upgrade project.
- **Financial Aid Grants**—As of September 2026, financial aid grants totaled $9.2 million, representing approximately 44% of the annual budget of $20.7 million. Spending is ahead of the 25% quarterly benchmark, reflecting the typical timing of financial aid awards and disbursements for the fall semester, which is typically half of the annual budget. This portends that all funding for JD, LLM, and MLS grants will not be expended. The segments of financial aid and their status as of September 2026 are as follows: the JD, LLM, and MLS grants reflect disbursements for the Fall 2026 semester. The housing stipend reflects the disbursements for the first three months. LRAP and Summer Internships will be posted at the end of the fiscal year:

Financial Aid 2026-27	Beginning Budget	Actual as of 9/30/26	Actual as Percent of Budget
JD Grants	18,679,635	8,680,314	46%
Housing Stipend	1,178,816	235,263	20%
LLM Grants	581,250	195,625	34%
MLS Grants	230,625	70,315	30%
NAOPS	8,000	-	0%
LRAP Loan Cancellation	5,000	-	0%
Total Financial Aid	**$ 20,683,326**	**$ 9,181,517**	**44%**

- **Transfer to Other Funds**—The $10.1 million is budgeted for transferring the ongoing General Fund allocation to support debt service associated with the McAllister Tower building capital renovation project, which was fully received and transferred out to the nonstate Tower Renovation fund in September 2026.

Summary – Operations

As of September 30, 2026, the College reported operating revenues of $50.7 million (49% of budget) and operating expenses of $28.3 million (30% of budget), resulting in operating income of $22.4 million. Including $1.3 million in non-operating revenues, primarily driven by $1.1 million in investment gains, income before transfers totaled $23.7 million. After the $10.1 million transfer to other funds, the College recorded a $13.6 million increase in net assets.

Overall, the College's financial position remains favorable through the first quarter, supported by strong revenue collections and moderate growth in operating expenditures. Expenses remain well-controlled and consistent with budgeted patterns. Several large annual expenses were paid early in the year, but overall expenditure pacing remains moderate.

- **Beginning Net Assets-Operating**—The carryover of the prior year's net assets has been finalized at $30.77 million. This is the net amount of state fund assets minus liabilities, excluding non-cash pension accounts (i.e., deferred outflows/inflows of resources, net pension, and retiree health benefits liabilities with UCRP).
  FY 2026-27 beginning net assets do not equal FY 2025-26 ending net assets because of the $(4.76) million in non-cash pension and retiree benefits adjustments recorded in FY 2025-26. These adjustments reduced FY 2025-26 expenditures, and the schedule calculates FY 2025-26 ending net assets by adding the change in net assets to beginning net assets. As a result, the FY 2025-26 ending net assets include the effect of these non-cash adjustments.

SUMMARY - OPERATIONS	Beginning Budget 2026-27	Actual as of 30-Sep-2026	Actual Sep-26 as a Percent of Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end Actual
Operating Revenues (Expenses)						
Operating Revenues	102,857,002	50,672,403	49%	94,586,274	46,009,955	49%
Operating Expenses	(93,236,249)	(28,281,445)	30%	(80,003,623)	(26,693,208)	33%
Operating Income (Loss)	**9,620,753**	**22,390,957**	**233%**	**14,582,651**	**19,316,747**	**132%**
Non-Operating Revenues (Expenses)						
Investment Income	600,000	179,814	30%	554,251	138,722	25%
Realized/Unrealized Gains (Losses)	-	1,132,338	-	12,285,780	2,444,731	20%
Net Non-Operating Revenues	**600,000**	**1,312,153**	**219%**	**12,840,031**	**2,583,453**	**20%**
Income (Loss) Before Transfers	**10,220,753**	**23,703,110**	**232%**	**27,422,682**	**21,900,200**	**80%**
Transfers						
Transfer from Other Funds	-	-	-	-	-	-
Transfer to Other Funds	(10,079,000)	(10,079,000)	100%	(27,804,661)	(10,079,000)	36%
Net Transfers	**(10,079,000)**	**(10,079,000)**	**100%**	**(27,804,661)**	**(10,079,000)**	**36%**
Change in Net Assets	**$ 141,753**	**$13,624,110**	**9611%**	**$ (381,979)**	**$11,821,200**	**-3095%**
Beginning Net Assets - Operating	30,766,559	30,766,559 *		35,904,147	35,904,147	
Ending Net Assets - Operating	**$ 30,908,312**	**$44,390,669**		**$ 35,522,168**	**$47,725,347**	

Attachment:

- 2026-27 Core Operations Budget Status Report as of September 30, 2026

UC LAW SAN FRANCISCO
Core Operations Budget Report for 2026-27 as of September 2026

REVENUES	Beginning Budget 2026-27	Actual as of 30-Sep-2026		Actual Sep-26 as a Percent of Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end Actual
State Appropriations							
General Fund	35,915,000	17,085,025	*	48%	34,915,000	16,085,025	46%
Lottery Fund	242,000	0		0%	217,881	-	0%
Subtotal	**36,157,000**	**17,085,025**		**47%**	**35,132,881**	**16,085,025**	**46%**
Tuition and Related Fees							
JD Enrollment Fee	61,515,000	31,283,176	*	51%	55,055,078	27,713,799	50%
Non-resident Tuition	1,270,815	635,474		50%	1,094,436	560,247	51%
Veteran Fee Waivers	(1,585,720)	(902,220)	*	57%	(1,454,649)	(716,688)	49%
LL.M. Tuition	880,000	368,914	*	42%	830,893	418,393	50%
MLS and CLS Tuition	2,109,375	1,083,479	*	51%	1,492,178	854,063	57%
HPL Revenue Share	558,657	408,000	*	73%	645,605	488,000	76%
Summer Legal Institute	692,241	419,549		61%	721,704	303,640	42%
MBE Support Fee	140,670	140,160		100%	130,920	129,240	99%
Other Student Fees	160,550	69,253		43%	157,268	49,114	31%
Subtotal	**65,741,588**	**33,505,785**	*	**51%**	**58,673,433**	**29,799,808**	**51%**
Scholarly Publications							
Subscription Revenues	25,884	3,181		12%	10,598	3,257	31%
Subtotal	**25,884**	**3,181**		**12%**	**10,598**	**3,257**	**31%**
Other Income							
Investment Income	600,000	179,814		30%	554,251	138,722	25%
Unrealized Gain/Loss on Investments	-	1,132,338	*	-	12,285,780	2,444,731	20%
Overhead Allowances	882,530	36,569	*	4%	703,733	101,938	14%
Miscellaneous Income	50,000	41,842		84%	65,629	19,927	30%
Subtotal	**1,532,530**	**1,390,564**		**91%**	**13,609,393**	**2,705,318**	**20%**
Transfer from Other Funds	-	-		-	-	-	-
TOTAL REVENUES	**$ 103,457,002**	**$ 51,984,555**		**50%**	**$ 107,426,305**	**$ 48,593,408**	**45%**

*See attached narrative report

UC LAW SAN FRANCISCO
Core Operations Budget Report for 2026-27 as of September 2026

EXPENSES	Beginning Budget 2026-27	Actual as of 30-Sep-2026		Actual Sep-26 as a Percent of Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end Actual
Salaries & Wages	33,270,199	8,193,601		25%	31,183,129	8,156,021	26%
Student Wages-Reg. & Work-study	830,127	83,144		10%	586,013	68,002	12%
Staff Benefits	10,767,602	2,662,298	*	25%	5,883,107	2,633,962	45%
Consultants	733,640	211,961		29%	553,398	60,470	11%
Employee Development & Testing	133,127	31,445		24%	112,240	40,394	36%
Recruiting & Advertising	603,967	259,324	*	43%	434,806	274,352	63%
Audit, Legal, and Case Costs	505,500	103,962		21%	632,428	39,435	6%
Insurance	1,265,536	1,278,273	*	101%	1,169,463	1,163,589	99%
Printing & Copier Service	309,274	63,182		20%	259,101	64,420	25%
Supplies	381,621	105,875		28%	352,623	85,455	24%
Travel	920,450	92,159	*	10%	716,581	124,808	17%
Memberships & Subscriptions	388,666	80,470		21%	369,691	91,231	25%
Events & Entertainment	812,806	281,227		35%	700,360	221,507	32%
Computer Software	1,697,222	875,025	*	52%	1,404,260	696,657	50%
Data Processing	679,925	250,789	*	37%	467,282	18,254	4%
Electronic Databases & Bibliography Svs	569,037	380,499	*	67%	495,396	343,416	69%
Books & Bindings	435,910	264,073		61%	437,877	243,575	56%
Equipment Maintenance	173,862	62,319		36%	145,746	60,225	41%
Building Maintenance	5,475,611	1,257,178		23%	4,640,931	951,327	20%
Security Service Contract	5,010,000	400,847	*	8%	5,317,032	142,491	3%
Other Contract Services	2,767,550	1,092,860	*	39%	2,523,850	2,314,403	92%
Utilities	1,647,512	320,921		19%	1,499,306	273,122	18%
Equipment & Improvements	228,413	69,964		31%	1,131,044	121,120	11%
Space & Equipment Rental	2,703,203	648,677		24%	2,605,288	630,174	24%
Miscellaneous Expense	242,163	29,857		12%	247,735	22,221	9%
Financial Aid Grants	20,683,326	9,181,517	*	44%	16,134,936	7,852,577	49%
Transfer to Other Funds	10,079,000	10,079,000	*	100%	27,804,661	10,079,000	36%
TOTAL EXPENSES	**$ 103,315,249**	**$ 38,360,445**		**37%**	**$ 107,808,284**	**$ 36,772,208**	**34%**

*See attached narrative report

UC LAW SAN FRANCISCO
Core Operations Budget Report for 2026-27 as of September 2026

SUMMARY - OPERATIONS	Beginning Budget 2026-27	Actual as of 30-Sep-2026	Actual Sep-26 as a Percent of Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end Actual
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Investment Income	600,000	179,814	30%	554,251	138,722	25%
Realized/Unrealized Gains (Losses)	-	1,132,338	-	12,285,780	2,444,731	20%
Net Non-Operating Revenues	**600,000**	**1,312,153**	**219%**	**12,840,031**	**2,583,453**	**20%**
Income (Loss) Before Transfers	**10,220,753**	**23,703,110**	**232%**	**27,422,682**	**21,900,200**	**80%**
Transfers						
Transfer from Other Funds	-	-	-	-	-	-
Transfer to Other Funds	(10,079,000)	(10,079,000)	100%	(27,804,661)	(10,079,000)	36%
Net Transfers	**(10,079,000)**	**(10,079,000)**	**100%**	**(27,804,661)**	**(10,079,000)**	**36%**
Change in Net Assets	**$ 141,753**	**$ 13,624,110**	**9611%**	**$ (381,979)**	**$ 11,821,200**	**-3095%**
Beginning Net Assets - Operating	30,766,559	30,766,559 *		35,904,147	35,904,147	
Ending Net Assets - Operating	**$ 30,908,312**	**$ 44,390,669**		**$ 35,522,168**	**$ 47,725,347**	

*See attached narrative report

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: Auxiliary Enterprises Budget Report
-- As of September 30, 2026

3. REPORT:

Attached are budget reports for the College's auxiliary enterprises, including McAllister Tower, Parking Garage, Student Health Services, and Special Events and Guest Services, for the fiscal year 2026-27 as of September 30, 2026. The 2026-27 beginning budgets for Auxiliary Enterprises were presented to and approved by the Board of Directors in early June 2026, following their formulation in April/May 2026. At the September 2026 Board meeting, the use of residual Student Health Service funds was approved to support a recreational and fitness center at McAllister Tower, so subsequent changes have been made to the initial budgets. Major budget variances are discussed below.

The following budget variances apply to all auxiliary enterprises with these categories:

Expenditures

- **Overhead Pro Rata** – All auxiliary enterprises are assessed administrative overhead expenses to reflect indirect costs. The rate is set at 5% of each auxiliary enterprise's total operating revenues. Overhead expenditures from auxiliary enterprises will be posted at the end of the 2026-27 fiscal year. The beginning budget for 2026-27 totals $225,221 for all auxiliaries.

Nonoperating Revenues/(Expenses)

- **Realized Gain/Loss on Sale of Investments** – This activity results from the transfer or liquidation of shares in the UC General Endowment Pool (GEP) to cash for operational needs and is not a budgeted item as there is no basis for a projection. There has not been any activity to date in the 2026-27 fiscal year.
- **Unrealized Gain/Loss on Investments** – This category reflects changes in the market value of the UC General Endowment Pool (GEP) and is not a budgeted item, as there is no basis for making projections. As of September 2026, unrealized gains of $19,020 for only Student Health Services have been recorded. In 2025-26, unrealized gains of $292,722 were reported as of September 2025 for all auxiliary enterprises, and at the end of 2025-26, the year ended with $598,042 in market gains.

McALLISTER TOWER

Expenditures

McAllister Tower will remain vacant and under renovation throughout the 2026-27 fiscal year. As a result, no operating revenue is expected. Insurance costs will be divided between the State, Academe at 198, and the Parking Garage, while the Tower is being renovated, with insurance covered by the construction project. No investment income is expected because the Tower's cash and investment balances were depleted in December 2025 with transfers to other funds, as authorized by the Board of Directors.

PARKING GARAGE

Revenues

- **Parking Operations –** The projected revenue for 2026-27 is $2,649,000, with first-quarter revenues at $638,764, which is 24% of the budget and $25,308 lower than prior year actuals as of September 2025. Student parking revenues are slightly below expectations, while transient parking revenues are on track. Monthly and fleet parking revenues are also performing well and exceeding projections.
- **Parking Tax –** Parking tax applies to the College's transient parking revenues, excluding student and fleet parking. The actual expenditure for the first quarter of 2026 is $51,504, a slight decrease from $58,740 in the first quarter of 2025-26, representing a $7,236 (12.3%) decrease from the prior year.
- **Retail Leases** – The Retail Lease projected revenue for 2026-27 is $279,836. As of September 2026, first-quarter revenues were $78,365, representing 28% of the fiscal year budget, compared with $72,711, or 26% of the prior year's year-end actual, as of September 2025. Revenue increased by $5,654 compared with the same period last year.
- **Other (including Storage)** – As of September 30, 2026, no revenue has been recorded in the Other Revenue category, equivalent to the same period in the prior fiscal year. The anticipated revenue from the T-Mobile cell site has not yet materialized because the project is still undergoing final review and approval before construction can begin. Revenue collection will commence once construction is completed and the cell site becomes operational. The current goal is to have the site operational in time to generate revenue during the final three months of the fiscal year, depending on the project timeline and T-Mobile's implementation.

Expenditures

- **Salaries and Wages, Staff Benefits** – As of September 2026, the salaries and wages cost was $68,705, making up 22% of the $310,125 budget, compared with $107,998, or 33% of the prior year's year-end actual, for the same period last year. Expenses decreased by $39,293, indicating lower salary costs compared with the prior year. This is due to turnover and periods of vacancy in positions covered by contracted temporary help. These lower payroll costs also led to reduced Staff Benefits expenditures.

- **Regular Contract Services** – The 2026-27 budget of $5,000 is allocated for engineering services that have not been requested by the end of the first quarter.
- **Contracted Temporary Help** – A budget of $35,000 exists to cover the costs of hiring the Career Group for Temp-Parking Attendant staffing services to maintain operations due to vacant positions, employee leave, recruitment delays, or operational demands; fundied from savings in salary and wage budgets. As of September 2026, actual expenditure was $18,254, representing 52% of the budget. No expenses were recorded in the same period last year. Spending is currently above the expected proportion of the annual budget for the first quarter, corresponding to decreased salaries and wages expenditure, and will be monitored throughout the fiscal year.
- **Maintenance and Special Repairs** – This reporting category covers regular, ongoing maintenance costs such as equipment, elevators, fire-life safety systems, and pest control. The proposed budget for 2026-27 is $92,539. As of September 2026, $25,895, or 28% of the budget, has been spent, compared with $25,619, or 29% of the prior year's same period last year. The largest share of spending comes from the special repairs and maintenance category with year-to-date expenditures of $20,536 primarily for garage gate and door repairs. The budget will be adjusted mid-year based on maintenance needs.
- **Insurance –** As of September 2026, totaled $59,705, or 95% of the insurance budget of $62,528, compared with $62,528, or 100% of the prior year as of September 30, 2025. Insurance annual premiums are typically paid in the first quarter of the fiscal year. This is mainly because all excess costs for commercial, commercial package, and pollution insurance premiums have been allocated from non-state auxiliary enterprises to the state funds. A budget surplus is expected, and budget revision is anticipated at the midyear budget cycle.
- **Supplies and Noncapital Equipment –** As of September 30, 2026, expenditures for Supplies & Noncapital Equipment totaled $3,031, or 38% of the $8,000 annual budget, compared to $265 during the same period in the prior fiscal year. The higher expenditure primarily reflects a $2,497 purchase from Tiba Parking Systems, LLC, for receipt paper and parking tickets used in the garage’s parking equipment. The budget was increased by $2,000, from $6,000 to $8,000, for fiscal 2026–27 to accommodate the planned replacement of the emergency exit signs. The purchase and replacement of the signs are currently planned for December 2026.

Non-operating Revenues/(Expenses)

- **Investment Income** – One effect of implementing the accounting standard GASB Statement 87 “Leases” is the recognition of investment income; this non-cash entry is not a budgeted event. As of the end of September 2026, investment income of $15,983 has been recognized, compared with $11,022 in September 2025, representing 21% of the prior year’s year-end actual of $52,814. Current-year investment income is higher than the amount recognized during the same period last year. First quarter earnings from UC-STIP investments are $1,955 in 2026 and were $379 in 2025.
- **Nonmandatory Transfers to/from Other Funds –** The expected net income from Parking Garage Operations is $1.6 million, which will be transferred to the Debt Management Fund at the end of the year. The newly established Debt Management Fund will serve as a funding source for debt service (principal and interest) or as a

funding source to support the Series 20202 debt service coverage ratio covenant, as approved at the Board of Directors meeting in December 2025.

STUDENT HEALTH SERVICES

Revenues

- **Fees** – The fee revenue projections estimated 1,113 FTE students paying the $965 Health Center Fee and 405 FTE (36%) paying the $100 GSHIP Administration Fee, resulting in total revenues of $1,114,545. As of September 2026, $585,235 has been received, representing 53% of the projected fees. This is slightly ahead of the 50% ($563,642) collected from last year's end actual as of September 2025.

Expenditures

- **Salaries and Wages, Staff Benefits** – As of September 30, 2026, salaries and wages totaled $23,220 (15% of the current-year budget), compared to $28,589 during the same period in the prior fiscal year. The decrease in expenditure is primarily due to having one fewer employee during the current reporting period. These lower payroll costs also led to reduced Staff Benefits expenditures.
- **Consultant and Contracted Services** – The contract with Carbon Health is budgeted at $66.30 per student per month. With the 2026-27 projected enrollment of 1,113 FTE students, assuming a 4% increase from the fiscal year 2025-26 contract, the total annual budget is $885,503. As of September 30, 2026, expenditures for Consultants and Contracted Services totaled $110,462, representing approximately 12% of the adopted budget. This is significantly lower than $304,359 recorded during the same period in the prior fiscal year, which represented 34% of the annual budget of $898,798. The lower level of expenditure is primarily due to the timing of payment processing, with expenditures expected to be reflected in subsequent financial reports as payments are processed.

Nonoperating Revenues (Expenses)

- **Nonmandatory Transfers to/from Other Funds –** An $800,000 nonmandatory transfer was recorded as of September 2026, representing an estimated residual amount available by 2026-27 fiscal year-end, that has been transferred to the McAllister Tower Renovation project account to support a recreation and fitness center in the lower level of 100 McAllister.

SPECIAL EVENTS AND GUEST SERVICES

Revenues

- **Room Rental** – The 2026-27 revenue projection of $800,500 includes continued classroom and space rental contracts with UC Davis Graduate School of Management, increased rental revenues from the 333 Golden Gate building's Roof Garden and Colloquium Room, and the Alumni Reception Center and Dining Commons in Kane Hall. As of September 2026, 37% of the projected room rental,

totaling $299,983, has been posted. In comparison, by September 2025, $439,415 was posted, representing 65% of the total budget (and 65% of actual year-end revenues).

Non-operating Revenues/(Expenses)

- **Investment Income** – An effect of the GASB 87 lease accounting standard is the recognition of investment income; this non-cash entry is not a budgeted event. Budgeted at $3,700, the UC investment pool earned $355 in income from Special Events and Guest Services as of September 2026, compared to $146 as of September 2025.

SUMMARY

As of the end of September 2026, the actual net operating income for all Auxiliary Enterprises is $1,100,858, and the change in net assets is $380,516.

	McAllister Tower		Parking Garage		Student Health Services		Special Events and Guest Services		Total 2026-27 Beginning Budget	Total Actual as of Sept-26	Total Actual Sep-26 as a Percent of Beginning Budget
	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26			
Revenues	-	-	2,589,871	665,625	1,114,545	585,235	800,500	299,983	4,504,916	1,550,842	34%
Expenditures*	-	-	986,412	241,387	1,183,172	142,910	325,163	65,688	2,494,747	449,984	18%
Net Operations	-	-	**1,603,459**	**424,238**	**(68,627)**	**442,325**	**475,337**	**234,295**	**2,010,169**	**1,100,858**	**55%**
Nonoperating Revenues/(Expenses)											
Investment Income	-	-	-	15,983	10,000	1,230	3,700	41,346	13,700	58,559	427%
Funded from Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-
Unrealized Gain/Loss on Investments	-	-	-	-	-	19,020	-	-	-	19,020	-
Capital Asset Additions/Deductions	-	-	-	(15,755)	-	-	-	16,721	-	966	-
Cash Short Over	-	-	-	1,113	-	-	-	-	-	1,113	-
Debt Service	-	-	-	-	-	-	-	-	-	-	-
Transfer to/from Other Funds**	-	-	(1,603,460)	-	(800,000)	(800,000)	(479,038)	-	(2,882,498)	(800,000)	28%
Sub-total	-	-	**(1,603,460)**	**1,341**	**(790,000)**	**(779,750)**	**(475,338)**	**58,067**	**(2,868,798)**	**(720,342)**	**25%**
TOTAL CHANGE IN NET ASSETS	$ -	$ -	$ (1)	$ 425,580	$ (858,627)	$ (337,425)	$ (1)	$ 292,361	$ (858,629)	$ 380,516	-44%

*Includes $225,221 in administrative overhead assessments charged as 5% of operating revenues. Absent these indirect costs, the budgeted net result for auxiliary enterprises is $633,408.

** $1.6 million is the projected net income generated from Garage and will be transferred to the Debt Management Fund at the end of the year. $479,038 is the projected net income generated from Special Events and will be transferred to the nonstate College-wide Support Fund at year-end. $800,000 in projected net income from Student Health Services has been transferred to the McAllister Tower Renovation project. Without transfers and the administrative overhead assessments, the net result for all Auxiliary Enterprises is projected to be $2,249,090.

Attachment:

- Auxiliary Enterprises Budget Status Report as of September 30, 2026

	McAllister Tower		Parking Garage		Student Health Services		Special Events and Guest Services		Total 2026-27 Beginning Budget	Total Actual as of Sept-26	Total Actual Sep-26 as a Percent of Beginning Budget
	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26	2026-27 Beginning Budget	Actual as of Sept-26			
Revenues	-	-	2,589,871	665,625	1,114,545	585,235	800,500	299,983	4,504,916	1,550,842	34%
Expenditures*	-	-	986,412	241,387	1,183,172	142,910	325,163	65,688	2,494,747	449,984	18%
Net Operations	**-**	**-**	**1,603,459**	**424,238**	**(68,627)**	**442,325**	**475,337**	**234,295**	**2,010,169**	**1,100,858**	**55%**
Nonoperating Revenues/(Expenses)											
Investment Income	-	-	-	15,983	10,000	1,230	3,700	41,346	13,700	58,559	427%
Funded from Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-
Unrealized Gain/Loss on Investments	-	-	-	-	-	19,020	-	-	-	19,020	-
Capital Asset Additions/Deductions	-	-	-	(15,755)	-	-	-	16,721	-	966	-
Cash Short Over	-	-	-	1,113	-	-	-	-	-	1,113	-
Debt Service	-	-	-	-	-	-	-	-	-	-	-
Transfer to/from Other Funds**	-	-	(1,603,460)	-	(800,000)	(800,000)	(479,038)	-	(2,882,498)	(800,000)	28%
Sub-total	**-**	**-**	**(1,603,460)**	**1,341**	**(790,000)**	**(779,750)**	**(475,338)**	**58,067**	**(2,868,798)**	**(720,342)**	**25%**
TOTAL CHANGE IN NET ASSETS	**$ -**	**$ -**	**$ (1)**	**$ 425,580**	**$ (858,627)**	**$ (337,425)**	**$ (1)**	**$ 292,361**	**$ (858,629)**	**$ 380,516**	**-44%**

*Includes $225,221 in administrative overhead assessments charged as 5% of operating revenues. Absent these indirect costs, the budgeted net result for auxiliary enterprises is $633,408.
** $1.6M is the projected net income generated from Garage and will be transferred to the Debt Management Fund at the end of the year. $479,038 is the projected net income generated from Special Events and will be transferred to the Nonstate College-Wide support fund at year-end. $800K in projected net income from Student Health Services has been transferred to the McAllister Tower Renovation. Without transfers and the administrative overhead assessments, the net result for all Auxiliary Enterprises is projected to be $2,249,090.

Auxiliary Enterprises - McAllister Tower
2026-27 Budget Status Report - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26	Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES						
Apartment & Commercial Rent	-	-	--	-	-	--
Other	-	-	--	-	-	--
TOTAL OPERATING REVENUES	**$ -**	**$ -**	**-**	**$ -**	**$ -**	**--**
EXPENSES						
Salaries and Wages	-	-	--	-	-	--
Student Wages--Regular & Work-study	-	-	--	-	-	--
Staff Benefits	-	-	--	-	-	--
Regular Contract Services	-	-	--	-	-	--
Other Contract Services	-	-	--	-	-	-
Utilities	-	-	--	-	-	--
Maintenance & Special Repairs	-	-	--	-	-	--
Insurance	-	-	--	-	-	--
Supplies	-	-	--	-	-	--
Printing & Reproduction	-	-	--	-	-	--
Telephone & Mail	-	-	--	-	-	--
Computer Software	-	-	--	-	-	--
Miscellaneous	-	-	--	-	-	--
Equipment & Building Improvements	-	-	--	-	-	--
Overhead Pro Rata	-	-	--	-	-	--
TOTAL OPERATING EXPENSES	**$ -**	**$ -**	**--**	**$ -**	**$ -**	**--**
OPERATING INCOME (LOSS)	**$ -**	**$ -**	**--**	**$ -**	**$ -**	**--**
NONOPERATING REVENUES (EXPENSES)						
Investment Income	-	-	--	31,274	15,010	48%
Realized Gain/Loss from Sale of Investments	-	-	--	-	-	--
Unrealized Gain/Loss on Investments	-	-	--	461,131	267,360	58%
Transfer to/from Other Funds	-	-	--	(6,974,343)	-	--
NET NONOPERATING REVENUES (EXPENSES)	**$ -**	**$ -**	**--**	**$ (6,481,938)**	**$ 282,370**	**-4%**
CHANGE IN NET ASSETS	**$ -**	**$ -**	**--**	**$ (6,481,938)**	**$ 282,370**	**-4%**

* See attached narrative report.

UC LAW SAN FRANCISCO

11/19/2026

Auxiliary Enterprises

Parking Garage and Retail Operations

2026-27 Budget Status Report - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26		Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES							
Parking Operations	2,649,000	638,764	*	24%	2,625,730	664,072	25%
Parking Tax	(360,000)	(51,504)	*	14%	(343,461)	(58,740)	17%
Retail Leases	279,836	78,365	*	28%	276,491	72,711	26%
Other (including Storage)	21,035	-	*	--	309	-	--
TOTAL OPERATING REVENUES	**$ 2,589,871**	**$ 665,625**		**26%**	**$ 2,559,069**	**$ 678,043**	**26%**
EXPENSES							
Salaries and Wages	310,125	68,705	*	22%	324,761	107,998	33%
Staff Benefits	142,611	27,709	*	19%	139,236	49,030	35%
Regular Contract Services	5,000	-	*	--	-	-	--
Contracted Temporary Help	35,000	18,254	*	52%	49,216	-	--
Utilities	87,500	20,651		24%	85,434	16,046	19%
Maintenance & Special Repairs	92,539	25,895	*	28%	87,850	25,619	29%
Insurance	62,528	59,705	*	95%	62,528	62,528	100%
Supplies & Noncapital Equipment	8,000	3,031	*	38%	3,599	265	7%
Printing, Telephone and Mail	14,000	2,116		15%	9,799	1,687	17%
Credit Card & Bank Fees	80,000	14,598		18%	85,638	14,247	17%
Miscellaneous	19,615	723		4%	19,487	4,226	22%
Overhead Pro Rata	129,494	-	*	--	128,651	-	--
TOTAL OPERATING EXPENSES	**$ 986,412**	**$ 241,387**		**24%**	**$ 996,200**	**$ 281,646**	**28%**
OPERATING INCOME (LOSS)	**$ 1,603,459**	**$ 424,238**		**26%**	**$ 1,562,869**	**$ 396,397**	**25%**
NONOPERATING REVENUES (EXPENSES)							
Investment Income	-	15,983	*	--	52,814	11,022	21%
Funded from Bond Proceeds	-	-		--	6,968	(3,484)	-50%
Debt Service (Principal & Interest)	-	-		--	(333,843)	166,921	-50%
Capital Asset Additions (GASB 87)	-	149,854		--	633,225	160,664	25%
Capital Asset Deductions (GASB 87)	-	(165,609)		--	(671,672)	(174,608)	26%
Nonmandatory Transfers to/from Other Funds	(1,603,460)	-	*	--	127,744	-	--
Cash Short/Over	-	1,113		--	(2,407)	(324)	13%
NET NONOPERATING REVENUES (EXPENSES)	**$ (1,603,460)**	**$ 1,341**		**--**	**$ (187,172)**	**$ 160,191**	**-86%**
CHANGE IN NET ASSETS	**$ (1)**	**$ 425,580**		**--**	**$ 1,375,698**	**$ 556,588**	**40%**

* See attached narrative report.

Auxiliary Enterprises - Student Health Services
2026-27 Budget Status Report - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26		Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES							
Fees	1,114,545	585,235	*	53%	1,126,646	563,642	50%
Other	-	-		--	-	-	--
TOTAL OPERATING REVENUES	**$ 1,114,545**	**$ 585,235**		**53%**	**$ 1,126,646**	**$ 563,642**	**50%**
EXPENSES							
Salaries and Wages	152,618	23,220	*	15%	128,373	28,589	22%
Staff Benefits	62,444	9,227	*	15%	52,719	11,197	21%
Contracted Temporary Help	-	-		--	-	-	--
Consultants and Contracted Services	885,503	110,462	*	12%	898,798	304,359	34%
Supplies	1,000	-		--	-	-	--
Printing and Mail	80	-		--	21	-	--
Travel and Training	500	-		--	-	-	--
Miscellaneous	-	-		--	-	-	--
Events	25,300	-		--	-	-	--
Overhead Pro Rata	55,727	-	*	--	56,332	-	--
TOTAL OPERATING EXPENSES	**$ 1,183,172**	**$ 142,910**		**12%**	**$ 1,136,243**	**$ 344,145**	**30%**
OPERATING INCOME (LOSS)	**$ (68,627)**	**$ 442,325**		**-645%**	**$ (9,597)**	**$ 219,497**	**-2287%**
NONOPERATING REVENUES (EXPENSES)							
Investment Income	10,000	1,230		12%	8,318	2,167	26%
Realized Gain/Loss from Sale of Investments	-	-	*	--	-	-	--
Unrealized Gain/Loss on Investments	-	19,020	*	--	136,911	25,362	19%
Nonmandatory Transfers to/from Other Funds	(800,000)	(800,000)	*	100%	-	-	--
NET NONOPERATING REVENUES (EXPENSES)	**$ (790,000)**	**$ (779,750)**		**99%**	**$ 145,229**	**$ 27,529**	**19%**
CHANGE IN NET ASSETS	**$ (858,627)**	**$ (337,425)**		**39%**	**$ 135,631**	**$ 247,026**	**182%**

* See attached narrative report.

UC LAW SAN FRANCISCO

11/19/2026

Auxiliary Enterprises

Special Events and Guest Services

2026-27 Budget Status Report - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26		Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES							
Room Rental	800,500	299,983	*	37%	675,421	439,415	65%
TOTAL OPERATING REVENUES	**$ 800,500**	**$ 299,983**		**37%**	**$ 675,421**	**$ 439,415**	**65%**
EXPENSES							
Staff Salaries and Wages	134,307	33,100		25%	122,525	25,585	21%
Staff Benefits	45,056	10,859		24%	39,552	7,499	19%
Other Contract Services	12,000	-		--	6,997	-	--
Supplies	50,000	2,398		5%	12,153	1,026	8%
Printing and Mail	1,000	408		41%	618	5	1%
Miscellaneous	42,800	18,923		44%	28,025	3,273	12%
Overhead Pro Rata	40,000	-	*	--	34,841	-	--
TOTAL OPERATING EXPENSES	**$ 325,163**	**$ 65,688**		**20%**	**$ 244,712**	**$ 37,388**	**15%**
OPERATING INCOME (LOSS)	**$ 475,337**	**$ 234,295**		**49%**	**$ 430,709**	**$ 402,027**	**93%**
NONOPERATING REVENUES (EXPENSES)							
Investment Income	3,700	41,346	*	1117%	7,236	5,787	80%
Capital Asset Additions (GASB 87)	-	335,308		--	111,113	61,472	55%
Capital Asset Deductions (GASB 87)	-	(318,588)		--	(148,347)	(61,240)	41%
Nonmandatory Transfers to/from Other Funds	(479,038)	-		--	(265,506)	-	--
NET NONOPERATING REVENUES (EXPENSES)	**$(475,338)**	**$ 58,067**		**-12%**	**$ (295,504)**	**$ 6,019**	**-2%**
CHANGE IN NET ASSETS	**$ (1)**	**$ 292,361**		**--**	**$ 135,205**	**$ 408,046**	**302%**

* See attached narrative report.

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: The Academe at 198 & AVFA – Budget Report for 2026-27 as of September 30, 2026

3. REPORT:

Attached are budget reports for the Academe at 198 McAllister and the Academic Village Finance Authority (AVFA) as of September 30, 2026. Significant variances and key highlights are discussed below.

THE ACADEME AT 198

All revenue and expense categories are performing in line with budget expectations, with no significant variances noted as of the reporting period.

Revenues

- **Residential Rent, Rent Subsidy, Housing Stipend, and DSCR Grant -** As of September 2026, the Residential Rent Revenue, projected at $15.1 million, and the Housing Stipend, budgeted at $1.3 million based on 90% occupancy, are both performing in accordance with the forecast. These line items have reached 22% and 21% of the annual budget, respectively. Residential Rent Revenue and Housing Stipend are not evenly distributed across the quarters, as they are driven by the academic cycle. The Subsidy, budgeted at $2 million, and the DSCR Grant, budgeted at $1.75 million, were recognized at the expected 25% of the annual budget.

- **Other Revenue -** Revenue in this category is derived from space rental and on-site laundry machines. In the first quarter, the category achieved 35% of its annual target, reflecting uneven revenue distribution throughout the fiscal year.

Expenditures

- **Maintenance and Special Repairs** – This reporting category includes regular building and elevator maintenance, pest control, and special repairs. As of September 2026, 45% of the budget was expended due to elevator maintenance being prepaid for the entire year and fire services and monitoring being paid semi-annually.
- **Insurance** – Insurance premiums for the entire fiscal year were prepaid, utilizing 91% of the budget. The initial budget reflected anticipated rate increases.

ACADEMIC VILLAGE FINANCE AUTHORITY (AVFA)

Non-Operating Revenues / (Expenses)

- **Investment Income** – In the first quarter of FY2027, interest income reached $318,092, representing 26% of the budgeted amount. Further income is contingent upon market conditions.
- **Debt Service –** Interest expense is recorded in arrears on December 31 and June 30. Therefore, the $1.33 million represents accreted interest only.

SUMMARY

The change in net assets for the Academe 198 and the AVFA for Q1 in FY2027, excluding depreciation ($1.09MM) and accreted interest ($1.33MM), is $4.6MM.

	The Academe at 198		AVFA		Total Beginning Budget 2026-27	Total Actual as of Sept-26	Total Actual Sept-26 as a Percent of Beginning Budget
	Beginning Budget 2026-27	Actual as of Sept-26	Beginning Budget 2026-27	Actual as of Sept-26			
Revenues	19,438,540	4,380,532	-	-	19,438,540	4,380,532	23%
Expenditures*	3,072,924	926,008	7,950	1,207,263	3,080,874	2,133,271	69%
Net Operations	**16,365,616**	**3,454,525**	**(7,950)**	**(1,207,263)**	**16,357,666**	**2,247,261**	**14%**
Noncapital subsidies:							
Residential Rent Subsidy	2,000,000	500,000	-	-	2,000,000	500,000	25%
DSCR Grant	1,750,000	437,500	-	-	1,750,000	437,500	25%
Total noncapital subsidies	**3,750,000**	**937,500**	**-**	**-**	**3,750,000**	**937,500**	25%
Nonoperating Revenues/(Expenses)**							
Investment Income	-	-	1,245,000	318,092	1,245,000	318,092	26%
Funded from Bond Proceeds	-	-	-	-	-	-	--
Debt Service	-	-	(16,645,750)	(1,325,236)	(16,645,750)	(1,325,236)	8%
Building Depreciation	-	-	-	-	-	-	--
Building Improvements	-	-	-	-	-	-	--
CIP Offset	-	-	-	79,612	-	79,612	--
Capital, Buildings	-	-	-	(79,612)	-	(79,612)	--
Transfers between 198 and Authority	-	-	-	-	-	-	--
Transfer from Other Funds	-	-	-	-	-	-	--
Transfer to Other Funds	-	-	-	-	-	-	--
Sub-total	**-**	**-**	**(15,400,750)**	**(1,007,145)**	**(15,400,750)**	**(1,007,145)**	**7%**
TOTAL CHANGE IN NET ASSETS*	$ 20,115,616	$ 4,392,025	$(15,408,700)	$ (2,214,408)	$ 4,706,916	$ 2,177,617	46%

*** Building depreciation as of September 2026 totals $1.1 million and is not a budgeted item.**
****Transfers within the Authority (AVFA/ the Academe at 198, Bonds Series A and Series B) are excluded.**

Attachment:

- The Academe at 198 McAllister and the Academic Village Finance Authority (AVFA) 2026-27 Budget Report as of September 30, 2026

UC LAW SAN FRANCISCO

Campus Housing Authority Programs

2026-27 Budget Status Summary - September 30, 2026

11/19/2026

	The Academe at 198		AVFA		Total Beginning Budget 2026-27	Total Actual as of Sept-26	Total Actual Sept-26 as a Percent of Beginning Budget
	Beginning Budget 2026-27	Actual as of Sept-26	Beginning Budget 2026-27	Actual as of Sept-26			
Revenues	19,438,540	4,380,532	-	-	19,438,540	4,380,532	23%
Expenditures*	3,072,924	926,008	7,950	1,207,263	3,080,874	2,133,271	69%
Net Operations	**16,365,616**	**3,454,525**	**(7,950)**	**(1,207,263)**	**16,357,666**	**2,247,261**	**14%**
Noncapital subsidies:							
Residential Rent Subsidy	2,000,000	500,000	-	-	2,000,000	500,000	25%
DSCR Grant	1,750,000	437,500	-	-	1,750,000	437,500	25%
Total noncapital subsidies	**3,750,000**	**937,500**	**-**	**-**	**3,750,000**	**937,500**	25%
Nonoperating Revenues/(Expenses)**							
Investment Income	-	-	1,245,000	318,092	1,245,000	318,092	26%
Funded from Bond Proceeds	-	-	-		-	-	--
Debt Service	-	-	(16,645,750)	(1,325,236)	(16,645,750)	(1,325,236)	8%
Building Depreciation	-	-	-	-	-	-	--
Building Improvements	-	-	-	-	-	-	--
CIP Offset	-	-	-	79,612	-	79,612	--
Capital, Buildings	-	-	-	(79,612)	-	(79,612)	--
Transfers between 198 and Authority	-	-	-	-	-	-	--
Transfer from Other Funds	-	-	-	-	-	-	--
Transfer to Other Funds	-	-	-	-	-	-	--
Sub-total	**-**	**-**	**(15,400,750)**	**(1,007,145)**	**(15,400,750)**	**(1,007,145)**	**7%**
TOTAL CHANGE IN NET ASSETS*	**$ 20,115,616**	**$ 4,392,025**	**$(15,408,700)**	**$ (2,214,408)**	**$ 4,706,916**	**$ 2,177,617**	**46%**

* Building depreciation as of September 2026 totals $1.1 million and is not a budgeted item.

** Transfers within the Authority (Authority / The Academe at 198 / Bonds Series A and Series B) are excluded.

UC LAW SAN FRANCISCO
The Academe at 198
2026-27 Budget Status - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26		Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES							
Residential Rent	15,143,000	3,345,121	*	22%	14,662,134	3,074,772	21%
Housing Stipend	1,274,816	264,563	*	21%	896,550	169,650	--
Commercial Rent	2,780,984	695,246		25%	2,497,124	624,281	25%
Retail Leases	75,090	18,540		25%	72,900	18,000	25%
Other Revenue	164,650	57,062	*	35%	224,913	35,756	16%
TOTAL OPERATING REVENUES	**$ 19,438,540**	**$ 4,380,532**		**23%**	**$ 18,353,621**	**$ 4,647,459**	**25%**
EXPENDITURES							
Salaries and Wages	338,210	82,164		24%	326,546	78,684	24%
Staff Benefits	142,896	30,537		21%	129,081	31,478	24%
Regular Contract Services	430,126	142,908		33%	378,305	118,834	31%
Other Contract Services	86,000	9,185		11%	80,956	33,048	41%
Utilities	1,376,190	342,383		25%	1,191,318	251,245	21%
Maintenance & Repairs	230,538	103,133	*	45%	176,903	94,366	53%
Legal Services	20,000	-		--	20,878	-	--
Insurance	175,079	159,213	*	91%	166,742	166,742	100%
Supplies	95,885	24,585		26%	74,772	20,847	28%
Computer Software	115,000	28,731		25%	87,614	20,960	24%
Printing & Reproduction	2,000	1,048		52%	2,725	259	10%
Marketing	30,000	-		--	1,250	-	--
Miscellaneous/Other	31,000	1,438		5%	69,242	489	1%
TOTAL OPERATING EXPENDITURES	**$ 3,072,924**	**$ 926,008**		**30%**	**$ 2,706,332**	**$ 816,953**	**30%**
NET OPERATIONS	**$ 16,365,616**	**$ 3,454,525**		**21%**	**$ 15,647,289**	**$ 3,830,506**	**24%**
NONCAPITAL SUBSIDIES:							
Residential Rent Subsidy	2,000,000	500,000	*	25%	2,900,000	725,000	25%
DSCR Grant	1,750,000	437,500	*	25%	1,850,000	-	--
TOTAL NONCAPITAL SUBSIDIES	**$ 3,750,000**	**$ 937,500**		**25%**	4,750,000	**$ 725,000**	**15%**
NONOPERATING REVENUES (EXPENSES)							
Building Improvements	-	-		--	-	-	--
Transfers between 198 and Authority	-	-		--	-	-	--
Transfers from Other Funds	-	-		--	-	-	--
Transfer to Other Funds	-	-		--	-	-	--
CIP Offset	-	-		--	-	-	--
Capital Asset Additions/Deductions	-	-		--	-	-	--
TOTAL NONOPERATING REVENUES (EXPENSES)	**$ -**	**$ -**		**--**	**$ -**	**$ -**	--
TOTAL CHANGE IN NET ASSETS	**$ 20,115,616**	**$ 4,392,025**		**22%**	**$ 20,397,289**	**$ 3,830,506**	**19%**

* See attached narrative report.

UC LAW SAN FRANCISCO 11/19/2026

Academic Village Finance Authority

2026-27 Budget Status - September 30, 2026

	Beginning Budget 2026-27	Actual as of 30-Sep-26	Actual Sep-26 as a Percent of Beginning Budget	Year-end Actual 2025-26	Actual as of 30-Sep-25	Actual Sep-25 as a Percent of 2025-26 Year-end
REVENUES						
Other	-	-	--	431,955	-	--
TOTAL OPERATING REVENUES	**$ -**	**$ -**	**-**	**$ 431,954.67**	**$ -**	**-**
EXPENDITURES						
Consultants	3,000	-	--	2,998	-	--
Audit Services	3,450	-	--	3,125	-	--
Base Annual Rent	-	-	--	2,000	1,000	--
Supplemental Annual Rent	-	-	--	1,355,168	-	--
Legal Services	-	-	--	54,086	-	--
Miscellaneous/Other	1,500	-	--	(86)	-	--
Building Depreciation	-	1,093,756	--	4,375,025	1,093,756	--
Special Repairs	-	113,507	--	642,184	-	--
TOTAL OPERATING EXPENDITURES	**$ 7,950**	**$ 1,207,263**	**--**	**$ 6,434,500**	**1,094,756.00**	**--**
NET OPERATIONS	**$ (7,950)**	**$ (1,207,263)**	**--**	**$ (6,002,545)**	**(1,094,756.00)**	**--**
NONOPERATING REVENUES (EXPENSES)						
Investment Income	1,245,000	318,092 *	26%	1,238,297	345,623	28%
Funded from Bond Proceeds	-	-	--	-	-	--
Other Revenue	-	-	--	-	-	--
Insurance-Covered Repairs	-	-	--	-	-	--
Capital, Buildings	-	(79,612)	--	(875,629)	-	--
Capital Asset Additions/Deductions	-	-	--	-	-	--
CIP Offset	-	79,612	--	875,629	-	--
Debt Service (Principal & Interest)	(16,645,750)	(1,325,236) *	8%	(19,007,464)	(1,240,242)	7%
Transfers between 198 and Authority	-	-	--	-	-	--
Transfers from Other Funds	-	-	--	702,383	-	--
Transfers to Other Funds	-	-	--	-	-	--
TOTAL NONOPERATING REVENUES (EXPENSES)	**$ (15,400,750)**	**$ (1,007,145)**	**7%**	**$ (17,066,784)**	**$ (894,619)**	**5%**
TOTAL CHANGE IN NET ASSETS	**$ (15,408,700)**	**$ (2,214,408)**	**14%**	**$ (23,069,330)**	**$ (1,989,375)**	**9%**

* See attached narrative report.

ACTION ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: Contracts and Grants in Excess of $100,000

3. RECOMMENDATIONS:

That the Finance Committee recommends that the Board of Directors authorize award of the contracts in excess of $100,000 as described in this report.

Standing Orders of the College require that Grants over $100,000 are reported on a quarterly basis as information items.

Item: 8.1 (Ratification Item)
Title: Government Affairs Services
Vendor: Weideman Group
Cost: $180,000 (annually)
Term: November 19, 2026 – November 18, 2027

Description:

The Executive Committee authorized at its meeting of November 9, 2026, that an agreement be entered into with the Weideman Group for government affairs and advocacy work. The contractor will work with UC Law to optimized state support, secure funding for the Academic Village and capital projects, and advance long-term institutional and infrastructure priorities. In addition to state budget advocacy, Weideman Group will provide comprehensive monitoring and analysis of legislative and administrative developments that affect UC Law SF's operations, funding, and broader priorities.

Item: 8.2
Title: Elevator Repairs
Vendor: Kone
Cost: $112,000
Term: November 10, 2026 – December 31, 2026

Description: Kone Elevator will repair one elevator in Kane Hall and the perform the required state testing of all campus elevators. The proposed work includes necessary repairs to restore the affected elevator to reliable operation, as well as state-mandated testing of the remaining elevators on campus to ensure compliance with applicable safety and regulatory requirements.

PROPOSED RESOLUTION:

That the Finance Committee recommends that the Board of Directors authorize award of the 2026-27 contracts in excess of $100,000 listed below:

*8.1	Government Affairs & Advocacy Services – Weideman Group	$180,000 (Ratification Item)
*8.2	Elevator Repairs – Kone Elevators	$112,000

Grants – Reporting Items

Item: 8.3
Title: Setting the China Narrative in Pharmaceutical Patent Reform and Evidence of Delay to Generic Entry
Grantor: Arnold Ventures
Revenue: $1,554,802
Term: Jan 1, 2027-Dec 31, 2029

Description:

Authority is requested to enter into a two-year grant agreement with Arnold Ventures to support the University of California College of the Law, San Francisco's Center for Innovation (C4i) in advancing research under the following initiatives: "Setting the China Narrative in Pharmaceutical Patent Reform and Evidence of Delay to Generic Entry."

Item: 8.4
Title: San Francisco Legal Defense Collaborative
Grantor: Central American Resource Center (CARECEN)
Revenue: $503,034
Term: July 1, 2026 – June 30, 2027

Description:

Subaward to UC Law (on behalf of CGRS) from the Central American Resource Center (CARECEN) of Northern California, which will fund CGRS's continued participation in the San Francisco Immigrant Legal Defense Collaborative (SFILDC).

Item: 8.5
Title: General Operating Grant Award
Grantor: Silicon Valley Community Foundation
Revenue: $200,000
Term: September 23, 2026 – June 30, 2027

Description:

General operating support for the Center for Gender and Refugee Studies. This grant is to support the Center's three program areas of 1) impact litigation, 2) policy and advocacy, and 3) technical assistance and training. The grant does not require a specific project.

Item: 8.6
Title: Center of Constitutional Democracy (CCD) and its Rule of Law Action Network
Grantor: Ford Foundation
Revenue: $500,000
Grant: 3 years / July 1, 2026-June 30, 2029

Description:

Per Standing Order 100.4(m), notification is provided regarding a three-year, $500,000 grant award from the Ford Foundation, which will provide funding to the Center of Constitutional Democracy (CCD) and its Rule of Law Action Network (ROLAN) program. The grant will be led by CCD’s Executive Director Melissa Hooper.

Item: 8.7
Title: Rule of Law and Accountability-focused Programs
Grantor: Freedom Together Foundation
Revenue: $600,000
Term: 18 months / Jan 1, 2027 – June 30, 2028

Description:

Per Standing Order 100.4(m), notification is provided regarding an 18-month, $600,000 grant award from the Freedom Together Foundation, which will provide funding to the Center of Constitutional Democracy (CCD) and its rule of law and accountability-focused programs. The grant will be led by CCD’s Executive Director Melissa Hooper.

Item: 8.8
Title: Healthcare Price and Competition (“The Source”)
Grantor: Peter G. Peterson Foundation

Revenue: $487,000
Term: 2 years / Jan 1, 2027-Dec 31, 2028

Description:

Per Standing Order 100.4(m), notification is provided regarding a two-year, $487,000 grant award from the Peter G. Peterson Foundation, which will provide funding to The Source on Healthcare Price and Competition ("The Source") and its "Strengthening State Enforcement Against Anticompetitive Healthcare Markets" project. The grant will be led by The Source's Executive Director, Katie Gudiksen.

Item: 8.9
Title: Dispute Resolution Trainings
Grantor: CA Department of Industrial Relations/Labor Commissioner's Office
Revenue: $711,868
Term: 3 years / Jan 1, 2027-Dec 31, 2029

Description:

Per Standing Order 100.4(m), notification is provided regarding a three-year, $711,868 contract award from the CA Department of Industrial Relations (DIR)/Labor Commissioner's Office (LCO), under which the Center for Negotiation & Dispute Resolution (CNDR) will provide dispute resolution trainings to LCO staff. The contract will be led by CNDR's Faculty Director Hiro Aragaki and Associate Director Corey Linehan.

ACTION ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: Core Funds - Budget Changes for 2026-27

3. RECOMMENDATION

That the Finance Committee recommend that the Board of Directors approve the budget changes described below for elevator repair costs at Kane Hall.

4. BACKGROUND:

Requested is authority to amend the 2026-27 state and nonstate budgets for the following items.

***9.0 Elevator Repair – Kane Hall $125,000**

The State of California's Office of Occupational Safety and Health (Cal-OSHA) has conducted a campus-wide inspection of elevators to assure compliance with elevator codes and regulations. A series of repairs has been identified to cabs and control equipment in Kane Hall, many of which will need to occur off-hours to minimize operational disruption. Cal-OSHA can decertify (i.e., red tag) the units and remove them from operation if the repairs are not done in a timely manner.

These repairs must be made to maintain elevator operation. To address the need for elevator upgrades to avoid future problems of this character, a funding request for $2.4 million was made to the Department of Finance in September 2026 for a systemic upgrade of the building's elevator systems. As noted in the request, "College of the Law, San Francisco requests $2.4 million in one-time funding to modernize six elevators with equipment nearing functional obsolescence at Mary Kay Kane Hall, 200 McAllister Street. The existing elevator systems have reached or are approaching the end of their useful lives and require increasingly frequent maintenance and repairs. The elevator systems have not benefited from major upgrades since the early 2000's."

5. PROPOSED RESOLUTION:

That the Finance Committee recommends that the Board of Directors approve revising the 2026-27 Core Operations budget as described below:

*9.0	Elevator Repairs – Kane Hall	$125,000

REPORT ITEM

1. REPORT BY: Assistant Dean of Technology and CIO Ben Huffman

2. SUBJECT: Information Technology – Five-Year Roadmap

3. REPORT:

Attached is a five-year roadmap that outlines the priorities and planned initiatives that will guide technology planning and investment in support of the College's strategic goals and operational needs. It will also serve as a reference for evaluating progress and adjusting priorities as the College's needs evolve.

Attachment:

- Five-Year Information Technology Roadmap

Where things stand

IT Services roadmap, FY 25-26 through FY 28+

19	$161K	$183K	−$31K
initiatives across 4 fiscal years	new recurring spend, FY26-27	one-time spend, FY26-27	annual AV cost reduction

FY 25-26

Assessment & foundation
4 complete

Management
60-day assessment
Delivered to Chancellor & Dean
Complete

Management
Executive Director, IT Services
Role defined and hired
$190K CISO line converted to ED + vCISO. No new funding
Complete

Security
Cyber audit remediation
Remediation process rebuilt
Cleared the path for MFA / SSO enforcement
Complete

Service
ITSM selection
TeamDynamix over Salesforce cases
Complete

FY 26-27

Build & modernize
12 initiatives
$161K/yr recurring
$183K one-time

Management
JD: Update, Align, Focus
Job descriptions for all IT staff across operations
Reporting line changes complete
In flight

Security
MFA / SSO enforcement
45 platforms
Delivered by the cyber audit remediation
Complete

Governance
Cybersecurity policies
Updating existing policies and creating new ones
Pending approval

Governance
Data Stewardship Committee
Chartered to manage and use data as an institutional asset. Meets monthly
Active

Governance
Vendor contract lifecycle
Standing process. Consolidated view of multi-engagement vendors
In flight

Security
Shibboleth to Entra ID
Identity provider migration. $15K/yr. ETA Jan '27
Approved

Service
ITSM launched
7/1/26. $40K/yr, board-approved on 5-year term
Complete

Service
SharkBot
Website chatbot, live 8/8/26. $6K/yr
Complete

Service
SFR: IT student workers
$50K/yr. Covers nights and weekend classroom AV and events, displacing overtime
Complete

Infrastructure
SFR: data center replacement
$111K one-time. Spring 2027 EOL driver. Includes 3 years of support and warranty coverage, so no renewal until FY29-30
In flight

Infrastructure
CTI AV managed services
$154K/yr, replacing Avidex at $185K/yr. Adds monitoring, after-hours and out-of-warranty coverage
$31K/yr reduction with broader service
Complete

Data
SFR: data / systems integration & process automation
TDX iPaaS and services ~$50K/yr, plus a one-year T5 vCTO SOW at $72K. Reduces manual toil across systems
In flight

FY 27-28

Data & enterprise
2 initiatives

Data
Snowflake lakehouse + BI
$100K/yr. Unified reporting across systems
Gets data out of Colleague ahead of the ERP migration
Pending approval

Enterprise
Colleague ERP RFP
Requirements, market scan, solicitation. Driver: Colleague runs on UniData, database technology dating to the 1970s. Heavily customized, few people can support it, and data is hard to extract
Not yet scoped

FY 28+

Enterprise delivery

Enterprise
ERP implementation
Selection, migration, cutover
Continuation

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: UC Law SF – Five-Year Infrastructure Plan

3. BACKGROUND:

Attached is the College's Five-Year Infrastructure Plan that was submitted to the Department of Finance in October 2026. Five-Year Infrastructure Plans are required annually and are a precondition to participation in the State of California's capital financing programs.

Attachment:

- Five-Year Infrastructure Plan 2027-2031

UC Law SAN FRANCISCO

2027-2031 Five-Year Infrastructure Plan

For a sustainable, resilient, and community-serving Academic Village



UC Law SF contact:

David Seward, CFO
sewardd@uclawsf.edu

Planning consultant:

Stantec

Cover:
Evening photograph of the Academe at 198, McAllister Tower, and Mary Kay Kane Hall, looking northeast from the intersection of Hyde Street and McAllister Street.

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01

Executive Summary

EXECUTIVE SUMMARY

Established in 1878 as the law department of the University of California, the University of California College of the Law, San Francisco ("UC Law SF" or the "College") is the oldest law school in California, one of the largest public law schools in the United States, and the only stand-alone public law school in the nation. The College's Board of Directors operates independently of the Regents of the University of California, with the exception of degree-granting authority. UC Law SF is accredited by the American Bar Association and the WASC Senior College and University Commission, and is a charter member of the American Association of Law Schools (AALS). As a stand-alone law school, the College directly provides the student support services, human resource management, finance and treasury management, general counsel, security, and facilities management typically provided by an overarching university administration.

UC Law SF's unique urban location, bridging San Francisco's Civic Center, Mid-Market, and Tenderloin neighborhoods, further distinguishes the College. In recent years, campus planning has focused on enhancing the academic and residential experience for students, faculty, and staff while also ensuring campus-wide compliance with seismic, fire, and life safety codes and objectives. In addition, the College is investing substantially to advance sustainability and affordability goals in alignment with the State of California's initiatives for carbon neutrality, water conservation, community resilience, and housing supply for students, faculty, and staff. As an example, in 2023 the College completed construction of a major new campus building called the Academe at 198. This LEED Gold-certified facility provides 656 units of housing in addition to academic and retail space.

Most significantly, UC Law SF is forging partnerships with aligned academic institutions and local organizations to create an intersegmental Academic Village within its campus, leveraging the College's downtown location, unique property assets, and mission of public service to meet the needs of all Californians. The College remains committed to growing its Academic Village to bring together all segments of California's higher education system—UC, CSU, and the California Community Colleges—to create a hub of innovation and collaboration in the practice of law, medicine, business, and other fields of academic pursuit.

UC Law SF has made substantial progress towards these goals through the implementation of its Long Range Campus Plan (LRCP), most recently published in 2025 and roughly equivalent to the Long Range Development Plan required for other University of California institutions. This Five-Year Infrastructure Plan (FYIP) serves as an annual complement to the LRCP, documenting the College's latest descriptions

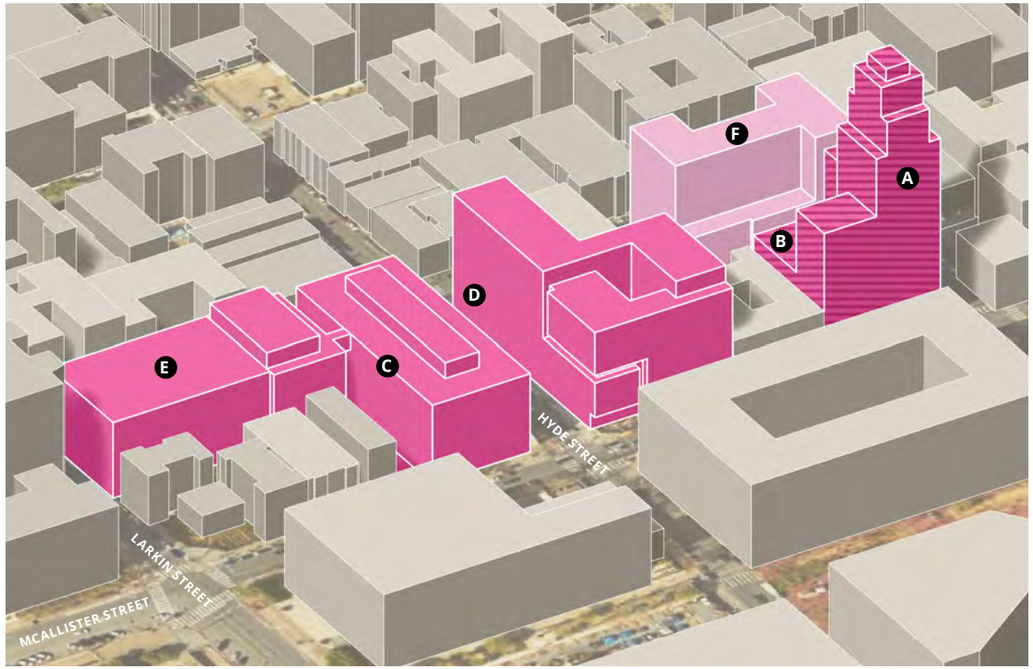
of proposed capital projects including projections of costs, funding, and timelines. Accordingly, extensive descriptions of UC Law SF's programs, initiatives, populations, location, context, existing facilities, and recently completed projects are provided in the LRCP but are not included in this document.

UC Law SF proposes several capital projects in this FYIP, at various scales. The College is currently renovating its historic tower at 100 McAllister Street, originally constructed as a hotel and church in 1929. This comprehensive seismic upgrade, historic preservation, and multi-phase renovation began in 2023, with Phase II set for completion in 2027. At completion of Phase II, the building will provide approximately 40 below-market Class A housing units with approximately 200 beds. Once funding becomes available, the College will add an additional 40 units with approximately 80 beds. These apartments will be occupied by students and professionals from UC Law SF and partner educational institutions.

The Tower's vacant former church sanctuary will also be prepared for future development opportunities, such as renovation into a new Great Hall for events, collaboration, and other programming. The College has removed hazardous materials from the space, greatly enhancing the viability of a future project. While important, this renovation will not move forward prior to the completion of the other elements of the Tower's renovation, unless dedicated funding is acquired from philanthropic sources or a programmatic partner specifically interested in this unique opportunity.

UC Law SF also plans to complete deferred maintenance and renovations of Mary Kay Kane Hall at 200 McAllister Street, prioritizing long-needed modernization of the building's seven elevators. A core building for the College's library, academics, and campus life since the 1980s, Kane Hall's ongoing upgrades, including the recent installation of photovoltaic panels on its roof, are improving its energy efficiency, sustainability, and suitability for UC Law SF's innovative educational mission.

And finally, UC Law SF is partnering with Unite Here Local 2, a labor union for restaurant and hotel workers, to develop the union's property at 201-247 Golden Gate Avenue, adjacent to McAllister Tower. The planned development would replace a group of aged and antiquated low-rise buildings with a mixed-use structure of approximately 14 stories, expanding the College's footprint by a quarter of a city block and anchoring the northeast corner of the campus. The building would provide new facilities for Unite Here Local 2, offer long-term growth space for the Academic Village, and accommodate approximately 200-400 additional campus housing units. The project's conceptual design is complete, and its CEQA entitlements were certified in September 2024.



Full inventory of proposed projects in this FYIP

FACILITIES
- Existing
- Vacated for renovation
- Planned

MCALLLISTER TOWER—100 MCALLISTER

A Phase III: Complete housing build-out (40 below-market units with about 80 beds on floors 13-23); finish-out office space on floors 2-4; renovate Skyroom lounge on floor 24; renovate Fitness and Athletics Center in basement

B Renovate Great Hall

C **MARY KAY KANE HALL AND QUAD—**200 MCALLISTER
Modernize elevators, upgrade building systems

D **ACADEME AT 198—**198 MCALLISTER
Finish-out retail space for neighborhood activation

E **PARKING AND RETAIL STRUCTURE—**376 LARKIN
Maintain paint and fire alarm system

F **201-247 GOLDEN GATE—**201-247 GOLDEN GATE
Construct campus housing and academic building with union hall

PUBLIC REALM AND URBAN DESIGN
Implement cohesive streetscape and placemaking: plant street trees, widen Golden Gate Avenue sidewalk, upgrade outdoor lighting, signage, and art

02

Existing Facilities

EXISTING FACILITIES

The campus of UC Law SF consists of five buildings and an outdoor quad. These assets are tightly arranged on three acres of College-owned land, distributed across two city blocks.

NAME, DATE, LOCATION	TYPE	PRIMARY USE	GROSS SF	LAND AREA
ACADEME AT 198 Constructed 2023 198 McAllister Street	Mixed Auxiliary and Academic	Campus housing (656 units with 667 beds), academics, gathering, classrooms, retail	356,000 sf	32,141 sf
COTCHETT LAW CENTER Constructed 2020 333 Golden Gate Avenue	Academic	Classrooms, academics, administration, gathering	57,000 sf	11,962 sf
MARY KAY KANE HALL Constructed 1980 200 McAllister Street	Academic	Library, classrooms, academics, administration	185,000 sf	32,175 sf
QUAD AND LOADING DOCK Constructed 1980 / 2020 West Campus	Academic	Gathering, recreation, building service	16,000 sf*	10,063 sf
MCALLISTER TOWER Constructed 1929 100 McAllister Street	Mixed Auxiliary and Academic	Vacant (campus housing, recreation, administration, academics)	269,000 sf	18,906 sf
PARKING AND RETAIL STRUCTURE Constructed 2009 376 Larkin Street	Auxiliary	Parking garage, retail	157,000 sf	25,850 sf
CAMPUS TOTAL			**1,040,000 sf**	**~131,000 sf (3.0 acres)**

Inventory of existing facilities

* Includes the 8,000 sf elevated outdoor quad, and the 8,000 sf loading dock space underneath



Existing campus facilities

- UC Law SF properties / planned expansion
- Right-of-Way—City and County of San Francisco
- Uptown Tenderloin Historic District

1. Cotchett Law Center
2. Mary Kay Kane Hall
3. Quad and Loading Dock
4. McAllister Tower
5. Academe at 198
6. Parking and Retail Structure
7. 201-247 Golden Gate—planned campus expansion

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03

Vision

VISION

The College's Board of Directors adopted a new strategic plan in September 2020. The plan articulates an overarching vision of the future, including a reimagined campus, robust academic programs, and strong partnerships with a wide array of stakeholders to create a vibrant community of learning, centered around four key drivers:

TEACHING AND LEARNING

Be a vibrant center of learning with educational practices optimized to promote student success and meaningful career contributions to society.

- → Provide an innovative teaching program that meets the needs of a new generation of law students who want individualized instruction.
- → Ensure that UC Law SF's students are well-positioned to secure work in a fast-changing legal services market.
- → Regularly update curricula to cover new and emerging practice areas and innovative teaching techniques.

FACULTY SCHOLARSHIP

Support UC Law SF's community of top-tier legal thinkers in producing influential scholarship and maximizing its impact.

- → Provide faculty with the resources necessary to pursue their research interests and to produce scholarship that serves the public good.
- → Extend the reach of faculty scholarship and its utility to government, business, policy, and advocacy leaders.
- → Continue to build centers of excellence that integrate the College's research, students, and alumni with broader practice and academic communities.
- → Maintain a vibrant and engaged intellectual community.

COMMUNITY COHESION

Base all school activity on a foundation of mutual respect, continually demonstrating the College's commitment to diversity, equity, and inclusion.

- → Continue to attract and retain diverse students, faculty, and staff.
- → Promote internal cohesion and communication.
- → Emphasize student wellness.
- → Increase alumni engagement and support.
- → Serve as a welcoming institutional anchor for the campus's neighborhood.

ACADEMIC VILLAGE

Complete implementation of the Long Range Campus Plan, through a combination of building projects and innovative partnerships.

- → Provide state-of-the-art educational and research facilities.
- → Build well-designed, environmentally sound on-campus housing that students can afford.
- → Advance legal, professional, and graduate study through collaborations among educational institutions and community partners.
- → Address local, state, national, and global issues more effectively by boosting multidisciplinary teaching, research, and engagement.

One of the boldest initiatives in the College's 148-year history is currently unfolding: creating a vibrant, intersegmental Academic Village in the heart of San Francisco. The Academic Village features two new multi-use buildings and a historic high-rise tower renovated for a new generation of students, and embodies UC Law SF's strategic vision by emphasizing collaboration and innovation to accelerate the College's ongoing academic resurgence. The Long Range Campus Plan also calls for the development of facilities at 201-247 Golden Gate Avenue, to further increase the Academic Village's positive impact on California's system of higher education and the College's neighboring communities.

Creating the Academic Village will transform the UC Law SF campus. It will achieve the highest and best use of the College's real-estate resources. It will house many times more students than previously possible by ultimately providing approximately 1,400 beds on campus, serving the State of California's priorities for higher education and campus housing. It will revitalize the surrounding neighborhood, and it will catalyze an understanding of how law, medicine, business, technology, and other fields can converge to spark insight and action.

PROJECT OBJECTIVES

UC Law SF has outlined the following objectives to guide the selection and development of projects proposed in this FYIP, in support of the College's strategic plan and the vision for the Academic Village.

Optimize the College's location and facilities in collaboration with other institutions of higher education and community partners, to create a vibrant living and learning environment with shared access to all campus amenities.

- → Work with community partners and small businesses to create active campus frontages and appealing environments.
- → Catalyze community-based economic development in the Tenderloin, Civic Center, and Mid-Market neighborhoods

Update the campus to better serve evolving student and community needs, including through provision of additional classrooms and flexible gathering spaces.

- → Encourage effective circulation and social interaction with appropriate placement of spaces for instruction, formal and informal gathering, quiet or collaborative work, services, and administration.
- → Enhance instructional and administrative processes through modular deployment of innovative information technologies.

Prioritize deferred maintenance to avoid risks to life safety and protect capital assets.

Provide competitively priced, below-market campus housing in safe, secure, code-compliant, and seismically upgraded buildings.

- → Balance all design considerations to deliver exceptionally comfortable, accessible, reliable, and secure facilities.

Develop UC Law SF as a model for sustainable urban campuses by integrating financially feasible principles of sustainability and resilience into capital planning and construction, and sharing facilities and resources.

- → Utilize easily maintainable building systems designed to meet the needs of users and the challenges of the College's dense urban setting.

Mitigate risks related to climate change by applying the University of California and State of California's climate action frameworks.

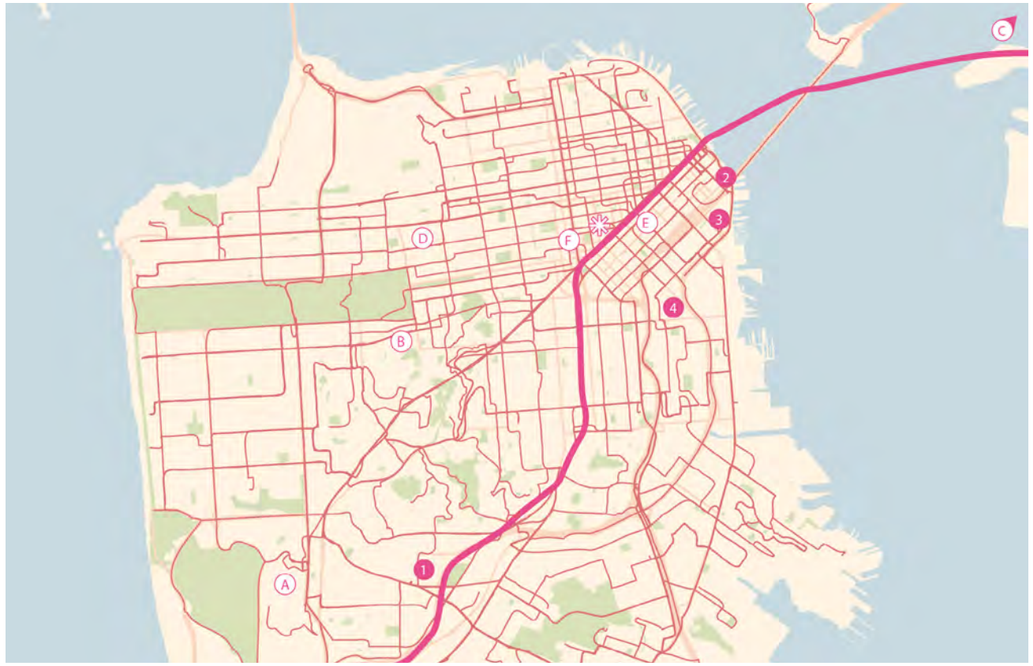
INSTITUTIONAL PARTNERSHIPS

Beyond just a set of construction projects, the Academic Village is a platform for intersegmental engagement among individuals and across institutions. It facilitates scholastic and professional collaborations that expand beyond the law school and connect the College with the wider community.

UC Law SF continues to solicit on-campus residential and academic partnerships to realize this intersegmental vision. Established and pending partnerships include:

- → **UC Davis:** UC Davis began exclusively offering an MS in Business Analytics on the College's campus in 2017. This program has since doubled in size, with an average enrollment of over 100 FTE. These students are fully integrated within the UC Law SF community, with some renting residential units in the Academe at 198 and some employed as student workers on campus. Their presence contributes to a more diverse academic community at the College.
- → **UC San Francisco (UCSF):** UC Law SF and UCSF share a long tradition of partnership and collaboration.
    - *Campus housing:* Recognizing the need for competitively priced campus housing and the benefits of colocation, the two institutions executed an Occupancy Agreement in 2020 allocating 230 units (35 percent) of the 656-unit Academe at 198 to support UCSF's housing needs. The building opened in 2023.
    - *Education:* UC Law SF and UCSF operate joint academic and research programs, including the Consortium on Law, Science, and Health Policy. In addition, the two institutions jointly confer an MS in Health Policy and Law, as a shared element of curriculum.
    - *Administration:* The two institutions collaborate on several administrative functions, such as copy and print services and security.
    - *Transportation:* Operating on weekdays, UCSF's shuttle service connects the Academic Village to key UCSF sites throughout the city.
- → **San Francisco State University (SFSU):** In August 2026, the graduate business programs of SFSU's Lam Family College of Business relocated to UC Law SF's Academic Village. SFSU exclusively occupies 3,200 square feet of classrooms and office space in the Academe at 198, while sharing additional classrooms with UC Law SF elsewhere on campus. This relocation positions SFSU's students in proximity to leading employers, industry partners, and transit hubs, enhancing opportunities for internships and networking.

UC Law SF's current partnerships in San Francisco are mapped and listed below, along with potential partnerships the College is interested in exploring. San Francisco and the broader Bay Area are home to many suitable partners positioned to advance the Academic Village vision.



UC Law SF's current and potential partners and collaborations in San Francisco

Current Partners and Collaborations:

- **A.** San Francisco State University
- **B.** UCSF
- **C.** UC Davis
- **D.** University of San Francisco
- **E.** UOP School of Dentistry (Dugoni)
- **F.** San Francisco Ballet

Potential Partners and Collaborations:

1. City College of San Francisco (CCSF)
2. Wharton - University of Pennsylvania (event space)
3. University of Chicago (Third Coast Foundry)
4. Vanderbilt University

BART Rail
MUNI Transit Routes
Major Roads
UC Law SF Campus

COMPETITIVELY PRICED, BELOW-MARKET HOUSING

Housing is a critical component of providing access to higher education. The cost of housing in the Bay Area is a significant barrier for local colleges and universities to retain top-ranked students and professionals. For those that do join the College, the cost of housing often requires them to move increasingly far from campus to find affordable accommodation.

In response, UC Law SF is in the process of providing a substantial increase of competitively priced, below-market housing on its campus, planned to ultimately total around 1,400 beds for the use of students and professionals from the College and other higher education institutions. This increase in supply will occur without displacing any of the neighborhood's existing housing, thus avoiding displacement of any current Tenderloin residents. This element of UC Law SF's vision will reduce the College's carbon footprint by shortening commutes, and will provide a vibrant community and social environment for optimal education and health outcomes.

Current and proposed campus housing developments are mapped on the following page, along with existing nearby residential and hotel uses.



Housing on and near campus

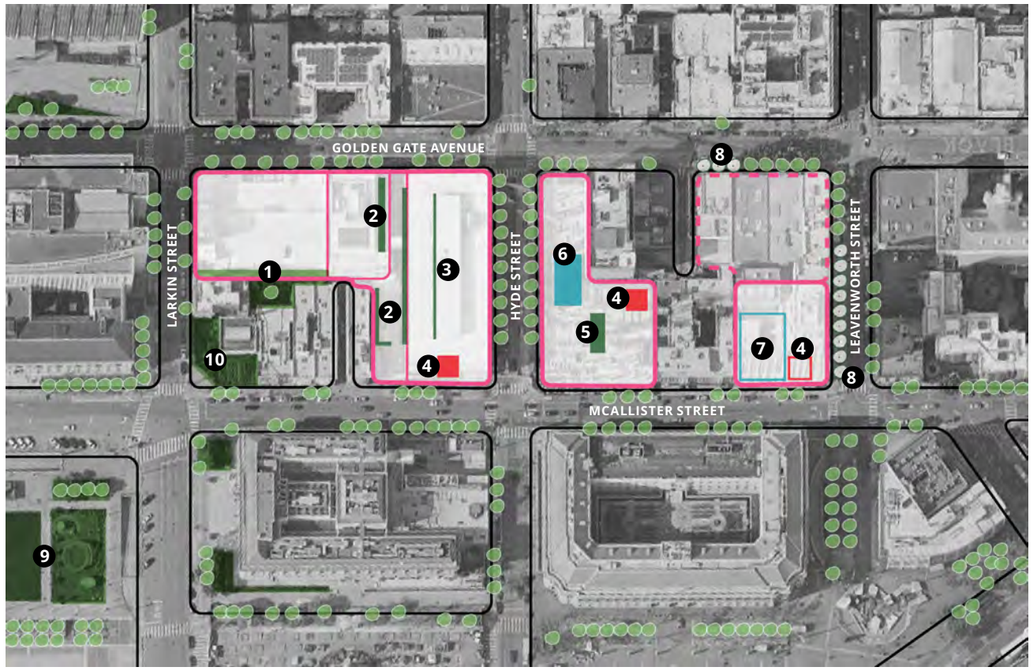
- UC Law SF properties / planned expansion
- Right-of-Way—City and County of San Francisco
- Nearby residential / hotel uses

1. COMPLETED: Academe at 198—656 units (667 beds)
2. ONGOING: McAllister Tower renovation—80 units (~280 beds)
3. PLANNED: 201-247 Golden Gate—200-400 units (206-406 beds)

SUSTAINABILITY AND WELLNESS

In alignment with California's climate objectives, one of the College's key goals is to become a national model for sustainable urban campuses. To that end, UC Law SF is designing the Academic Village with sustainability at the forefront and LEED certification required as a baseline. The Cotchett Law Center is certified LEED Platinum, and the Academe at 198 is certified LEED Gold.

As articulated in the strategic plan, the promotion of community wellness is another key objective for the College. The physical planning of the campus can have a positive impact on the campus community's health and wellness. The College's sustainability and wellness features are mapped on the following page.



Envisioned features of campus sustainability and wellness

- UC Law SF properties / planned expansion
- Right-of-Way—City and County of San Francisco
- Existing green space
- Existing / planned fitness space
- Existing / planned bicycle storage
- Existing / planned tree

ON CAMPUS

1. Mid-block alley and plantings
2. Cotchett Law Center Sky Deck and Quad—planters
3. Mary Kay Kane Hall—mechanical penthouse vertical greening
4. Bicycle storage
5. Academe at 198—residential courtyard
6. Academe at 198—fitness center and dog run
7. McAllister Tower—fitness and athletics center

NEARBY

8. Green Community Benefits Plan—street trees
9. Civic Center Plaza and playground
10. Tenderloin People's Garden

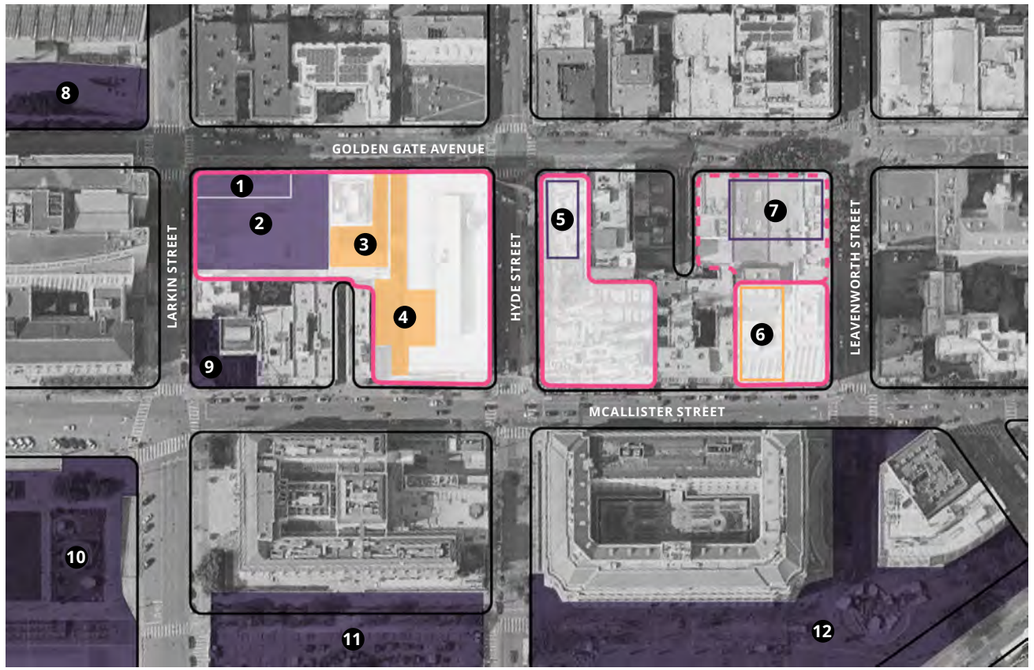
CAMPUS AND COMMUNITY LIFE

To further realize the vision for the Academic Village, the College opens its library, food services, recreation and fitness facilities, study spaces, and social spaces for shared use by its partners, encouraging intersegmental engagement between institutions. By creating a welcoming setting where students, faculty, staff, and visitors come together, the College anticipates future academic collaborations may emerge, enabling additional sharing of teaching facilities, labs, clinic space, and other departmental resources. The concentration of people living on campus is also expected to continue generating academic, social, and cultural activities in daytime and evening hours, enhancing the vibrancy and safety of the campus and the surrounding urban setting.

The Cotchett Law Center contains several design features that encourage intersegmental collaboration, serving as a model for more recent renovations and new construction across the campus. Classrooms with movable partitions and flexible furniture support a range of class sizes and learning modalities, while glass-walled conference rooms accommodate group study and small meetings. The building's lobby and circulation areas are spacious, well lit, and furnished with seating and tables with monitors and charging stations, facilitating casual or spontaneous work sessions and exchanges among students and faculty. The Quad and rooftop Sky Deck provide welcoming outdoor spaces that support both formal and informal student, faculty, staff, and visitor engagement.

The Academic Village and its campus population contribute to the life of the surrounding Tenderloin and Mid-Market community. The campus is surrounded by community-serving facilities, including public plazas, the Heart of the City Farmers Market, and a community garden. The construction of the Parking and Retail Structure in 2009 added additional retail and parking facilities for neighborhood use. The Quad and the Cotchett Law Center's Sky Deck are also available for partner and community events, while additional community-facing commercial space is provided in the Academe at 198 and planned within the development at 201-247 Golden Gate.

Existing and planned features of campus and community life are mapped on the following page.



Envisioned features of campus and community life

- UC Law SF properties / planned expansion
- Right-of-Way—City and County of San Francisco
- Existing / planned community-facing space
- Existing / planned semi-public space

ON CAMPUS

1. Street retail—food and beverage
2. Public parking structure
3. Cotchett Law Center— Deb Colloquium Room and Sky Deck
4. Quad and Law Cafe
5. Academe at 198—street retail
6. McAllister Tower—Great Hall gathering space
7. 201-247 Golden Gate—New Unite Here Local 2 union hall

NEARBY

8. Phillip Burton Federal Plaza
9. Tenderloin People's Garden
10. Civic Center plaza, playground, and parking garage
11. Fulton Mall and weekly farmers market
12. United Nations Plaza

CONSTRUCTION PHASING
All five phases of the Academic Village are fully entitled under CEQA as of September 2024.

The Environmental Impact Report for the first four phases can be found here: repository.uclawsf.edu/lrcp/37/

The Environmental Impact Report for the final phase can be found here: https://repository.uclawsf.edu/lrcp/

The phasing is as follows:

COMPLETE:

1. **Cotchett Law Center—Academic Building**
   333 Golden Gate—Build a new six-story academic and conferencing facility with an outdoor quad, to replace most functions of Snodgrass Hall (completed in 2020)

2. **Mary Kay Kane Hall—Academic Renovation and Maintenance**
   200 McAllister—Reconfigure student services, add new classrooms and offices, and complete deferred maintenance (major scopes completed since 2020, with more proposed)

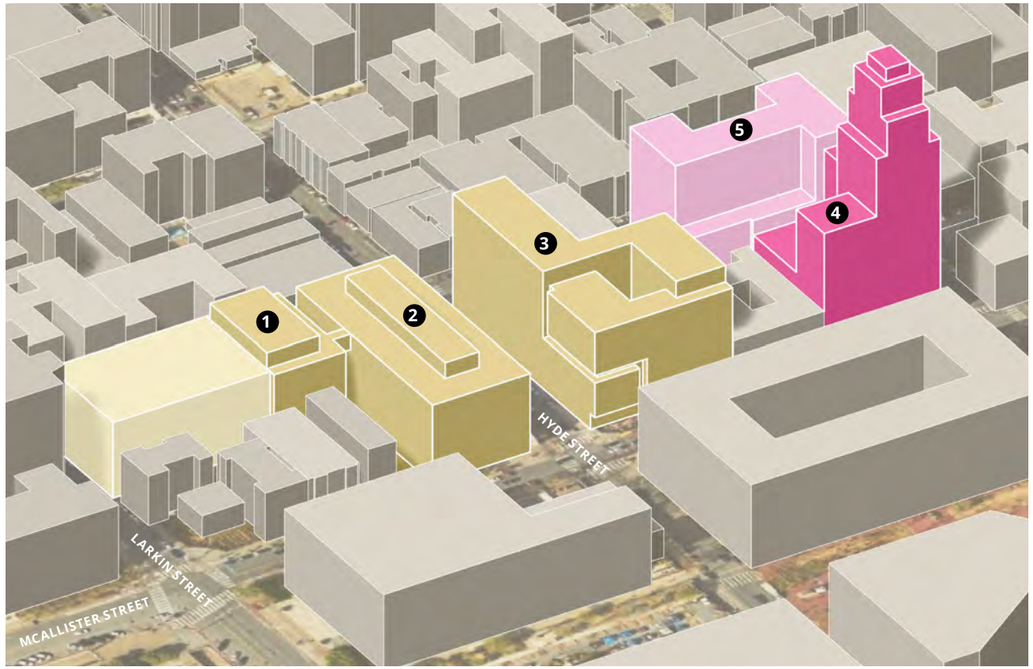
3. **Academe at 198—Campus Housing and Mixed-Use Academic Building**
   198 McAllister—On the former site of Snodgrass Hall, build a new 15-story mixed-use campus housing, academic, and retail facility, including 656 housing units (667 beds) for UC Law SF and partner institutions (completed in 2023, with finish-out of retail space on Golden Gate Avenue ongoing)

ONGOING:

4. **McAllister Tower—Campus Housing and Mixed-Use Academic**
   100 McAllister—Seismically upgrade and modernize the historic 28-story tower, renovate residential floors into 80 multi-bed housing units (approximately 280 beds), and prepare the Great Hall for future development (2023-ongoing)

PLANNED:

5. **201-247 Golden Gate—Campus Housing and Mixed-Use Academic Building**
   201-247 Golden Gate—Partner with the labor union Unite Here Local 2 to redevelop their property and expand the UC Law SF campus; build a new, approximately 14-story mixed-use campus housing, academic, and commercial facility, including a new union hall, academic partnership space, and approximately 200-400 units of campus housing



Academic Village construction phasing

- Not part of phasing
- Completed
- Ongoing
- Planned

1. Cotchett Law Center, 333 Golden Gate
2. Mary Kay Kane Hall renovations, 200 McAllister
3. Academe at 198, 198 McAllister
4. McAllister Tower renovation, 100 McAllister
5. 201-247 Golden Gate

04

Proposed Projects

PROPOSED PROJECTS

The Five-Year Infrastructure Plan's proposed projects advance the College's vision as described in the previous chapter. Due to UC Law SF's status as an entity of the State of California, these projects are not legally required to conform to the City and County of San Francisco's land use zoning, planning, and building requirements. However, the College intends to continue working closely with the city, community partners, and local neighborhoods to consider their interests as projects and improvements are implemented. This year's proposed projects are listed below and described on the following pages:

- **McAllister Tower**—Campus Housing and Mixed-Use Academic, Phase III, and Great Hall Renovation
- **Mary Kay Kane Hall and Quad**—Maintenance and Improvements
- **Parking and Retail Structure**—Maintenance
- **Academe at 198**—Retail Space Finish-Out
- **201-247 Golden Gate**—Campus Housing and Mixed-Use Academic Building
- **Public Realm and Urban Design**

PROJECT

McAllister Tower—
Campus Housing and Mixed-Use Academic
100 McAllister Street

VISION AND BACKGROUND

UC Law SF is currently conducting Phase II of a comprehensive multi-phase renovation of McAllister Tower. The unique skyscraper originally opened in 1930 as a church and hotel, and since 1980 has served as campus housing and administrative office space for the College. UC Law SF started the project in 2023 by vacating the building's apartments and interior, enabled by the opening of 656 new on-campus housing units in the Academe at 198. McAllister Tower's renovation is estimated to cost $288 million in total, organized into at least three phases subject to the availability of funding, with completion of Phase II projected for 2027.

When the Tower is reopened for occupancy, it will provide approximately 40 below-market Class A housing units (about 200 total beds), delivering the majority of the building's ultimate envisioned capacity of 80 units (about 280 beds). This project will further increase the supply of housing in the Civic Center and Tenderloin neighborhoods and help to improve financial accessibility to higher education within the College's Academic Village. In addition, the Tower will serve as a reinvigorated hub for the campus community, providing upgraded campus amenity spaces that celebrate the building's history.

The scope of the ongoing renovation is extensive. Phases I and II, funded with substantial assistance from the State of California, include comprehensive seismic upgrades, interior demolition, and abatement of all hazardous materials, to permit the College's continued use of the building and ensure a structurally and environmentally sound facility for the campus community. This rehabilitation includes comprehensive abatement in the building's Great Hall, historically the sanctuary of the Temple Methodist Church, to encourage potential development opportunities within the unique but long-vacant chamber.

In addition, the ongoing work includes replacement of the Tower's windows for Title 24 compliance, replacement of its elevators, comprehensive upgrades to its utility systems, and exterior rehabilitation of its envelope and historic masonry facades. Inside the building, UC Law SF is rehabilitating floors 5 through 12 to preserve and enhance their function as campus housing. The Tower's historically significant lobby spaces, dating to the building's past as the William Taylor Hotel, are also being rehabilitated to preserve their historic detail and grandeur.





Photos of the Tower's ongoing renovation



Rendering of the proposed Tower lobby rehabilitation (credit Perkins&Will)

FEDERAL HISTORIC TAX CREDITS

UC Law SF has undertaken an innovative financing approach using Federal Historic Tax Credits ("HTCs") as a financing tranche for this renovation. In doing so, the College is creating legal structures through UC Law's Academic Village Finance Authority that can be made available to all of California's institutions of public higher education on a statewide basis.

The Federal HTC program provides a 20-percent federal income tax credit for the substantial rehabilitation of certified historic, income-producing buildings. The credit is based on Qualified Rehabilitation Expenses (QREs), which include a wide range of "hard" and "soft" costs directly related to the building's rehabilitation. Federal HTCs provide multiple benefits and have been instrumental in making the renovation of McAllister Tower financially feasible. While not all historical structures in need of upgrade will meet eligibility requirements, many will.

Benefits provided by Federal HTC financing include:

- **Funding:** It generates estimated net proceeds of $42 million (14.5 percent) of McAllister Tower's total renovation cost of $288 million. Federal HTCs can also be coupled with the recently established, but currently unfunded, California HTC program.
- **Debt management:** Proceeds essentially function as equity, minimizing institutional debt. This is an important consideration for institutions managing debt limit constraints.
- **Historic preservation:** It supports preservation and sustainability objectives, as reuse of existing buildings significantly lowers a development's carbon footprint compared to demolition of existing structures and new construction.

PHASE III

The third phase of the renovation, proposed as a major priority project in this Five-Year Infrastructure Plan, will consist of the following scopes of work:

- **Housing finish-out:** On floors 13 through 23, build-out the final 40 units (approximately 80 beds) of the Tower's full program of 80 units (about 280 beds). Upgrade associated residential corridors and common spaces with enhanced lighting and wayfinding. The planned distribution of units between students, faculty, staff, and Academic Village partners is yet to be finalized. Total cost is estimated at $25 million, excluding HTCs.
- **Fitness and Athletics Center renovation:** Upgrade the basement's recreational and athletic facilities, as well as the building's bicycle storage and showers. Total cost is estimated at $5 million.
- **Skyroom lounge renovation:** Reconstruct the Skyroom on floor 24 as a campus-wide social and study space. Total cost is estimated at $2 million and is to be funded by philanthropic sources.
- **Office space finish-out:** Reprogram and renovate the former departmental office space and associated support spaces on floors 2 through 4 into flexible tenant space, envisioned for instructional or office uses. No cost estimate is available at this time.
- **Streetscape improvements:** Maintain the sidewalks and enhance the plantings and street trees along the Tower's south and east sides. No cost estimate is available at this time.



Rendering of the proposed Fitness and Athletics Center renovation (Phase III) (credit Perkins&Will)

The significance of this project to UC Law SF and the Bay Area's network of higher education cannot be overstated, and the State of California's contributions to the project have enabled much of the College's progress to date. As a stand-alone law school, UC Law SF has limited financial capacity and must carefully manage its capital resources. The College receives no funding from the University of California and, as an independent legal entity, does not benefit from the strength of the UC system's financial statements and its access to more favorable financing terms. A financing solution for the project that enables the lowest feasible rents for residents, who are typically encumbered by high levels of student debt, will assist the project in meeting the State of California's goals.

GREAT HALL RENOVATION

With abatement and stabilization of the former sanctuary of the Temple Methodist Church completed, UC Law SF proposes a unique development opportunity to renovate this grand multi-story chamber within McAllister Tower into a street-level flexible-use destination. The Great Hall's uses could include conferences, community gatherings, student collaboration and studying, or other compatible purposes, with the potential to become a premier event venue in central San Francisco and one of the most historically and architecturally significant spaces in the city. The interior design could celebrate the geometry and daylight of the space's original rose window, and new mezzanines could provide views of the main gathering space below, with historic preservation regulations and incentives guiding the precise design of these interventions. UC Law SF has not established a timeline for this renovation, as it is subject to funding availability and identification of programmatic partners.



Rendering of the Great Hall's current abated shell condition (credit Perkins&Will)



Rendering of the proposed Skyroom lounge renovation on floor 24 (Phase III) (credit Perkins&Will)

PROJECT

Mary Kay Kane Hall and Quad—Maintenance and Improvements

200 McAllister Street

UC Law SF has successfully arranged its physical plant such that Mary Kay Kane Hall is the only facility on campus with deferred maintenance needs. The College has made significant progress in completing the building's backlog of deferred maintenance, with remaining needs and proposed improvements described below.

REMAINING DEFERRED MAINTENANCE PROJECTS

- **Elevator modernization:** Replace the building's seven original elevators, which have reached the end of their projected lifespan.
- **Electrical modernization and building management system:** Replace outdated electrical panels, in tandem with the building control automation project described on the following page.
- **Interior painting and carpeting:** Finish replacing the building's carpet and repainting the building's classrooms, offices, and common areas.
- **Water intrusion repair and waterproofing upgrade:** Repair water intrusion damage in rooms below the Quad, and upgrade waterproofing system to prevent future intrusion.
- **Fire alarm system:** Replace components at the end of their useful life.
- **Exit signs and emergency lighting:** Evaluate and replace the building's exit signs and emergency lighting as needed, per the recommendation of the facility condition assessment completed in 2021.
- **Exterior cladding:** Protect and clean the building's aging cladding. Work includes repainting and sealant repair.

IMPROVEMENTS AND SUSTAINABILITY PROJECTS

- **Lighting and HVAC control and automation improvements:** Upgrade the building's lighting, electrical and mechanical controls, and building automation and management systems, using the state-of-the-art systems at the Cotchett Law Center and the Academe at 198 as a model. This would improve Mary Kay Kane Hall's energy efficiency and improve the safety and security of the campus community through provision of remote access for building maintenance and security personnel.

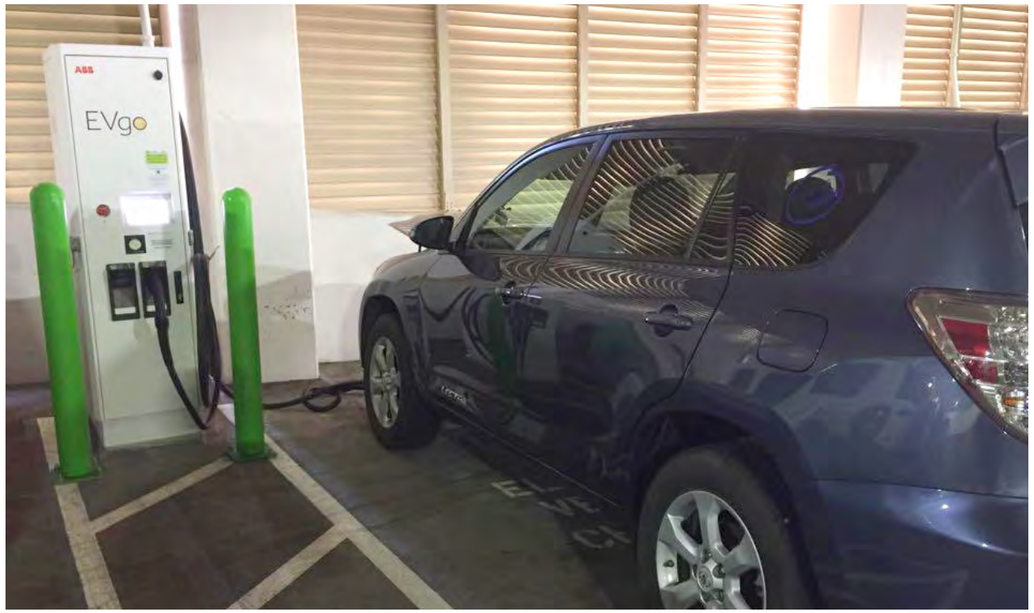
PROJECT

Parking and Retail Structure—Maintenance

376 Larkin Street

The Parking and Retail Structure in the northwest corner of campus is in good condition overall. UC Law SF installed additional electric vehicle charging stations in the garage in 2026, and plans to complete the following maintenance projects in upcoming years:

- **Painting:** Repaint the exterior facade, decking, and interior walls.
- **Fire alarm and detection system:** Repair and replace components of the structure's alarm and detection systems as needed, per the recommendation of the facility condition assessment completed in 2021.



One of the electric vehicle charging stations inside the Parking and Retail Structure

One of the electric vehicle charging stations inside the Parking and Retail Structure

PROJECT

Academe at 198—
Retail Space Finish-Out
198 McAllister Street

A key step in completing the occupancy of the Academe at 198 is to lease and finish-out the building's street-level retail space, located on the corner of Hyde Street and Golden Gate Avenue. At approximately 4,500 net square feet, the space is intended for the co-location of a community partner or community-serving business or organization.

The College has partnered with several city departments, including the Office of Economic and Workforce Development, to market the space to potential tenants. At this time, UC Law SF is in discussions with several prospective tenants, but a project timeline and cost estimate have yet to be established. Given the challenges in the current real estate market, particularly in the Tenderloin neighborhood, any prospective retail tenant is not likely to have a large pool of capital to invest in the finish-out.

Nevertheless, it is an important goal that the intersection of Hyde Street and Golden Gate Avenue be activated for the College's campus community and the safety of the surrounding neighborhood.



Exterior of retail space at the intersection of Hyde St. and Golden Gate Ave., with housing stacked above (credit P&W)

PROJECT

201-247 Golden Gate—
Campus Housing and Mixed-Use Academic Building
201-247 Golden Gate Avenue

VISION

The final planned phase of the Academic Village vision is the redevelopment of properties at 201–247 Golden Gate Avenue, approximately 0.6 acres at the southwest corner of Golden Gate Avenue and Leavenworth Street, adjacent to McAllister Tower. Under an agreement with the property owner, Unite Here Local 2, the College plans to replace the existing low-rise buildings with an approximately 14-story, 238,000 square-foot mixed-use building.

The building would anchor a new corner of campus and expand the College's footprint by a quarter of a city block, while also enhancing Local 2's presence on their property and enabling expanded collaboration between the College and its institutional and community partners. The project would replace the labor union's existing facilities, providing them with new offices, meeting rooms, a large hiring hall at street level, and additional parking spaces, totaling approximately 21,000 square feet (about 9 percent of the proposed building). The project may also supply approximately 200-400 units of competitively priced campus or workforce housing, advancing the Academic Village goal to provide approximately 1,400 beds on campus. The building may also contain around 20,000-80,000 square feet of flexible teaching and academic support spaces, for the College and its institutional partners to design to suit their needs.

HISTORICAL AND ENVIRONMENTAL CONSIDERATIONS

The existing buildings at 201-247 Golden Gate Avenue were built in the 1910s as a film exchange, facilitating the storage and distribution of movie reels to regional cinemas. The buildings are within the Uptown Tenderloin Historic District, recognized by federal, state, and county governments. However, this designation does not formally restrict or regulate the redevelopment of the property.

The proposed program for 201-247 Golden Gate, developed through collaboration between Local 2 and UC Law SF, cannot be accommodated within the existing buildings. The demolition necessary to realize the project will disqualify the College from receiving historic preservation tax credits or preservation funding grants.

In deference to the project's location in the Uptown Tenderloin Historic District, the building will be designed to respect and respond to the historic context of adjacent buildings and the neighborhood's architectural character. To mitigate the impacts of construction, including the removal of several mature street trees, the College's Green Community Benefits Plan will assure that replacement trees are planted at a 3:1 ratio, either on-site or throughout the Tenderloin.

UC Law SF commenced the CEQA, EIR, and entitlement processes for this project in 2022, and full entitlements under CEQA were approved in September 2024. Due to UC Law SF's legal status under California's state constitution, the College serves as the CEQA lead agency for development projects on its campus, and all entitlements are granted by the UC Law SF Board of Directors.



201-247 Golden Gate site context

UC Law SF properties / planned expansion

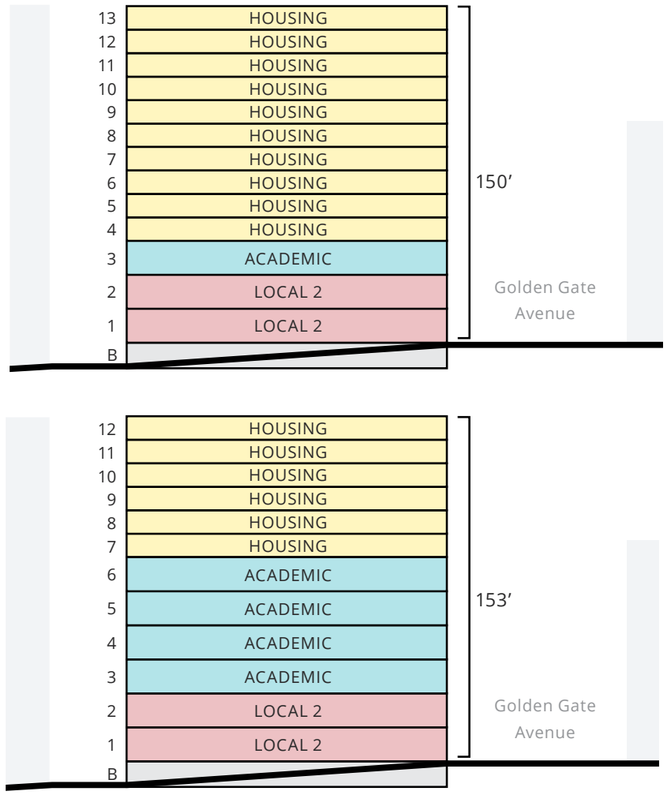
Right-of-Way—City and County of San Francisco

1 Project Site—201-247 Golden Gate Avenue

PROJECT UPDATES

While not legally required to conform to the City and County of San Francisco's planning regulations, the College nevertheless works closely with the city and local neighborhoods as it plans and implements its projects. On November 30, 2023, representatives from UC Law SF and Unite Here Local 2 presented the 201-247 Golden Gate project to San Francisco's Planning Commission within the context of the Academic Village vision.

In 2025, UC Law SF extended a five-year option agreement with Local 2 to maintain control of the site. The timeline and cost estimate for design and construction have not been finalized, as the College is currently seeking institutional and development partners for this project.



Example programming scenarios for 201-247 Golden Gate, exploring potential ratios of housing to academic space



Existing Unite Here Local 2 buildings at Leavenworth Street and Golden Gate Avenue looking southwest



Conceptual rendering of 201-247 Golden Gate looking southwest from Leavenworth Street and Golden Gate Avenue

PROJECT

Public Realm and Urban Design

The following proposed projects are not associated with any specific campus facility. Instead, UC Law SF intends for these projects to improve the functionality and experience of the campus's open spaces and surrounding streetscape, advance the College's sustainability efforts, and enhance overall campus and community life. They are subject to funding availability and confirmation by UC Law SF's Board of Directors.

GREEN COMMUNITY BENEFITS PLAN—FUTURE SCOPE

New trees and enhanced public green spaces can improve environmental health and quality of life for the campus and its surrounding neighborhood. In light of this principle, the College initiated the Green Community Benefits Plan (GCBP) in 2020 to offset the impacts of the Academic Village's construction. With support from the San Francisco Department of Public Works and collaboration with local neighborhood organizations, the plan's initial scope included the planting of 13 trees on campus and 12 more throughout the Tenderloin, accounting for the eight mature street trees removed in the course of the Academe at 198's construction. UC Law SF initiated extensive community partnerships and engagement to identify tree locations, species, and watering commitments for neighbors located in proximity to the trees.

As UC Law SF realizes the remainder of its Academic Village projects, the GCBP will continue to guide the equitable mitigation of the associated construction impacts, replacing removed mature street trees at a 3:1 ratio and supporting future neighborhood greening proposals.

The text of the Green Community Benefits Plan can be found here:
uclawsf.edu/wp-content/uploads/2021/01/198-McAllister-GCBP-Work-Plan.pdf

GOLDEN GATE AVENUE SIDEWALK WIDENING

Inspired by the positive impacts of the widening of McAllister Street's sidewalk along the southern edge of campus, UC Law SF is open to partnering with the City and County of San Francisco and local community groups to widen the sidewalk along the south side of Golden Gate Avenue, between Hyde and Leavenworth Streets. This widening would facilitate increased pedestrian safety and comfort, enhance an existing protected bicycle lane, and improve crowd capacity and urban design at the entrances to the community-facing commercial spaces in the Academe at 198 and the planned 201-247 Golden Gate building.

OUTDOOR PLACEMAKING AND SAFETY

In support of the Academic Village vision, UC Law SF may propose to install new exterior signage for branding, wayfinding, and placemaking to further articulate the campus and its institutions to the surrounding community and visitors. In addition, the College may propose to install public art and improved exterior lighting to enhance the campus's sense of place and safety and facilitate further community engagement.

07

Financial Summary

FINANCIAL SUMMARY

UC Law SF has already achieved substantial progress toward its Academic Village vision. Nonetheless, considerable financial resources are needed to fund these transformative capital projects while maintaining the College's operations. The latest cost estimates for the proposed projects are summarized in the following table, along with the relative timing priority of each project and component.

PROJECTS (EXISTING PHYSICAL PLANT)	ESTIMATED COST	TIMING PRIORITY
MCALLISTER TOWER—CAMPUS HOUSING AND MIXED-USE ACADEMIC: PHASE III	**$32,000,000 +**	
Housing finish-out (approximately 40 units, 80 beds)	$25,000,000	High
Fitness and Athletics Center renovation	$5,000,000	High
Skyroom lounge renovation	$2,000,000	Medium
Office space finish-out	TBD	High
MCALLISTER TOWER—GREAT HALL RENOVATION	**$30,000,000**	**Low**
MARY KAY KANE HALL AND QUAD—MAINTENANCE AND IMPROVEMENTS	**$6,584,000**	
Elevator modernization	$2,400,000	High
Electrical modernization and building management system	$522,000	Medium
Water intrusion repair and waterproofing upgrade	$1,500,000	Medium
Interior painting and carpeting	$1,537,000	Medium
Lighting and HVAC control and automation improvements	$625,000	Medium
PARKING AND RETAIL STRUCTURE—MAINTENANCE	**$281,000**	
Painting	$200,000	Medium
Fire alarm and detection system	$81,000	Low
TOTAL COST ESTIMATE (EXISTING PHYSICAL PLANT)	**$68,865,000 +**	

OTHER PROJECTS	ESTIMATED COST	TIMING PRIORITY
201-247 GOLDEN GATE—CAMPUS HOUSING AND ACADEMIC MIXED-USE BUILDING	**TBD**	**Medium**
PUBLIC REALM AND URBAN DESIGN	**TBD**	
Green Community Benefits Plan—future scope	TBD	Low
Golden Gate Avenue sidewalk widening	TBD	Low
Outdoor placemaking and safety	TBD	Low

08

Timeline

TIMELINE UC Law SF's timeline for the implementation of the proposed projects is summarized below. For projects labeled 'TBD', timing will be based on the College's capital investment priorities and funding availability.

Start	*Finish*	
		MCALLISTER TOWER—CAMPUS HOUSING AND MIXED-USE ACADEMIC
2023	2026	Renovation Phase I
2025	2027	Renovation Phase II
2027	2027	Renovation Phase III
TBD	TBD	Great Hall renovation
		MARY KAY KANE HALL AND QUAD—MAINTENANCE AND IMPROVEMENTS
2027	2028	Elevator modernization
TBD	TBD	Electrical modernization and building management system
TBD	TBD	Water intrusion repair and waterproofing upgrade
TBD	TBD	Interior painting and carpeting
TBD	TBD	Lighting and HVAC control and automation improvements
		PARKING AND RETAIL STRUCTURE—MAINTENANCE
TBD	TBD	Painting, fire alarm and detection system
		198 MCALLISTER—CAMPUS HOUSING AND MIXED-USE ACADEMIC BUILDING
TBD	TBD	Retail space finish-out
		201-247 GOLDEN GATE—CAMPUS HOUSING AND MIXED-USE ACADEMIC BUILDING
TBD	TBD	Financing, design, construction
		PUBLIC REALM AND URBAN DESIGN
TBD	TBD	Green Community Benefits Plan—future scope
TBD	TBD	Golden Gate Avenue sidewalk widening, outdoor placemaking and safety

Appendix

Board of Directors

Board of Directors



ALBERT ZECHER — CHAIR

Albert “Chip” Zecher was appointed to the UC Law SF Board of Directors in 2019. Zecher is a lawyer in private practice and lives in San Francisco. He is general counsel of Intevac Inc., having served in that role from 2022 to the present and from 2013 to 2020. He was the director of compliance for Comtech Xicom Technology from 2008 to 2013. Zecher was civil litigation partner for Pond North LLP from 2002 to 2006 and litigation associate for the Chubb Group of Insurance Companies from 1995 to 2002. Zecher is chair of the Board of Trustees of the Harker School. Zecher earned a Juris Doctor from the University of San Francisco School of Law.



ANDREW HOUSTON ‘07 — VICE CHAIR

Andrew W. Houston was appointed to the Board of Directors in April 2022. Mr. Houston is Principal Campus Counsel at the University of California, Berkeley Office of Legal Affairs. Mr. Houston is also co-chair of the UC Law SF Black Alumni Council. He served as Senior Counsel of Procurement in the University of California Office of the General Counsel from 2017 to 2021. He was an attorney for the Office of the General Counsel at the San Francisco Bay Area Rapid Transit District from 2015 to 2017, where he served as Principal Administrative Analyst for the Office of Civil Rights from 2012 to 2015. Mr. Houston was Contracts Compliance Officer at the San Francisco Human Rights Commission from 2008 to 2012. He earned a Juris Doctor from UC Law SF in 2007.



COURTNEY GREENE POWER ‘01

Courtney Greene Power was appointed to the Board of Directors in December 2015. She was elected its vice chair in 2024 and chair in 2025. She spent more than a decade as in-house counsel for Google, most recently as Legal Director in the Products and Agreements Group. She managed teams of attorneys performing product counsel and transaction work for business units including Geo, Virtual Reality, and Waze. Previously, from 2001 to 2005, Ms. Power was an associate at Gibson Dunn & Crutcher in Palo Alto, where she advised clients on internet commerce law and represented clients in regulatory investigations and civil litigation in state and federal court.

Ms. Power graduated magna cum laude from Harvard University in 1991. She was awarded a Rotary International Foundation Scholarship for postgraduate study at the University of Sydney. She earned a Juris Doctor cum laude from UC Law SF in 2001.



SIMONA AGNOLUCCI ‘06

Simona Agnolucci was appointed to the Board of Directors in December 2015. She was elected its Vice Chair in 2019 and its Chair in 2022 serving in that role through 2024. She is the Managing Partner of the San Francisco office of Willkie, Farr & Gallagher, where she specializes in high-stakes complex litigation, including class actions and other bet-the-company commercial disputes. Her clients include “sharing economy” technology companies, medical device manufacturers, brokerage companies, investment advisors, smartphone manufacturers, and leading law firms. Ms. Agnolucci has tried cases in state, federal and administrative court, including several as first chair. She also represents clients in complex investigations brought by the U.S. Department of Justice and the Securities and Exchange Commission. Ms. Agnolucci has defended corporate and individual clients from allegations of economic espionage, trade-secret theft, tax evasion, accounting and revenue recognition fraud, insider trading, witness tampering, obstruction of justice, and violations of the Foreign Corrupt Practices Act.

Ms. Agnolucci earned a Juris Doctor magna cum laude from UC Law SF in 2006 and obtained a Bachelor of Arts, with honors, from Stanford University. Prior to entering private practice, she was law clerk to the Honorable William C. Canby, Jr. of the Ninth Circuit Court of Appeals and an extern to the Honorable John T. Noonan, Jr. of the Ninth Circuit Court of Appeals and the Honorable J. Anthony Kline of the California Court of Appeal.

Ms. Agnolucci also serves on the Board of Directors of Legal Services for Children and on the Advisory Board of the Center for Gender and Refugee Studies. She has received numerous professional awards, including the National Law Journal’s 2019 Winning Litigators award for her trial work; the Recorder’s 2019 California Trailblazers award; the Daily Journal’s 2016 Top 40 Under 40 Award; the Recorder’s 2013 Lawyer on the Fast Track award; the 2013 UC Law SF Rising Alumna of the Year award; and the 2011 Lawyers’ Committee for Civil Rights Father Cuchulain Moriarty Award for her extraordinary pro bono contribution to the Lawyers’ Committee’s Asylum Project.



C. DON CLAY ‘81

Judge C. Don Clay served more than twenty years on the Alameda County Superior Court. He retired on March 29, 2024. As a judge, he presided over criminal jury trials and law and motion departments, civil direct department, and served as a Supervising and Executive judge during most of his career. He was elected and served as the Presiding Judge of the Alameda County Superior Court in 2012 and 2013. He was appointed by the Chief Justice of the California Supreme Court to numerous Statewide Trial Court Committees and in 2019 as a Special Master for the Commission on Judicial Performance, where he oversaw hearings on judicial misconduct complaints, a position he held until his retirement.

Judge Clay earned a Bachelor degree in Computer Science from the University of California, Berkeley in 1978 and a Juris Doctor degree from UC Law SF in 1981. He practiced both criminal defense and civil law in courts throughout California and the United States District Courts from 1981 to 2002 and represented clients in a broad range of cases, both civil and criminal, including matters involving entertainment law and white-collar criminal defense. Prior to his appointment to the bench in 2003, Judge Clay served as the First Assistant United States Attorney for the Northern District of California from 2002 to 2003.

Judge Clay has been a resident of San Francisco for more than forty years and has been committed to serving the community for all of that time. In June 2024 Judge Clay was sworn in as a member of the San Francisco Police Commission and elected President of the Commission on June 4, 2025. He previously served terms on the San Francisco Fire Commission, Juvenile Probation Commission, and the Juvenile Justice Commission. In addition, he has served on the Board of Directors of numerous non-profit and educational institutions.



SHASHI DEB ‘94

Shashi Deb was appointed to the Board of Directors in April 2022. Ms. Deb has been an adjunct professor at UC Law SF since 2014. She was a litigator at Cooley Godward LLP and Morgan Lewis from 1994 to 2000. She is a member of the boards of the University of California, Berkeley Foundation, the Tipping Point Community, Legal Link, and Project Heal. Ms. Deb earned a Juris Doctor from UC Law SF in 1994.

Aside from her focus on legal education, Professor Deb is also passionate about children’s education, healthcare, and working towards alleviating poverty in the Bay Area.



ANDREW GIACOMINI ‘91

Andrew Giacomini was appointed to the Board of Directors in 2020. Mr. Giacomini is a well-regarded trial lawyer and has been Hanson Bridgett’s Managing Partner for more than 15 years. Under Mr. Giacomini’s leadership, Hanson Bridgett has risen to be in the top 10 firms in Northern California and expanded its operations to Sacramento, Los Angeles, and the East Bay. Mr. Giacomini led the firm’s push into the sustainability field and is a thoughtful leader in sustainable business practices.

Mr. Giacomini also heads his firm’s government relations practice. He served on the Executive Committee of the Bay Area Council, and he is the chair of its Government Relations Committee. Mr. Giacomini assists firm clients with significant strategic initiatives, especially those with important political components.

Mr. Giacomini earned a Juris Doctor from UC Law SF in 1991, and a Bachelor of Arts degree from San Francisco State University.



CLAES LEWENHAUPT ‘89

Claes H. Lewenhaupt is a graduate of UC Law SF, a member of the California Bar, and a member of the Senior Government Ethics Counsel in the Office of the General Counsel with the Defense Logistics Agency in Virginia. Col. Lewenhaupt retired in September 2017 from the U.S. Army Judge Advocate General’s (JAG) Corps after 27 years. Col. Lewenhaupt served all over the world from Germany, to Hawaii, to Afghanistan, holding numerous positions of leadership and responsibility. He spent the first half of his Army career litigating as a prosecutor and a criminal defense attorney, with four years defending the Army in federal court specializing in medical malpractice and civilian employment law. He has spent the latter half of his career focused on national security law, serving at the U.S. Central Command, the Defense Intelligence Agency, the Office of the Director of National Intelligence, and the U.S. Army Intelligence and Security Command.



JOSHUA PERTTULA ‘98

Joshua Perttula was appointed to the UC Law SF Board of Directors in 2025. Perttula is the Co-Founder and a current member of the Investment Committee of Abington Emerson Investments as well as the Founding Member of Kirra Consulting. He previously worked for the U.S. Department of Education and as a City Attorney for the City of Los Angeles. Perttula is a licensed real estate broker in the State of California, a past member of the Board of Trustees of the California State Bar, and the former Chair of the California Supreme Court’s Blue Ribbon Commission on the Future of the Bar Exam. Perttula earned a B.A. in both Economics and Political Science from the University of California, Irvine and a J.D. from UC Law SF.



CARL W. ROBERTSON ‘98

Carl W. “Chip” Robertson of Los Angeles was appointed to the Board of Directors in 2011. He was elected its chair in 2019 and its vice chair in 2022. Mr. Robertson is co-managing director at Warland Investments Company. Prior to this, Mr. Robertson was an associate at Paul Hastings LLP and member of the management committee at Dax, LLC. Mr. Robertson serves as a member of the Board of Visitors for the UCLA Graduate School of Education and Information Studies, and the Board of Advisors for the UCLA Lab School. He sits on the Advisory Board for UC Berkeley’s College of Letters and Sciences. In addition, Mr. Robertson is a member of the Board of Directors of Jewish Vocational Services Los Angeles. At UC Law SF, he has served as a Trustee of the UC Law SF Foundation, and has endowed the Chip W. Robertson Faculty Research Fund.

Mr. Robertson earned a Juris Doctor degree from UC Law SF, a DBS from the London School of Economics, an MBA from the University of California, Los Angeles, Anderson School of Management, and a Bachelor of Arts from the University of California, Berkeley.

Appendix

Executive Officers

Executive Officers



DAVID FAIGMAN — CHANCELLOR AND DEAN

Chancellor and Dean David Faigman is the William B. Lockhart Professor of Law and the John F. Digardi Distinguished Professor of Law at UC Law SF and holds an appointment as Professor in the School of Medicine (Dept. of Psychiatry) at the University of California, San Francisco. He earned both his M.A. (Psychology) and Juris Doctor from the University of Virginia. Professor Faigman clerked for the Honorable Thomas M. Reavley of the U.S. Court of Appeals for the Fifth Circuit.



DAVID SEWARD — CHIEF FINANCIAL OFFICER

Since 1994, David Seward has served as the Chief Financial Officer of UC Law SF, where he is responsible for financial and business management functions, state intergovernmental relations, risk, investment, and real estate management. He also oversees the development and implementation of the school's Long Range Campus Plan and its strategic centerpiece, the Academic Village.

Active in the community, Mr. Seward currently serves on the Board of Directors of the Tenderloin Museum, the Civic Center Community Benefits District, and the California State Railroad Museum Foundation in Sacramento. In 2003, he helped establish the Tenderloin Community Benefit District, an organization focused on sidewalk cleaning and neighborhood improvement supported by a special property tax assessment and was actively engaged in its renewal in 2019. Previous board service also includes the Tenderloin Economic Development Project, San Francisco Housing Action Coalition, and the Advisory Board for Urban Solutions, organizations focused on economic and community development.

Mr. Seward grew up outside Detroit and graduated from the University of Michigan. In 1980, he moved to San Francisco where he attended the University of San Francisco, earning an MBA in Finance.



JOHN K. DIPAOLO — GENERAL COUNSEL

John K. DiPaolo is a 1989 Phi Beta Kappa graduate of Wesleyan University, where he earned a BA in Social Studies, and a 1993 graduate of Yale Law School, where he served as Articles Editor of the Yale Law Journal. After law school, John clerked for Judge Anthony J. Scirica on the U.S. Court of Appeals for the Third Circuit. Mr. DiPaolo has worked on education issues throughout his career, including at the U.S. Department of Education from 2011 to 2017, where he served as Deputy General Counsel for Postsecondary Education and Deputy Assistant Secretary for Policy for Civil Rights. Following his work in the Obama administration, John joined the firm of Cozen O'Connor here in San Francisco, where he worked on a myriad of educational matters.



MORRIS RATNER — PROVOST AND ACADEMIC DEAN

Morris Ratner has been UC Law SF's provost and academic dean since 2017. He manages and supports the College's faculty, all academic programs, and the associate and assistant deans responsible for degree programs and departments, such as the Assistant Dean of Students and student affairs.

Provost Ratner joined the UC Law SF faculty in 2012 after teaching at Harvard Law School as a visiting lecturer and then as a visiting assistant professor from 2009 to 2011. He teaches civil procedure, legal ethics, and the business of law practice, and produces scholarship at the intersection of those fields.

Provost Ratner co-chaired the strategic planning committee with Chief Financial Officer David Seward that produced UC Law SF's current operational strategic plan, which the law school has been implementing since its adoption in 2020. He has also spearheaded supplemental strategic planning efforts to address new challenges and opportunities since 2020.

Before transitioning to academia, Provost Ratner was a litigator at the San Francisco-based plaintiffs' firm Lieff, Cabraser, Heimann & Bernstein, LLP, where he was a partner for ten years and where he prosecuted product liability, environmental, mass personal injury, consumer, and human rights actions. Among other high-profile matters, he prosecuted and settled Holocaust-era slave labor, looted asset, dormant bank account, and unpaid insurance claims against European companies, producing global settlements in those cases worth more than $7.5 billion.

Dean Ratner earned a Juris Doctor from Harvard Law School in 1991 and a Bachelor of Arts from Stanford University in 1988.

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UNIVERSITY OF CALIFORNIA
SAN FRANCISCO
1878
COLLEGE OF THE LAW
FIAT JUSTITIA

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward

2. SUBJECT: McAllister Tower Seismic Upgrade Project
- Historic Tax Credit Update

3. REPORT:

An oral report will be provided at the Finance Committee meeting on the status of the Historic Tax Credit financing for the McAllister Tower Seismic Upgrade Project.

McAllister Tower Seismic Upgrade Project – Status Report

Finance Committee Meeting

November 19, 2026

UC San Francisco Law

Agenda



- Construction Progress
- Schedule Update

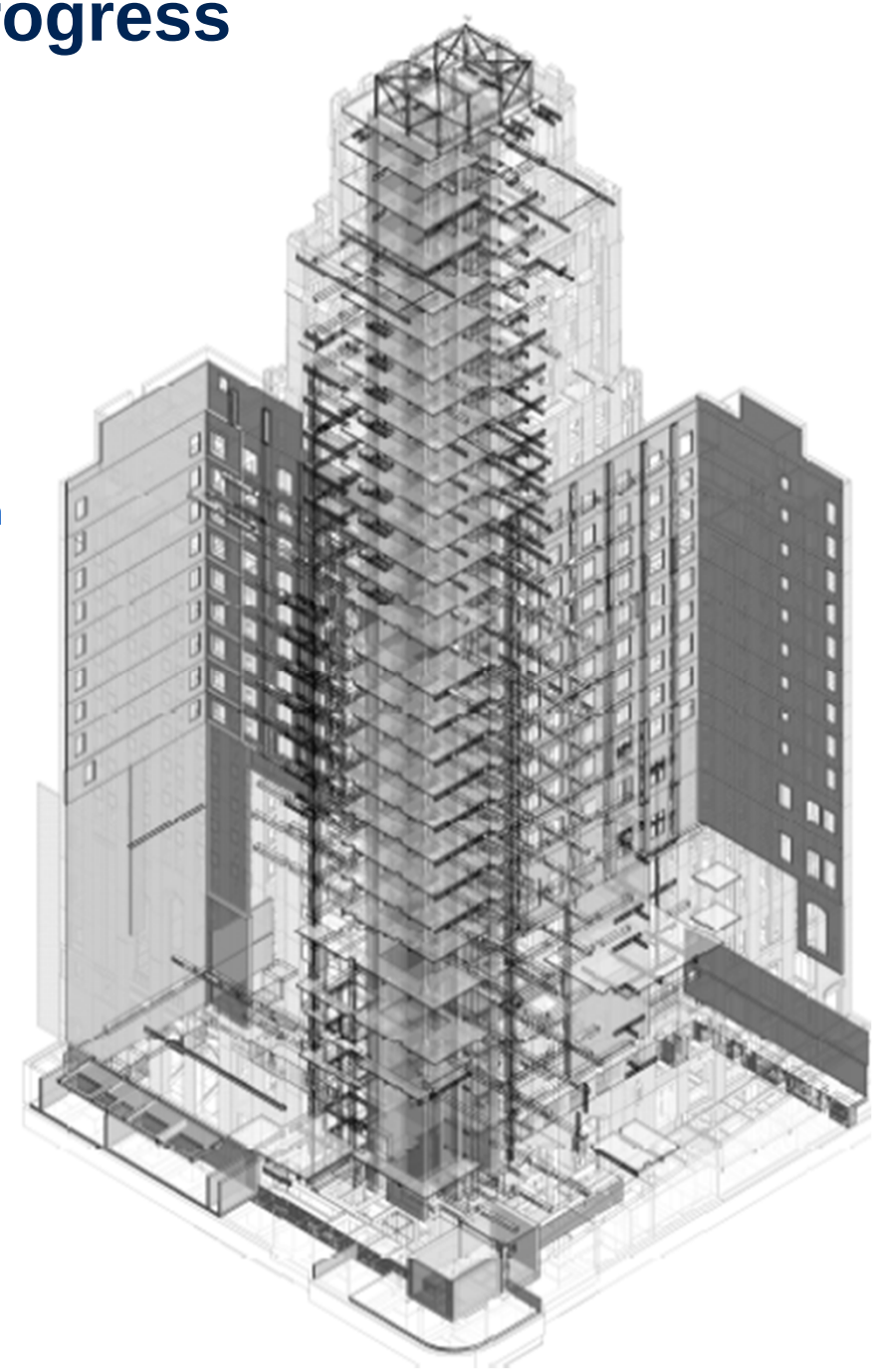
Construction Progress

- **Seismic Retrofit**

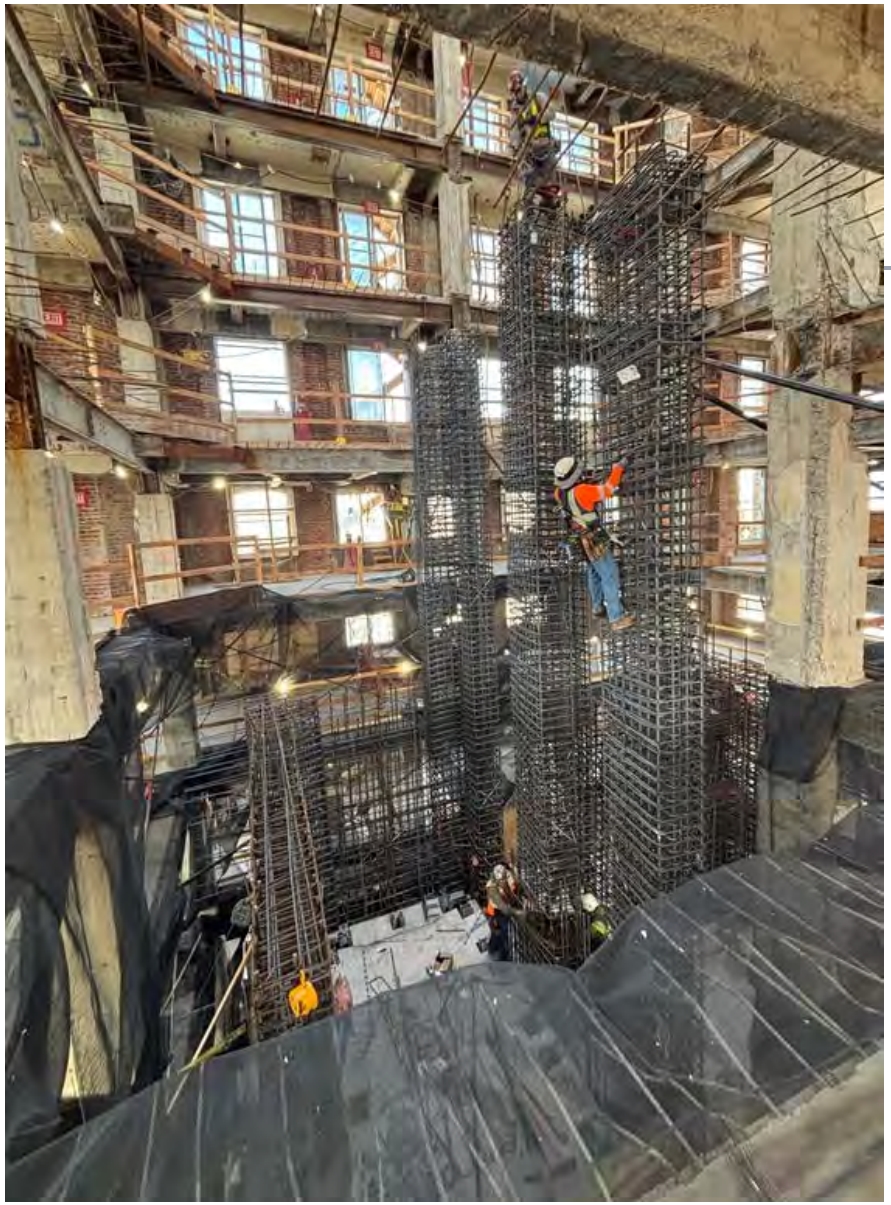
  1. **Soil Improvement and Foundations**: Completed.
  2. **New Building Core:** Completed.
  3. **New Concrete Overlay Walls**: To be completed in November.
  4. **Collectors**: Ongoing through Level 13.
  5. **BRB Outriggers** (Buckling-Restrained Braces and Corresponding Outrigger Columns): To be completed in November.
  6. **Shear Walls**: To be completed in November.
  7. **Transfer Beams**: Completed.

- **Other Ongoing Activities**

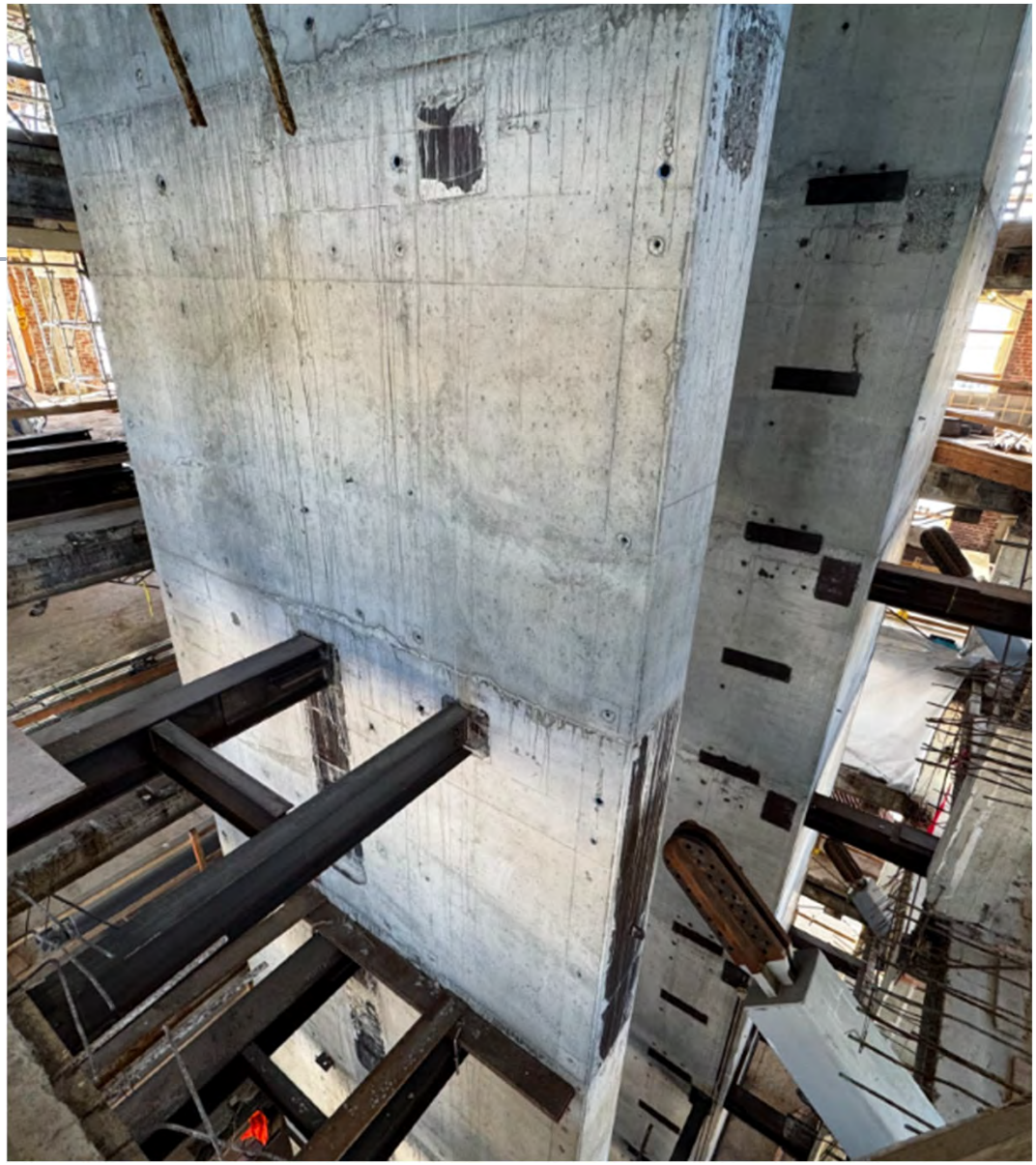
  - **MEPF distributions**
  - **Drywall framing**
  - **New stairs**
  - **Window Installation**
  - **Existing Condition Repair**
    - Brick Strengthening
    - Wood Embedment
    - Spalling Concrete



Construction Progress



June 2026



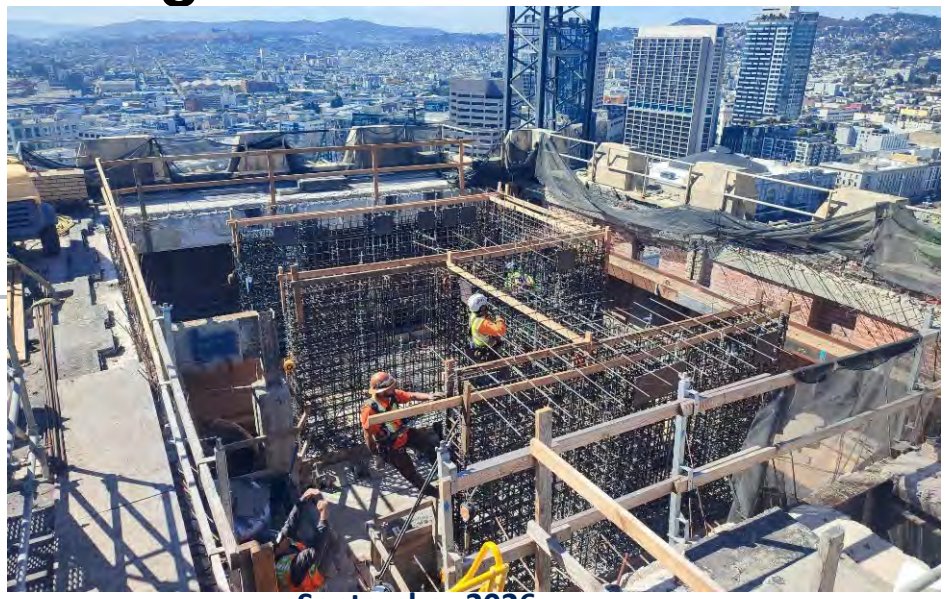
September 2026

Completion of the New Building Core

Construction Progress



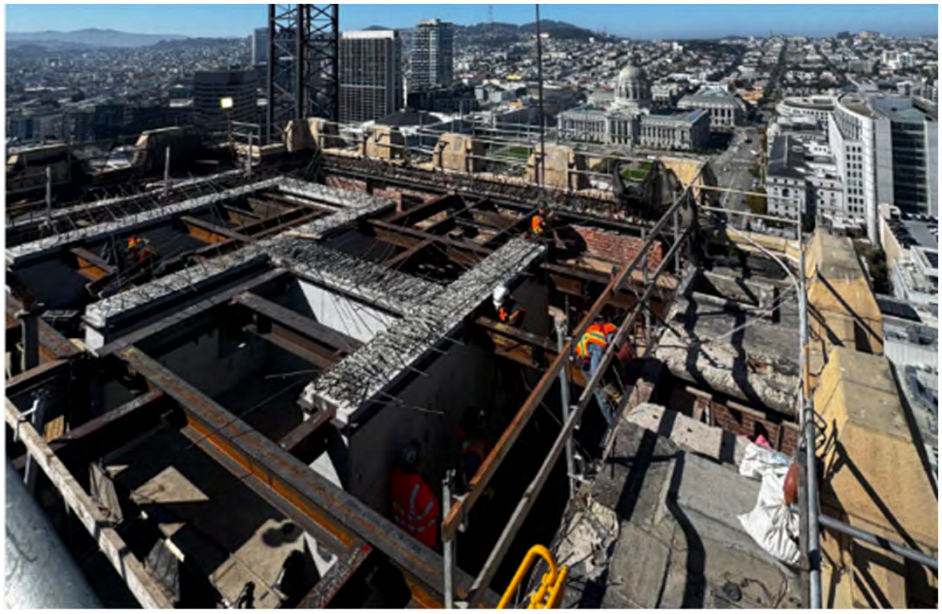
June 2026



September 2026



September 2026



October 2026

Completion of the New Building Core

Construction Progress









Topping Out of the Tower

Construction Progress





Existing Window Removal, Abatement and Brick Strengthening

Construction Progress

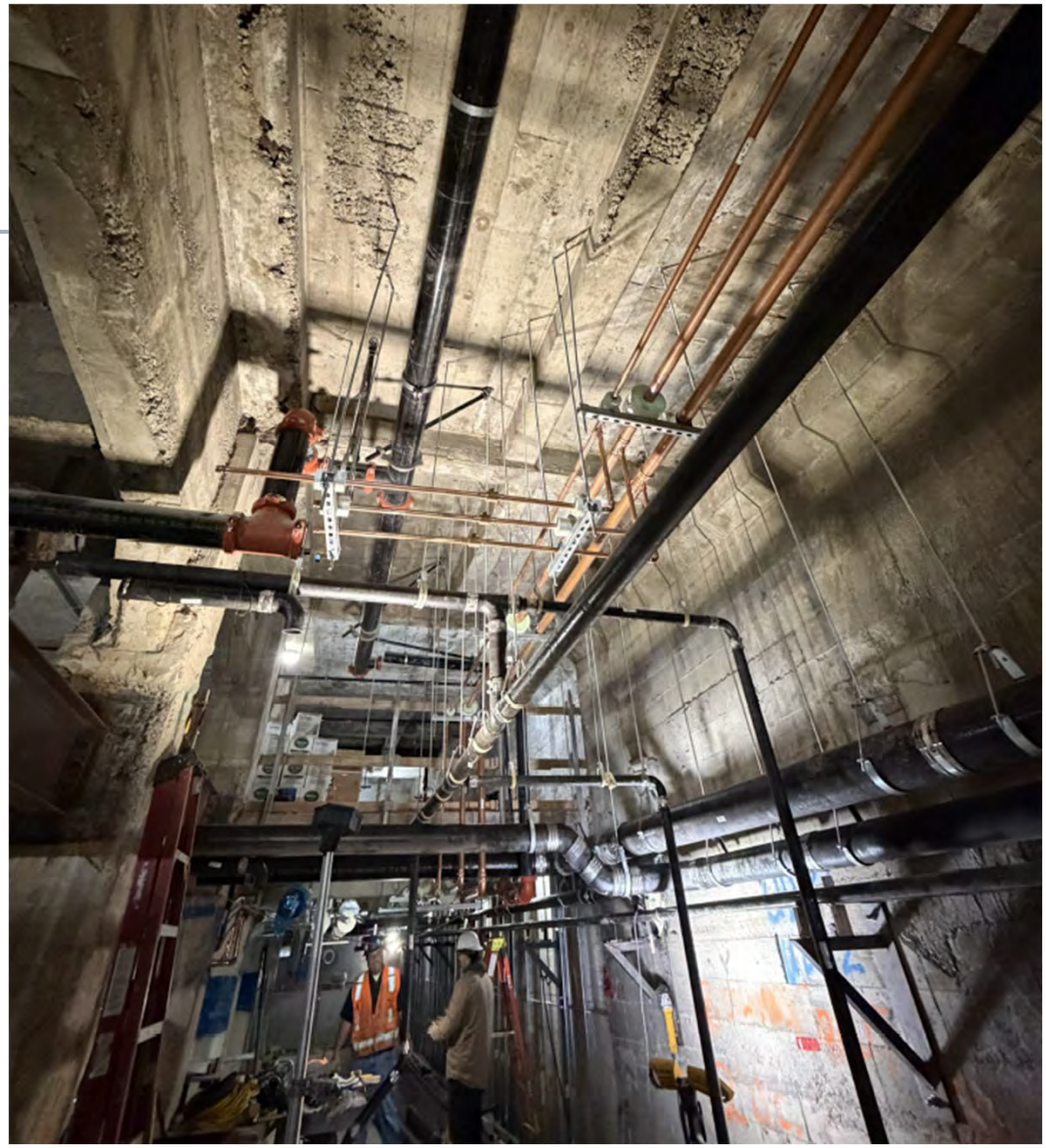




New Window Installation

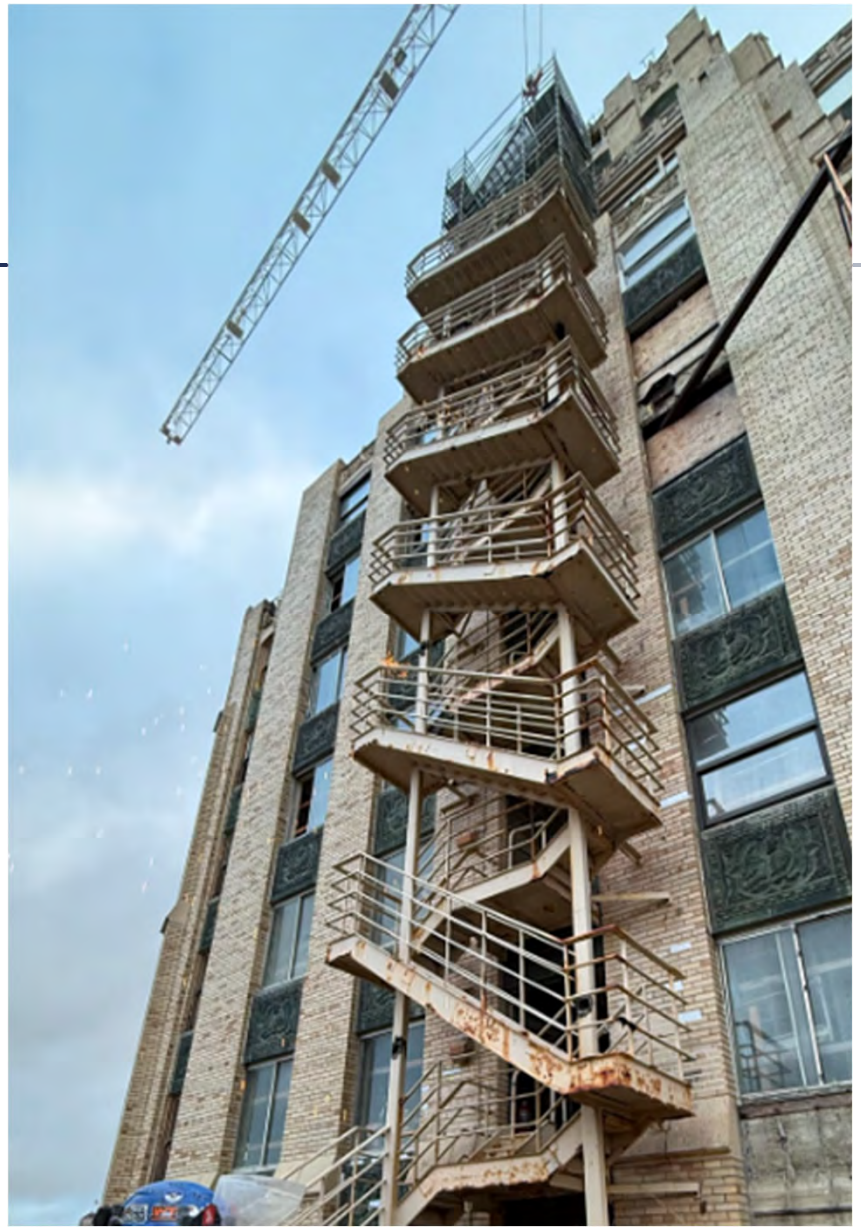
Construction Progress



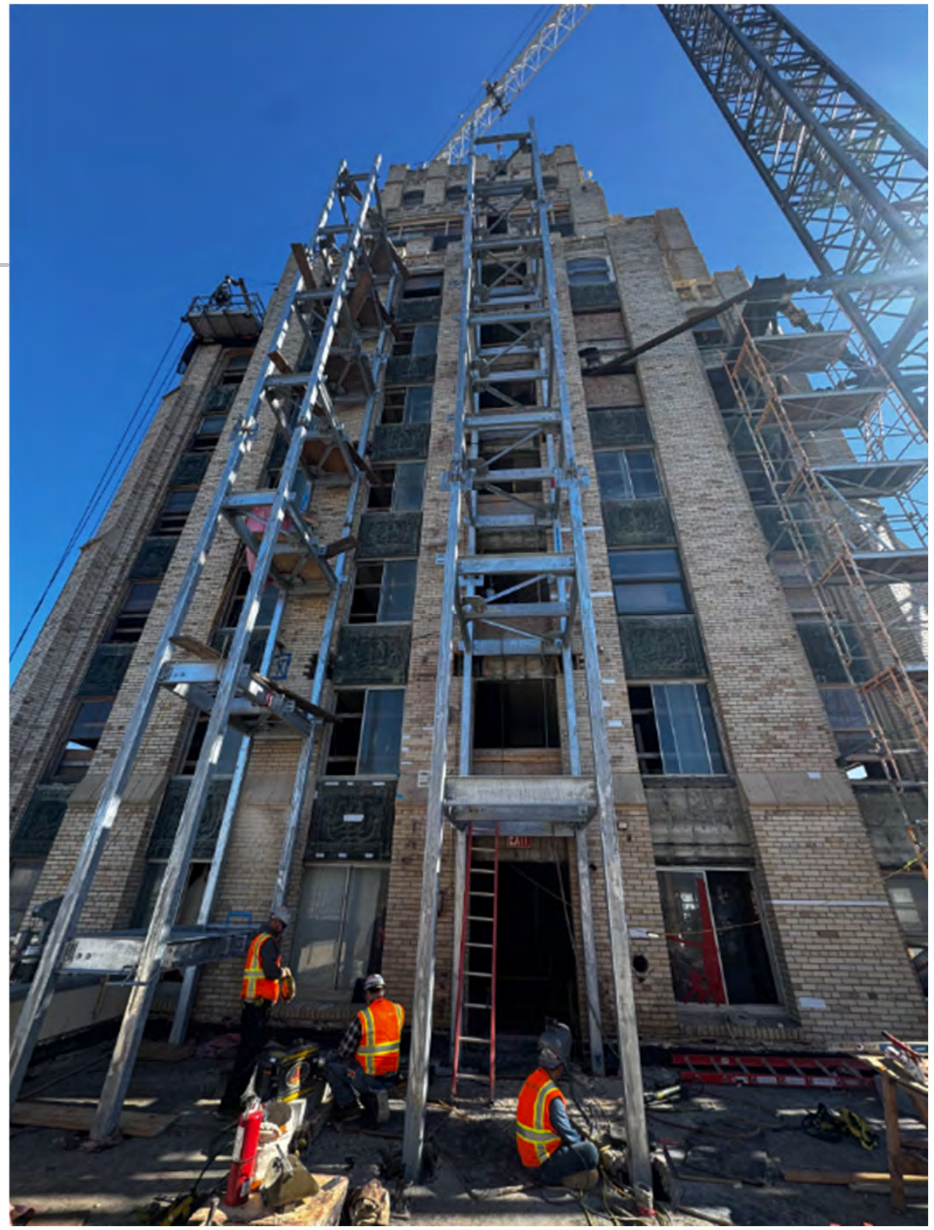


MEPF Installation and Drywall Framing

Construction Progress



Original Exterior Stair (demolished)



New Exterior Stair

Schedule Update

Look Ahead:

- **Tower Crane Dismantle: December 4, 2026**
- **Interior Finish Start: January 2027**
- **Seismic Upgrade Completion: February 2027**
- **PG&E Power: July 2027**
- **Elevator Operational: October 2027**
- **Phase 3 Substantial Completion: December 2027**



Thank You!

REPORT ITEM

1. REPORT BY: Chief Financial Officer David Seward
Director of Construction & Campus Planning Flora Li

2. SUBJECT: McAllister Tower Seismic Upgrade Project Update

3. REPORT:

The project is well underway with the seismic retrofit elements of the work nearing 85% completion, the new elevator core was done in September, and the topping off ceremony taking place on October 30, 2026, marked by the completion of the Level 28 structural deck. The new Level 28 mechanical penthouse, constructed using prefabricated GFRC panels designed to replicate the appearance of the original penthouse demolished to accommodate the new construction, was also completed in October. Ongoing structural activities include the installation of new structural shear walls, collector beams connecting to the new elevator core, and metal decking and structural steel framing.

Other major field activities include the installation of the exterior fire escape stair and the delivery and installation of rooftop equipment, which must be completed prior to the scheduled tower crane demobilization on December 4. Interior construction activities are also progressing and include drywall framing, MEPF rough-in, drywall installation, and window replacement.

With the authorization of the Phase 3 scope, which includes the residential units on Levels 13 through 23 and the recreation and fitness center, the project's substantial completion date has been extended to December 2027.

Attachment:

- McAllister Tower Seismic Upgrade Project Update

ACTION ITEM

1. REPORT BY: Deputy Chief Financial Officer Sandra Plenski
Assistant Dean of Technology/CIO Ben Huffman
Director of Events & Community Engagement Jared Augustus

2. SUBJECT: Policy Updates – Fiscal and Cybersecurity

3. RECOMMENDATION:

That the Finance Committee recommends that the Board of Directors approves the revision to the Financial Operations Policy Manual and the Information Technology policies as described below.

4. BACKGROUND

The Financial Operations Policy Manual (FOPM) provides the framework for the financial management of the College. It is regularly updated and revised to maintain its efficacy and to reflect modifications and improvements to business practices.

The FOPM currently consists of 19 sections, and approval is requested to add a 20th section entitled "Space Reservation Policy." With the addition of significant event and meeting spaces at the Cotchett Law Center, located at 333 Golden Gate Avenue, and Academe at 198, located at 198 McAllister Street, the College has experienced an increased demand for space from both internal and external users.

As the College's available facilities have expanded, so have the complexities associated with managing reservations, accommodating competing priorities, and ensuring the appropriate use of these spaces. A formal Space Reservation Policy is essential to establish clear procedures, define priorities for space utilization, and provide a consistent, transparent, and equitable process for allocating facilities. The policy will help ensure that academic and institutional needs remain a priority while also supporting College events, community engagement, and opportunities for revenue generation through external rentals.

The four cybersecurity policies being presented for approval address Network Security, Software Licensing, Information Security, and Acceptable Use of Information Resources. Collectively, these policies will establish a foundational framework for the College's Information Technology roadmap, strengthening cybersecurity practices, promoting consistent standards, and supporting the protection of institutional information and technology resources. All four policies were identified in Baker Tilly's October 2025 Cybersecurity Audit Report and represent important steps toward addressing the audit findings and strengthening the College's overall cybersecurity posture. These policies were written by T5 Solutions, reviewed by the Assistant Dean of Technology/CIO and Deputy CFO and Controller, and then presented to the Data Stewardship Committee for final review.

5. PROPOSED RESOLUTION:

Resolved, that the Finance Committee recommends that the Board of Directors approve the addition of Section 20, entitled Space Reservation Policy, to the Fiscal Operations Policy Manual, and the adoption of the four Information Technology policies as presented.

UC LAW SAN FRANCISCO

Space Reservation Policy

Office of the Chief Operating Officer • Special Events & Guest Services

Effective:September 1, 2026 • Supersedes all prior Space Reservation Policies

Contents

Section 1: Purpose & Scope

This Policy governs space reservations at the UC College of the Law, San Francisco ("UC Law San Francisco" or the "College") campus ("Campus"). All persons and groups, whether internal or external to the College, must comply with this Policy and with all other applicable College policies.

Every Event held on Campus must align with the College's mission and must preserve its governmental and tax-exempt status.

This Policy states the rules that govern access to Campus space. Appendix A sets out the procedures for requesting a reservation, and Appendix B lists the offices that support Events.

Section 2: Glossary of Defined Terms & Acronyms

The following terms and acronyms appear throughout this Policy.

Term / Acronym	Meaning
Administrative Unit	A College department, center, program, or other administrative unit.
AV	Audio/Visual.
Campus	The UC Law San Francisco campus, including 198 McAllister Street, 200 McAllister Street, 333 Golden Gate Avenue, and 100 McAllister Street.
CFO	Chief Financial Officer (CFO). The CFO stands in for the COO when the COO is unavailable.
CIO	Chief Information Officer.
COI	Certificate of Insurance. Written proof that a Licensee carries the liability coverage this Policy requires (see Section 9.16).
College Event	A Departmental, Faculty, or Student Organization Event, as defined in Section 6.1. Every College Event must satisfy the College Event Criteria in Section 5.2.
COO	Chief Operating Officer.
CourseDog	The College's academic scheduling and space reservation system, at app.coursedog.com. College Event Organizers have direct access using uclawsf.edu credentials. Third Parties and Academic Village Partners have no CourseDog access and submit all requests and changes by email to SEGS at events@uclawsf.edu.
Event	A meeting, program, or activity held at the College. Event is the umbrella term: every Event is either a College Event or a Non-College Event. See Section 6 for the classifications and Section 5.1 for exclusions.
Event Organizer	The person or unit responsible for an Event. See Section 3.
Event Space	A designated space specifically reserved and equipped for hosting Events. See Section 8.

Term / Acronym	Meaning
FLA	Facilities License Agreement. The College's standard contract for external use of Campus space (see Section 9.15).
Licensee	An external organization that has executed an FLA.
Major Event	An Event that meets one or more of the triggers in Section 9.8 and therefore requires coordination beyond the standard reservation process. An Event of any classification may be a Major Event.
Non-College Event	An Event that is not a College Event: an Academic Partner Class or Program (Section 6.2), a Third-Party Event (Section 6.3), or a co-hosted Event that SEGS classifies as a Third-Party Event (Section 6.4).
PADO	Provost & Academic Dean's Office.
SEGS	Special Events & Guest Services, the department that administers this Policy (events@uclawsf.edu).
Third Party	A person or organization distinct from the College.

Section 3: Event Organizers

An Event Organizer oversees the content, planning, management, logistics, and presentation of an Event, and must ensure that the Event complies with College policies. Only the following may serve as an Event Organizer:

1. A College department, center, program, or other administrative unit (collectively, an "Administrative Unit");
2. A faculty member;[1]
3. A College student organization registered with and approved by the Office of Student Services; or
4. A Third Party organizing an Event.

College Event Organizers — Administrative Units, faculty members, and registered student organizations — request and manage space directly in CourseDog. A Third Party has no CourseDog access: it submits every request, change, and cancellation by email to SEGS at events@uclawsf.edu, and must execute a Facilities License Agreement under Section 9.15 before the College confirms space.

[1]For purposes of this Policy, "faculty member" includes Ladder Faculty, Long-Term Contract Faculty, Lecturers, and full-time Visiting Professors. The Provost & Academic Dean may designate Adjunct Professors and Overload Visitors as faculty members eligible to host Faculty Events, by advance written permission.

Section 4: Fronting & False Sponsorship

4.1 Third Parties

Third Parties are persons or organizations distinct from the College. The provisions governing Third-Party Events apply to any Third Party using Campus space.

4.2 Prohibition

The College prohibits fronting and false sponsorship, and denies any request for space that constitutes either. Fronting or false sponsorship occurs when a College employee, including managerial staff and faculty members, Administrative Unit, student organization, or student falsely presents itself as a host, co-host, organizer, or sponsor of an Event in order to secure access, benefits, or rates intended for internal organizers, when the Event in fact primarily serves an external organization.

No College employee, Administrative Unit, student organization, or student may use an internal affiliation as a cover for an outside group's purposes. To receive internal rates or privileges, that employee, Administrative Unit, student organization, or student must hold a meaningful hosting or planning role in the Event. Some benefit to an affiliated external organization — as where a student organization is affiliated with a national body — does not by itself constitute fronting. No external organization may solicit, arrange, or accept such an arrangement.

Presenting an external organization's Event as an alumni, prospective-student, visiting-scholar, or academic-partner gathering to avoid the Facilities License Agreement or insurance requirements constitutes fronting under this Section. See Sections 4.3 and 9.18.

4.3 Consequences

For College employees, Administrative Units, student organizations, and students, the consequences are those described in Section 9.

For an external organization that engages in or benefits from fronting or false sponsorship, the College may take any or all of the following actions:

1. Deny the pending request for space.
2. Cancel a confirmed reservation and terminate any executed Facilities License Agreement, effective immediately, without refund and without liability to the College.
3. Invoice the organization at the full applicable rate for any space or services already used, regardless of the rate originally quoted.
4. Bar the organization from future use of Campus facilities. A bar may be permanent, in the College's sole discretion, and the College may extend it to affiliated entities, successor organizations, and the individuals who arranged the fronting.

The Chief Operating Officer decides whether to impose any of these consequences, in consultation with the Office of General Counsel. These remedies are cumulative and do not limit any other remedy available to the College at law or under an executed agreement.

Section 5: Events & College Event Criteria

5.1 Definition of Event

An Event is a meeting, program, or activity held at UC Law San Francisco. Events do not include College classes, College exams, or meetings that employees or student organizations hold in their own designated office spaces. Every Event is either a College Event — a Departmental, Faculty, or Student Organization Event under Section 6.1 — or a Non-College Event. Non-College Events comprise Academic Partner Classes and Programs (Section 6.2), Third-Party Events (Section 6.3), and co-hosted or co-sponsored Events that SEGS classifies as Third-Party Events (Section 6.4).

5.2 College Event Criteria

The following criteria apply to every College Event — Departmental, Faculty, and Student Organization Events alike — and the College evaluates each request against them. Section 6 states the additional requirements that attach to each classification. These criteria apply only to College Events. Non-College Events are governed by Sections 6.2 and 6.3, the rate provisions of Section 7, and the Facilities License Agreement and insurance requirements of Sections 9.15 and 9.16.

Criterion	Requirement
Mission alignment	The College Event advances the academic, scholarly, professional, or public-service mission of the College or of one of its centers or programs.
Community benefit	The College Event primarily benefits College faculty, students, or staff; serves practitioner or professional communities within the organizer's area of focus; or convenes external scholars, researchers, or practitioners in furtherance of the College's academic or institutional goals.
Substantive control	The organizing faculty member, Administrative Unit, or student organization initiates and hosts the College Event, exercises substantive control over its planning, content, and execution, and participates actively in it.
Classification by organizer	The College classifies a College Event by the nature of the organizing body and its substantive control over the College Event — not by the intended audience or primary beneficiary. A College Event may serve the College community, external communities, or both.
Location	The College Event takes place outside the organizer's own designated office or departmental conference room.

Section 6: Event Classifications

The classification of an Event determines its reservation priority under Section 10, its rate treatment under Section 7, and, for College Event Organizers, the CourseDog form used to request space. Section 6.1 covers College Events; Sections 6.2 and 6.3 cover Non-College Events; Section 6.4 addresses co-hosted and co-sponsored Events. Classification is separate from the Major Event designation in Section 9.8, which turns on an Event's operational and safety demands and may attach to an Event of any classification.

6.1 College Events

A College Event is an Event that a faculty member, an Administrative Unit, or a registered student organization initiates, hosts, and substantively controls. The three classifications below are College Events, and each must satisfy the College Event Criteria in Section 5.2.

6.1.1 Departmental Events

An Administrative Unit organizes a Departmental Event, which takes place outside that unit's designated departmental or office conference rooms. Departmental Events include, without limitation, on-campus interviews that the Career Development Office coordinates and programming that the Office of Student Services organizes.

6.1.2 Faculty Events

A faculty member or faculty-led Administrative Unit initiates, hosts/co-hosts, and significantly manages and directs a Faculty Event. Faculty Events include, without limitation, special guest lecture series, colloquia, panel discussions, workshops, symposia, and comparable academic engagements.

The College Event Criteria in Section 5.2 govern whether an activity qualifies as a Faculty Event.

6.1.3 Student Organization Events

An officially recognized College student organization or journal initiates, hosts, and materially manages and directs a Student Organization Event, which takes place outside the group's designated office space. Student Organization Events include, without limitation, membership meetings, guest speaker sessions, panel discussions, social gatherings, community outreach programs, and public service activities that the student group organizes and leads.

6.2 Academic Partner Classes & Programs

An external institution offers an Academic Partner Class or Program under a formal Academic Partner agreement with the College. The contractual agreement between the College and the Academic Partner must explicitly identify and outline the specific classes or programs for this classification to apply. Academic Partners (i.e., UC Davis, UCSF, and SFSU) offer classes or programs on the College campus under a current Academic Partner agreement. An academic institution that holds no such agreement with the College is not an Academic Partner, and its use of College space is a Third-Party Event under Section 6.3.

6.3 Third-Party Events

An entity that holds no official affiliation with the College plans, hosts, and organizes a Third-Party Event. Third-Party Events include conferences, receptions, meetings, and similar activities that external organizations or individuals conduct. The hallmarks of a Third-Party Event include, without limitation:

1. An outside individual or organization conceives, organizes, or controls the Event.
2. The Event primarily serves that outside entity's purposes — revenue, promotion, training, and the like — even if College students or employees attend.

3. The Event operates independently of the College's governance or sponsorship.
4. The Event charges fees that the organizer does not remit to, or significantly share with, the College. The College treats such an Event as a Third-Party Event unless the Provost & Academic Dean or the COO grants an exception.[2]

6.4 Co-Hosted & Co-Sponsored Events

Third Parties sometimes partner with College faculty, Administrative Units, or student organizations to co-host Events. Whether a co-hosted or co-sponsored Event constitutes a College Event or a Third-Party Event depends on the factors listed above.

The Event Organizer must seek this determination early in the planning process. SEGS makes the determination in the first instance. If an Event Organizer disputes the determination, the matter proceeds to the COO and the Division Head who oversees the employee, Administrative Unit, or student organization raising the dispute. The CFO stands in when the COO is unavailable. If the COO (or the CFO, if standing in) and the Division Head cannot agree, the Chancellor & Dean resolves the question. Section 11 summarizes this escalation path.

Section 7: Reduced Rate Events

A Reduced Rate Event is any Event in which an external organization seeks access to College facilities or services at a reduced rate or at no cost. Reduced Rate Events include Third-Party Events that the College co-hosts or co-sponsors, as well as College Events whose primary or substantial beneficiary is an external organization or the general public rather than the College community.

A Reduced Rate Event describes a category of request, not an entitlement to a reduction. As a general rule, the College does not reduce rental rates for any Event whose primary or substantial beneficiary is an external organization, regardless of whether a College faculty member, Administrative Unit, or student organization co-hosts, co-sponsors, or solely organizes it. The College may grant a reduction in its sole discretion, but only if it determines that the following factors weigh in favor of doing so:

1. A faculty member, Administrative Unit, or officially recognized student organization organizes or co-organizes the Event, or the College otherwise initiates and manages it.
2. The Event supports the overall mission of the College and aligns with the mission and strategic goals of the sponsoring employee, Administrative Unit, or student organization.
3. Where the Event forms part of an Administrative Unit's core and regular programming, that unit requests and obtains similar rate reductions only sparingly and rarely.
4. The external organization or primary beneficiary is a government entity, a nonprofit, or an organization with which the College holds a recognized academic, professional, or public service relationship.
5. The Event charges no fees, or the anticipated revenues from fees are insufficient to cover regular rental rates.
6. The Event Organizer consults with and obtains approval from the Provost & Academic Dean and the COO, including approval of proposed expenditures. The CFO stands in when the COO

[2]The College holds any proceeds it receives from Event fees for its sole benefit, unless the Provost & Academic Dean, the Chancellor & Dean, or the Chief Operating Officer approves otherwise.

is unavailable. If the COO (or the CFO, if standing in) and the Provost & Academic Dean disagree on whether to apply a discount, the Chancellor & Dean resolves the question.

Section 8: Event Spaces

8.1 Definition of Event Space

An Event Space is a designated physical area that the College specifically reserves and equips for hosting Events. These spaces accommodate activities such as conferences, receptions, and other significant gatherings that require specialized facilities or amenities, including audiovisual equipment, seating arrangements, and accessibility features. Event Spaces vary in size and type, and include auditoriums, banquet halls, and multipurpose rooms.

While 100 McAllister ("the Tower") remains under construction, the College may use the Event Spaces listed below for academic classes. Academic classes supersede any other Event scheduled in these spaces.

8.2 Designated Event Spaces

Event Space	Building	Notes
Faigman Auditorium	198 McAllister	Green Room available (Section 9.22)
Alumni Reception Center	200 McAllister	—
Dining Commons[3]	200 McAllister	Law Cafe restrictions apply
Dobbs Annex	200 McAllister	—
Dobbs Atrium	200 McAllister	—
Deb Colloquium Room	333 Golden Gate	—
Sky Deck	333 Golden Gate	—
Quad	333 Golden Gate	Amplified music restricted (Section 9.24)
LL01-02 Combined	333 Golden Gate	Available when not in use as a classroom

[3]When Law Cafe is open, all tables and chairs in the front half of the Dining Commons — the area before the dividing wall — must remain in their designated locations, and no one may remove or rearrange them. This area is reserved exclusively for the College community and their guests to eat and gather. Only the furniture in the back half of the Dining Commons, behind the dividing wall, may be modified or rearranged during Law Cafe operating hours. When Law Cafe is closed, any rearrangement of the Dining Commons requires prior approval.

8.3 7th Floor Communal Area (The Commons at 198 McAllister)

The College intends the 7th Floor Communal Area as an open, shared community space and generally does not make it reservable. The College permits reserved or exclusive use only in extraordinary circumstances, when no other suitable Campus space is available, and only with advance approval per Section 8.3.1.

8.3.1 Approval process

Submit requests to SEGS at events@uclawsf.edu at least two (2) weeks in advance, with detailed Event information. SEGS reviews the request to determine whether another Campus space is available or suitable. If no alternative space can accommodate the Event, or if the request qualifies as an extraordinary use, SEGS forwards the request to the COO for approval. Once the COO approves, SEGS reserves the space in CourseDog and coordinates available resources.

8.3.2 Access & security

The College permits Events in this space only between 10:00 a.m. and 10:00 p.m. The 7th floor requires Campus badge access. Events with external guests require coordination with the Director of Safety & Security, and a security officer must remain stationed at the elevator throughout the Event. Event Organizers must maintain controlled access, ensure separation from residential areas, and manage noise levels.

8.3.3 Furniture & equipment

No one may remove furniture from this space. The Director of Facilities Operations and the Custodial Manager must approve any rearrangement in advance, and will permit only limited rearrangement. Available equipment includes a portable bar, a portable refrigerator, catering tables, a podium with built-in speaker and microphone, and a rolling TV.

8.4 Building Lobbies

Building lobbies serve as circulation areas only. No one may reserve or use a lobby for receptions, parties, gatherings, or other Events. Casual, brief use — such as waiting for or greeting visitors — remains permitted so long as it does not block access or disrupt building operations.

8.5 Appropriate Spaces for Instruction & Routine Department Business

Event Spaces are equipped and staffed for Events, and the College holds them for that purpose. Hold routine activities — department meetings, lectures, trainings, makeup classes, and the like — in a classroom or conference room sized for the expected number of attendees rather than in an Event Space. If no classroom or conference room can accommodate the activity, you may submit a request for an Event Space, explaining why no other space is suitable.

Section 9: Facility Rules & Requirements

Noncompliance with these rules carries consequences. The College may refer students and College employees to the appropriate office for discipline, including an individual student associated with a student organization that does not comply. For student organizations, the College may suspend or revoke the privilege to host and request future Events; loss of College Event Organizer privileges

results in loss of access to CourseDog Reservations. Section 4.3 states the consequences that apply to external organizations, and Section 9.25 describes how the College records confirmed breaches.

9.1 Reserving & Holding Space

Request and reserve only the spaces essential to your Event. You may not reserve backup spaces or rooms you are unsure about. You may reserve space no earlier than one year before your Event date; to reserve space further in advance, contact events@uclawsf.edu for prior approval.

1. If it becomes clear that you need fewer rooms, or that a smaller room would suffice, promptly release the extra rooms or relocate to a more appropriately sized space if one is available.
2. If it appears that you have reserved more or larger rooms than necessary, SEGS will contact you to discuss your needs. Under the direction of the COO, SEGS may cancel or adjust excessive or oversized room bookings.
3. Reservation timing: your reservation must reflect the exact times you need the space, including setup and teardown. If it becomes apparent that you need the space for less time than you originally requested, update the reservation time as soon as possible — College Event Organizers in CourseDog, and Third Parties and Academic Village Partners by email to SEGS at events@uclawsf.edu.
4. Release any unnecessary spaces, or reduce Event durations, at least thirty (30) days before the Event so that other community members can access these resources.
5. If you cancel an Event tied to a space reservation, notify SEGS immediately at events@uclawsf.edu so that the College can cancel resources and room setups accordingly.

9.2 No Transfer or Sub-Licensing of Reservations

A reservation is specific to the Event Organizer or Licensee that obtained it and confers no transferable interest in Campus space. No Event Organizer or Licensee may:

1. Assign, transfer, sublicense, sublease, or otherwise convey a reservation, in whole or in part, to any other person or organization;
2. Permit another group to use reserved space, whether or not for consideration and whether or not the original Event Organizer remains present; or
3. Sell, resell, trade, or broker reserved space or reservation time.

The College treats any attempt to transfer a reservation as a violation of this Policy and as evidence of fronting under Section 4, and may cancel the reservation immediately. No approval is available for a transfer; a group that wishes to use Campus space must request its own reservation.

Engaging vendors to support your own Event — caterers, AV providers, security providers, and similar service providers — does not constitute a transfer, provided that the Event Organizer remains responsible for the Event and that each vendor satisfies the insurance requirements in Section 9.16.

9.3 Room Reservation Scheduling Limitation Periods

The College enforces the limitation periods described below to ensure appropriate planning and resource allocation. You may schedule Events only during designated timeframes, and the College may decline requests that fall outside these periods. The exact limitation periods vary with the nature

of the Event and the type of room; typically, the College restricts scheduling during peak academic times, College holidays, and institutional blackout dates.

First two weeks of fall instruction

During the first two weeks of instruction in the fall semester, College Events geared toward or likely to attract 1L students require approval from the Dean of Students before the request is submitted. To request an exception, contact Student Services at studentservices@uclawsf.edu. The College considers exceptions case by case, for Events that specifically require scheduling during the first two weeks of school. This requirement applies to College Events; SEGS may schedule Third-Party Events during this period where space allows.

Reading & finals period

The College discourages Events during the exam reading and finals period. It may allow a limited number of Events that are small and quiet and that do not occupy spaces students commonly use to study. The following approvals apply:

- Events in non-Event Spaces: the Provost & Academic Dean must approve.
- Events in Event Spaces: the Provost & Academic Dean or the COO must approve.
- Student Events: the Dean of Students must also approve.
- Third-Party Events: the COO or the Provost & Academic Dean must approve.

Event Organizers may complete space reservations during the finals period only after the Registrar's Office updates the final exam schedule in CourseDog. Once the Registrar posts the schedule, the College lifts the blackout on room reservations for all classrooms, conference rooms, and Event Spaces, except:

- The 6th floor of 200 McAllister, which remains reserved exclusively for DRP finals; and
- The Quad between the 333 Golden Gate and 200 McAllister buildings.

Estimated finals schedule release dates: Fall — October; Spring — March; Summer — June.

9.4 Holidays & Administrative Days

No IT or administrative staff support Events during holidays and administrative days. For a complete list, consult the UC Law San Francisco Academic Calendar.

9.5 Events Outside Designated Building Hours

The College maintains designated building hours to ensure the safety, security, and efficient operation of Campus facilities. Any Event that requires building access beyond those hours must comply with the following requirements.

Advance notice

Submit requests to hold Events outside designated building hours at least fourteen (14) calendar days in advance. This notice allows sufficient time to coordinate required safety and security staffing.

Submission process

Email all requests to extend building access to both the Director of Safety & Security, Noah Skinner (skinnernoah@uclawsf.edu), and SEGS (events@uclawsf.edu). Include the Event date, start and end times, location, and contact information for the Event Organizer.

Additional staffing & fees

Extending building hours may require additional security, custodial, and engineering personnel, and may incur extra hourly charges. The College communicates any such charges to the Event Organizer upon approving the request. Event Organizers must cover these fees.

Final approval

Building hour extensions depend on staffing availability and operational considerations. The College does not guarantee approval, and SEGS notifies Event Organizers promptly if it cannot accommodate a request.

Building hours

Building hours are subject to change. Consult the official schedule regularly at uclawsf.edu/building-hours.

9.6 Event Modification or Cancellation by the College

The College reserves the right to change, cancel, or eliminate Events for any reason, including unforeseen or extraordinary circumstances, requirements imposed by Public Health or other local, state, or federal directives, or noncompliance with College policies. If the College must relocate an Event because of unforeseen issues, SEGS notifies the Event Organizers of departmental and third-party reservations, and the Provost & Academic Dean's Office (PADO) relocates academic classes and faculty reservations and notifies affected faculty and students.

9.7 Prohibited Events

The College encourages and supports the free exchange of ideas and political viewpoints. However, as a public and nonprofit institution of higher education, the College prohibits the use of its facilities and resources for Events that give the appearance that the College supports one political candidate, party, referendum, or political action committee.

The College hosts nonpartisan political activities — such as properly organized voter registration activities, voter education programs, and candidate debates — that do not evidence a preference for or opposition to a political party or to candidates who have taken a particular position, and that provide an equal forum for opposing parties and candidates.

9.8 Major & High-Impact Events

Some Events require coordination beyond the standard reservation process. The College designates these as Major Events. A visit by a head of state or an official delegation, for example, requires pre-approvals and security planning and draws on additional College resources across multiple departments.

Triggers

An Event is a Major Event if any of the following triggers applies. Event Organizers must identify applicable triggers when submitting the reservation request.

Trigger	Threshold
Total attendance	Expected attendance of 200 or more.

Trigger	Threshold
External attendance	More than 100 external attendees, or external attendees making up a majority of expected attendance.
Dignitaries & officials	A head of state or official foreign delegation; a sitting federal or state appellate judge; a federal or statewide elected official; or any guest traveling with a government or private protective detail.
Anticipated controversy	A speaker, topic, or organization that has drawn protest, disruption, or significant public controversy at this or another institution, or that the Event Organizer reasonably anticipates will do so.
Protest or counter-activity	Credible notice of a planned protest, demonstration, picket, or counter-event, whether on Campus or immediately adjacent to it.
Media	Anticipated press attendance, live broadcast, or public streaming of the Event.
Access control	Ticketing, credentialing, bag checks, magnetometers, or any restricted-access requirement.
Campus footprint	Simultaneous use of three or more spaces, or of spaces in more than one building.
Timing	Any portion of the Event falls outside designated building hours, or on a College holiday or administrative day.
Alcohol at scale	Service of alcohol at an Event with more than 100 attendees.
Outdoor amplification	Amplified sound in the Quad, on the Sky Deck, or in any other outdoor space.

Notice

Notify Special Events & Guest Services as soon as a trigger applies, and no later than thirty (30) calendar days before the Event date. If a trigger emerges inside that window — for example, credible notice of a planned protest — notify SEGS and the Director of Safety & Security immediately. Do not wait for the reservation review cycle.

Coordination

SEGS convenes a planning group scaled to the applicable triggers. Depending on the Event, that group may include Safety & Security, Facilities, IT and AV, Communications, the Provost & Academic Dean's Office, the Dean of Students, Purchasing, and the Office of General Counsel. These Events often require early planning across multiple units on security arrangements, venue impact analysis, communications, attendee access and protocols, and other complex logistics, including coordination with federal, state, and local law enforcement agencies.

Following that review, the College may require any of the following, at the Event Organizer's expense: additional security officers or a professional crowd-management detail; controlled entry and credentialing; relocation to a space better suited to the expected attendance or security profile; adjusted Event timing; or additional custodial and engineering coverage. The College has an

institutional interest in making these Events successful without unduly affecting daily College activities or other Campus priorities and operations.

Content neutrality

A Major Event designation reflects the operational and safety demands of an Event, not a judgment about its content or viewpoint. The College applies these triggers and any resulting security requirements without regard to the viewpoint of any speaker, organizer, or attendee, consistent with Section 9.7.

If you are unsure

If you are unsure whether a trigger applies to your Event, contact SEGS at events@uclawsf.edu before submitting your request. Raising the question early costs nothing; discovering a trigger late may cost you the date.

9.9 Event Resources & Room Setup Requests

Submit all room setup and resource requests at least five (5) business days before your Event — College Event Organizers through CourseDog, and Third Parties and Academic Village Partners by email to SEGS at events@uclawsf.edu. Facilities staff strive to meet every request, but the College cannot guarantee that it will grant all of them. For setup-related inquiries, contact the Facilities Department at facilities@uclawsf.edu and SEGS at events@uclawsf.edu.

1. Use Event resources only in designated Event Spaces. To use resources in a non-Event Space, obtain prior approval from the Director of Events & Marketing, the Director of Facilities, and/or the COO or CIO, depending on whether the request concerns facilities or IT resources.
2. Engineers must start and extinguish all fire tables and heat lamps. Request activation of these resources in accordance with the notice requirements above. Engineers exercise discretion in determining whether wind and environmental conditions make their use appropriate. These resources are available during the engineers' regular hours (Monday–Friday, 7:00 a.m.–10:00 p.m.; Saturday–Sunday, 7:00 a.m.–3:00 p.m.).
3. Submit modifications to an Event at least five (5) business days before the scheduled date.
4. If you request a modification to a previously requested setup within the five-day notice period, email the request to the Director of Facilities, the Director of Events & Marketing, and the COO or CIO for approval.
5. Late resource requests: to submit a request with less than five (5) business days' notice, email the Director of Facilities Operations, the Director of Events & Marketing, and the COO for approval of any late resource preparations. Do not contact custodial staff directly about late resource requests. Route all inquiries and requests for exceptions through the directors named above.

9.10 Room Setup & Teardown Time

Each Event Space requires sufficient time for setup and teardown so that custodial and support staff can configure the room to Event requirements and restore it afterward. Plan your Event schedule to include the recommended times below, which vary by location and specific setup needs.

Event Space	Setup Before	Teardown After

Event Space	Setup Before	Teardown After
Faigman Auditorium (198 McAllister)	3 hours	3 hours
Alumni Reception Center	2 hours	2 hours
Dining Commons	2 hours	2 hours
Dobbs Annex	2 hours	2 hours
Dobbs Atrium	2 hours	2 hours
Deb Colloquium Room	2 hours	2 hours
Sky Deck	2 hours	2 hours
Quad	2 hours	2 hours
LL01-02 Combined	3 hours	3 hours

9.11 Room Cleanup

After your Event concludes, return the room to its original condition and dispose of all waste in the designated receptacles. If the receptacles cannot accommodate all Event waste, notify College security before leaving. Report any damage to the room, furniture, or equipment to security immediately so that the College can resolve it promptly.

9.12 Audio/Visual (AV)

Submit AV support requests at least five (5) business days in advance. This notice gives the AV team adequate time to prepare and to confirm staffing for your Event. The College may not be able to provide AV services outside normal business hours — Monday through Friday, 8:30 a.m. to 5:00 p.m. — and such requests may incur additional fees. Plan accordingly to avoid scheduling conflicts and extra charges. AV support is an Event Resource: submit AV requests together with your other resource requests under Section 9.9, on the same five-business-day timeline.

9.13 Accessibility & Disability Accommodations

The College's Event Spaces include accessibility features, but physically accessible space alone does not satisfy the Event Organizer's obligations. Every Event held on Campus must be accessible to attendees with disabilities.

Organizer responsibility

The Event Organizer is responsible for arranging and funding disability accommodations for its Event, including ASL interpreters, CART or live captioning, assistive listening devices, materials in alternative formats, and accessible transportation or parking arrangements. Accommodation costs are an Event expense borne by the Event Organizer's budget, or by the Licensee under its Facilities License Agreement — not by the College's central budget, unless the Chief Operating Officer or the Provost & Academic Dean approves otherwise in advance. Accommodation costs also cannot be charged to a person receiving the accommodation.

Required notice on Event materials

Include an accommodation notice on all Event publicity, registration materials, and calendar entries. Use language substantially as follows:

> *"To request a disability accommodation for this event, contact [name] at [email] by [date]. Please allow at least ten (10) business days so that we can arrange your accommodation."*

Timing

Submit accommodation requests to vendors — interpreters, captioners, and similar providers — at least ten (10) business days before the Event. The Event Organizer and the College will make good-faith efforts to fulfill later requests, but qualified providers are often unavailable on short notice, so build the lead time into your publicity dates.

Physical setup

Event Organizers must maintain accessible routes to and within the Event Space. When submitting a setup request under Section 9.9, plan for the following, and do not block accessible routes, doorways, ramps, or elevator access with furniture, signage, catering stations, or equipment.

- At least 36 inches of clear aisle width throughout the Event Space.
- Reserved accessible seating, with adjacent companion seating.
- An accessible route to any stage, podium, head table, or registration table.
- At least one table or service surface at a height that accommodates wheelchair users at catering, registration, and exhibit stations.

Support

SEGS can identify approved accommodation vendors and advise on room configuration. Coordinate accommodations involving College students with the Disability Resource Program, and accommodations involving College employees with Human Resources.

9.14 Event Security

If you have any concerns about security for your Event, notify the Director of Safety & Security after making your reservation. The College's safety and security team, under the direction of the COO, assesses whether the Event requires additional security. If the College determines that additional security is necessary, or if you request it, the hosting individual or organization may incur a fee covering those added costs. If you plan to bring in outside security, ensure that the College's safety and security team coordinates with that provider in advance of the Event.

9.15 Facilities License Agreement (FLA)

For Events that a College Event Organizer does not solely host, external organizations must enter into the College's standard Facilities License Agreement (FLA) and furnish proof of insurance that meets the College's requirements. The College must receive and approve proof of insurance before it confirms an Event.

External (non-UC) organizations must execute the College's standard FLA to use Event or meeting space, including when the College provides space at a discounted rate or at no cost. The FLA sets forth the terms of use, responsibilities, and conditions of access consistent with College policies. To initiate an FLA, contact SEGS at events@uclawsf.edu for drafting support. The hosting organization

and the College's CFO must execute the final agreement via DocuSign before the College confirms any space. After full execution, submit the fully signed agreement to the Purchasing Department for record retention and invoice issuance, even when the amount due is zero.

9.16 Liability Insurance, COI & Indemnification

As part of the licensing process, Licensees must provide a valid Certificate of Insurance (COI) verifying that they maintain Comprehensive General Liability coverage of at least $1,000,000 throughout their use of the facilities. The policy must name UC Law San Francisco, its officers and employees, and the State of California as additional insured parties; provide at least thirty (30) days' advance notice of termination or policy changes; and issue from carriers rated A-10 or better by Best's and admitted by the California Insurance Commissioner.

Licensees agree to indemnify and hold harmless the College and its employees, officers, directors, representatives, and agents against all claims, damages, legal proceedings, losses, and costs (including attorneys' fees) arising from injury, death, or property damage that the Licensee or its affiliates cause, except to the extent that the willful misconduct or negligence of the College causes them. This coverage is primary and noncontributory with respect to the College's own insurance.

Any third-party vendor that a Licensee hires must also carry appropriate insurance coverage and must provide a Certificate of Insurance to the College upon request.

Self-insurance and alternative evidence of coverage

Many public entities do not carry commercial liability policies and cannot issue a standard Certificate of Insurance. The College accepts the following in place of a commercial COI, subject to review and acceptance by the CFO.

Type of Organization	Acceptable Evidence of Coverage
Another University of California campus, or a UC department, center, or auxiliary	Coverage under the UC systemwide self-insurance and risk financing programs. A memorandum of understanding, campus agreement, or Academic Partner agreement referencing that coverage is sufficient; no separate COI or additional-insured endorsement is required.
A California State University campus, or another public college or university	A letter of self-insurance on institutional letterhead, signed by the institution's risk manager or equivalent officer, confirming coverage at limits at least equal to those required above.
A federal, state, county, or municipal agency, including courts and public authorities	A letter of self-insurance or a certificate of coverage from the agency's risk management or self-insurance authority. Statutory immunities and self-insured retentions may substitute for commercial coverage where the agency cannot name additional insureds.
A private nonprofit or commercial organization	A commercial Certificate of Insurance meeting the requirements above.

A self-insurance letter must state the type of coverage, the applicable limits, the period of coverage, and that the coverage extends to the organization's use of College facilities and to the acts of its employees and agents.

Where law or institutional policy prevents a public entity from naming additional insureds or from providing thirty (30) days' notice of cancellation, the CFO may waive those specific requirements in writing. No waiver relieves the organization of the indemnification obligations in this Section.

Academic Partners

An Academic Partner operating under a current participation agreement satisfies this Section through the insurance provisions of that agreement, and need not furnish a separate COI or self-insurance letter for each Event falling within the agreement's scope. Events outside that scope are governed by the requirements above.

9.17 Events Hosted Exclusively by the College Community

An Event that College community members — students, staff, or faculty — host and manage exclusively requires neither a Facilities License Agreement nor a Certificate of Insurance.

9.18 Guest Categories & Insurance Treatment

The classification of an Event depends on who organizes it, not on who attends (Section 5.2). Attendance by a non-College guest does not, by itself, make an Event a Third-Party Event or trigger an insurance requirement. This Section applies that principle to the categories that arise most often.

Category	Status	FLA & Insurance Treatment
Current students, faculty, and staff	College community	None.
Emeriti faculty and College retirees	College community	None.
Alumni attending as individuals	Guests of the College	None, where a College Event Organizer hosts the Event. Individual alumni are not Third Parties.
An alumni association, class committee, or alumni chapter hosting its own Event	External organization	FLA and evidence of coverage required, unless a College Administrative Unit is the actual Event Organizer under Section 3.
Prospective students and their families at admitted-student events, tours, and open houses	Guests of the College	None. Admissions or another Administrative Unit is the Event Organizer.
Visiting scholars, researchers, and fellows holding a College appointment or formal invitation	College community for the duration of the appointment	None for Events hosted by a faculty member or Administrative Unit. The visitor's home institution is not a Licensee.
A visiting scholar's home	External organization	FLA and evidence of coverage required.

Category	Status	FLA & Insurance Treatment
institution hosting its own Event on Campus		
Academic Partners under a current participation agreement	Contract partner	Governed by the participation agreement; no per-Event COI within its scope (Section 9.16).
Members of the public attending an open College Event	Guests of the College	None.
Vendors, caterers, contractors, and service providers	Third-party vendors	Own coverage and COI required under Section 9.16, regardless of who hosts the Event.
Any organization that conceives, controls, or primarily benefits from the Event	Third Party	FLA and evidence of coverage required (Sections 6.3, 9.15, and 9.16).

Where a category is unclear, request a determination from Special Events & Guest Services early in planning, under Section 6. Falsely characterizing an external organization's Event as an alumni, prospective-student, or visiting-scholar gathering in order to avoid the Facilities License Agreement or insurance requirements constitutes fronting under Section 4.

9.19 Outside Attendees & Registration Tables

For Events with more than thirty (30) external attendees or guests, the College requires a registration table in the lobby through which attendees will enter, to facilitate check-in, unless SEGS grants an exception. At least one representative of the Event Organizer must remain at the registration desk until all guests have arrived. For Events spanning multiple College buildings, Event Organizers must issue outside guests a clearly visible Event name tag or badge; lobby security uses this identification to recognize guests, which eliminates the need for guests to check in at each additional building.

9.20 Catering

The Purchasing Department maintains a list of approved caterers and provides it on request. To use a caterer not on the approved list, that caterer must first complete pre-qualification through the Purchasing Department — contact purchasing@uclawsf.edu to initiate the process. Pre-qualification confirms that a vendor holds proper licensing and insurance and can take up to twenty-one (21) business days, so submit your caterer's information well in advance of your Event.

1. The College strictly prohibits the use of open flames for cooking or warming food in all Campus locations. Caterers must use low-wattage, low-amp electric chafing heaters to keep food warm, and those heaters must carry a UL rating and receive College approval.
2. The College prohibits cooking of any kind in all Campus spaces, including the catering kitchen. Caterers must prepare and cook all food off Campus.

3. If your caterer only delivers food and beverages and provides no service during the Event, you need no pre-approval.
4. Where feasible, use reusable dishware to reduce the College's contribution to the landfill. Where that is infeasible, use environmentally friendly disposable cups, plates, bowls, and utensils clearly labeled "compostable."
5. Dispose of all Event waste in the correct stream — landfill, recycling, or green waste.

9.21 Catering Kitchen Reservations

To prevent double bookings, you may reserve the Catering Kitchen at 198 McAllister and the Catering Kitchenette at 333 Golden Gate Avenue only for the day of your Event. The College permits no advance bookings of these spaces.

1. The Event Organizer must ensure that all necessary equipment and supplies are ready for use on the scheduled day.
2. The Event Organizer must also ensure that staff thoroughly clean the kitchen after use.
3. These kitchens are not equipped for cooking. Prepare and cook all food off Campus.

9.22 198 McAllister Green Room & Robing Room

To prevent double bookings, the College designates the Green Room and the Robing Room exclusively for individuals and groups that have reserved the associated spaces.

1. The Green Room, on the lower level of 198 McAllister, serves individuals and groups that have reserved the Faigman Auditorium. Access to the Green Room requires no separate reservation.
2. The Robing Room, on the 1st floor of 198 McAllister behind the courtrooms, serves individuals and groups that have reserved the Baxter Courtroom. Access to the Robing Room requires no separate reservation.

9.23 College Communications

If your Event has the potential to draw press coverage, whether requested or not, alert the College's Communications Department so that the communications team, under the direction of the Chief Communications Officer, can prepare.

Publicizing, advertising & posting of Events

This subsection applies to College Events. If your College Event is directed toward the College community, publicize it in accordance with the Calendaring & Publicizing Events Guidelines that the Provost & Academic Dean's Office maintains. The College does not publicize or advertise Third-Party Events, and a Licensee may not state or imply that the College hosts, sponsors, or endorses its Event. Any physical posting or signage on Campus must also comply with the College's Bulletin Board Policy.

Sign stands for directional signage

Facilities supplies sign stands for directional signage, which you may use to display 8″×11″ or 11″×17″ signs guiding attendees to the Event Space. The host must position the stands. Request sign stands as a CourseDog resource, or by email to SEGS if you have no CourseDog access, and Facilities will deliver them directly to the reserved Event Space.

9.24 Noise Levels

Event Organizers must minimize noise during an Event, particularly when the Event takes place near other Campus activities such as classes. The College does not permit live bands or DJs in the Quad on weekdays during class sessions without prior approval.

9.25 Recordkeeping

Special Events & Guest Services maintains a record of confirmed breaches of this Policy, including no-shows, unauthorized use, fronting, late cancellations, failures to cancel, and late resource requests. Records are kept for one year from the date of the confirmed breach.

The College uses these records to identify recurring operational problems, to inform scheduling and resource decisions, and to support conversations with Event Organizers about repeated issues.

The College addresses confirmed breaches through its existing processes: for students and employees, referral to the appropriate office for discipline; for student organizations, the processes of the Office of Student Services; and for external organizations, the remedies in Section 4.3 together with the terms of the applicable Facilities License Agreement. This Policy does not establish a separate disciplinary process or schedule of sanctions.

Section 10: Event Prioritization & Conflict Resolution

The College's class and exam schedule takes priority over Events scheduled in classrooms and academic spaces.

10.1 Classrooms & Academic Spaces

Any space not designated as an Event Space under Section 8.2 counts as a classroom or academic space.

The College may relocate any Event scheduled in a classroom or academic space before it posts the academic schedule, moving that Event to an alternative space that meets its requirements. In more limited circumstances, the College may change the time or date, or cancel the Event, if no alternative location is available. Event reservations made after the College posts the academic calendar, or set to occur within thirty (30) days, are not subject to such changes except in exceptional circumstances.

Events located in classrooms and academic spaces — that is, in locations other than the designated Event Spaces — follow this priority order by Event type:

Priority	Event Type
1	College curricular needs, including classes, exams, and contracted Academic Partner Classes.
2	Co-curricular programming, such as law school Orientation, 1L Essentials, OASIS, Inns of Court, and Board of Directors meetings.
3	Faculty, Departmental, and Student Organization Events.
4	Academic Partner Classes or Events not included in contract terms.

Priority	Event Type
5	Co-hosted, co-sponsored, and Third-Party Events.

10.2 Effect of a Facilities License Agreement

Once an FLA is in place, the Event date and location are fixed. The College will not reschedule the Event to accommodate another Event except as the FLA permits.

10.3 Conflict Resolution

This protocol applies whenever competing requests for Event Space arise. The College accords substantial weight to whoever was "first-in-time" in making the reservation. A higher-priority use of space may require relocating or otherwise revising an earlier reservation, based on a balancing of institutional needs under this protocol.

Informal resolution

The Provost & Academic Dean, SEGS, the Event Organizers, and any other involved individuals or entities work together to find a mutually agreeable resolution. If they cannot reach one, the College provides a formal resolution.

Formal resolution

The entities involved in the conflict determine who resolves it. The table below sets out the resolving authority for each type of conflict.

Type of Conflict	Resolved By
Among College academic or faculty programs	Provost & Academic Dean
Among student organizations	Dean of Students
Between student organizations and other elements of the academic program	Provost & Academic Dean
Among external groups, departments, and academic partners	Chief Operating Officer
Between the College academic program and external Events	Provost & Academic Dean and Chief Operating Officer
Not capable of resolution between the Provost & Academic Dean and the COO	Chancellor & Dean

Section 11: Approval Matrix

This Section consolidates the approvals required elsewhere in this Policy. Where a conflict appears between this matrix and the substantive text of a Section, the substantive text controls. The CFO stands in for the COO whenever the COO is unavailable.

11.1 Approvals & Exceptions

Request or Decision	Approver(s)	Notes
Space reservation (all types)	Special Events & Guest Services	Up to 5 business days; see Appendix A
Classification of a co-hosted or co-sponsored Event	SEGS in the first instance	Dispute → COO + Division Head; unresolved → Chancellor & Dean (§ 6.4)
Rate reduction (Reduced Rate Event)	Provost & Academic Dean and COO	Disagreement → Chancellor & Dean (§ 7)
Adjunct Professor or Overload Visitor hosting a Faculty Event	Provost & Academic Dean	Advance written permission (§ 3, n.1)
Retaining Event fee proceeds other than for the College's sole benefit	Provost & Academic Dean, Chancellor & Dean, or COO	§ 6.3, n.2
Reservation more than one year in advance	SEGS	events@uclawsf.edu (§ 9.1)
Cancelling or adjusting excessive or oversized bookings	SEGS, under direction of the COO	§ 9.1
Events attracting 1L students in the first two weeks of fall instruction	Dean of Students	Exceptions via Student Services (§ 9.3)
Events in non-Event Spaces during reading & finals	Provost & Academic Dean	§ 9.3
Events in Event Spaces during reading & finals	Provost & Academic Dean or COO	§ 9.3
Student Events during reading & finals	Dean of Students, in addition to the above	§ 9.3
Third-Party Events during reading & finals	COO or Provost & Academic Dean	§ 9.3
Reserved use of the 7th Floor Communal Area	COO, on referral from SEGS	2 weeks' notice (§ 8.3)
Furniture rearrangement, 7th Floor Communal Area	Director of Facilities Operations and Custodial Manager	§ 8.3

Request or Decision	Approver(s)	Notes
Rearranging Dining Commons furniture	Prior approval required	Law Cafe restrictions (§ 8.2, n.3)
Events outside designated building hours	Director of Safety & Security and SEGS	14 calendar days' notice; approval not guaranteed (§ 9.5)
Use of Event resources in a non-Event Space	Director of Events & Marketing, Director of Facilities, and/or COO or CIO	Depends on facilities vs. IT (§ 9.9)
Setup modification within the 5-business-day window	Director of Facilities, Director of Events & Marketing, and COO or CIO	§ 9.9
Late resource request (under 5 business days)	Director of Facilities Operations, Director of Events & Marketing, and COO	No direct contact with custodial staff (§ 9.9)
Additional Event security	Safety & Security team, under direction of the COO	Fees may apply (§ 9.14)
Facilities License Agreement	Hosting organization and the CFO, via DocuSign	SEGS drafts (§ 9.15)
Caterer not on the approved list	Purchasing Department pre-qualification	Up to 21 business days (§ 9.20)
Live bands or DJs in the Quad on class weekdays	Prior approval required	§ 9.24
Transfer or sub-licensing of a reservation	Prohibited — no approval available	Request a separate reservation (§ 9.2)
Barring an external organization for fronting	COO, in consultation with the Office of General Counsel	May be permanent (§ 4.3)
Major Event coordination	SEGS convenes; Director of Safety & Security participates	30 calendar days' notice; immediate if a trigger emerges later (§ 9.8)
Accepting a self-insurance letter in place of a COI	CFO	§ 9.16
Waiving additional-insured or cancellation-notice requirements for a public entity	CFO, in writing	Indemnification obligations remain (§ 9.16)
Central funding of disability accommodation costs	COO or Provost & Academic Dean	Advance approval; otherwise borne by the organizer (§ 9.13)

Appendix A: Reservation Procedures

This Appendix states the procedures for requesting space. It is procedural guidance and does not alter the rules in Sections 1 through 11.

A.1 Who Requests Space, and How

Requester	Method
College Event Organizers	Submit the request directly through CourseDog, logging in with uclawsf.edu email credentials.
Third Parties and Academic Village Partners	Email Special Events & Guest Services at events@uclawsf.edu.

The Event classification determines reservation priority (see Section 10) and, for College Event Organizers, determines which CourseDog form the organizer uses. Third Parties and Academic Village Partners have no CourseDog access: they submit every request, change, and cancellation by email to SEGS at events@uclawsf.edu, and SEGS enters and maintains the reservation on their behalf. All requests undergo review and approval. The College does not approve requests automatically upon submission.

A.2 Reservation Checklist: Minimum Information Required

The College cannot confirm a reservation until it receives all of the following. Gather these items before logging in to CourseDog or emailing SEGS.

	Required Information	What to Provide
☐	Event Organizer	The responsible employee or student, plus the faculty member, Administrative Unit, or student organization hosting the Event.
☐	Event Name	A title the College community can reasonably understand — not an acronym — that conveys the basic purpose of the Event.
☐	Event Description	Enough detail for any reader of the master calendar to understand the Event: the Event Organizer, the purpose, the location, the target audience, and the names of any guest speakers.
☐	Expected Head Count	An estimate of attendance.
☐	Outside Speakers & VIP Guests	Whether the Event includes either, plus the full names and roles of all such guests, in advance of the Event. VIP Guests are non-College-affiliated individuals who require special accommodations, dedicated access, heightened coordination, or elevated attention — including keynote speakers, panelists, dignitaries, elected officials, executives, donors, and other individuals of distinction.
☐	Ticketing & Charges	Whether the Event will issue tickets to participants or ask them to pay fees.
☐	Catering	Whether an outside caterer will serve the Event (see Section 9.20).

	Required Information	What to Provide
☐	Alcohol	Whether the Event will include alcohol and, if so, how it will comply with the College's alcohol policy.
☐	Audio/Visual Setup	Your AV setup needs (see Section 9.12).
☐	Furniture Setup	Your furniture setup needs (see Section 9.9).

A.3 Confirmation Timeline

Special Events & Guest Services reviews all space reservation requests. The department responsible for coordinating a given resource reviews the corresponding resource request. The full approval process for both Event Space reservations and resource requests may take up to five (5) business days.

Appendix B: Event Resource Directory & Staff Schedules

B.1 Event Resource Directory

Department	Inquiry Type	Contact
Special Events & Guest Services	Space reservations, Facilities License Agreements, event coordination	events@uclawsf.edu
IT Helpdesk	Audio/visual support	ITServices@uclawsf.edu
Communications	Advertising, publicity, press coverage	communications@uclawsf.edu
Safety & Security	Security staffing, after-hours building access	Noah Skinner — skinnernoah@uclawsf.edu
Facilities	Room setup, event resources, sign stands	facilities@uclawsf.edu
Facilities — Work Orders	Building issues and repairs	Create a work order in Asset Essentials
Purchasing	Approved caterers, caterer pre-qualification, executed FLA retention	purchasing@uclawsf.edu
Student Services	1L event exceptions, student organization recognition	studentservices@uclawsf.edu

B.2 Staff Schedules

Team	Days	Hours
Custodial — Day Shift	Monday–Friday	7:00 a.m. – 3:00 p.m.
Custodial — Night Shift	Monday–Friday	4:00 p.m. – 11:00 p.m.
Custodial — Weekend	Saturday	8:00 a.m. – 4:00 p.m.
Engineers	Monday–Friday	7:00 a.m. – 10:00 p.m.
Engineers	Saturday–Sunday	7:00 a.m. – 3:00 p.m.
AV Tech	Monday–Friday	8:30 a.m. – 5:00 p.m.

UC LAW SAN FRANCISCO

Space Reservation Policy

Office of the Chief Operating Officer • Special Events & Guest Services

Effective: September 1, 2026 • Supersedes all prior Space Reservation Policies

Contents

Section 1: Purpose & Scope

This Policy governs space reservations at the UC College of the Law, San Francisco ("UC Law San Francisco" or the "College") campus ("Campus"). All persons and groups, whether internal or external to the College, must comply with this Policy and with all other applicable College policies.

Every Event held on Campus must align with the College's mission and must preserve ~~the College's~~its governmental and tax-exempt status.

This Policy states the rules that govern access to Campus space. Appendix A sets out the procedures for requesting a reservation, and Appendix B lists the offices that support Events.

Section 2: Glossary of Defined Terms & Acronyms

The following terms and acronyms appear throughout this Policy.

Term / Acronym	Meaning
Administrative Unit	A College department, center, program, or other administrative unit.
AV	Audio/Visual.
Campus	The UC Law San Francisco campus, including 198 McAllister Street, 200 McAllister Street, 333 Golden Gate Avenue, and 100 McAllister Street.
CFO	Chief Financial Officer (CFO). The CFO stands in for the COO when the COO is unavailable.
CIO	Chief Information Officer.
COI	Certificate of Insurance. Written proof that a Licensee carries the liability coverage this Policy requires (see Section 9.16).
College Event	A Departmental, Faculty, or Student Organization Event, ~~as defined in Section 6.~~as defined in Section 6.1. Every College Event must satisfy the College Event Criteria in Section 5.2.
COO	Chief Operating Officer.
CourseDog	The College's ~~academic scheduling and space reservation system, at app.coursedog.com.~~academic scheduling and space reservation system, at app.coursedog.com. College Event Organizers have direct access using uclawsf.edu credentials. Third Parties and Academic Village Partners have no CourseDog access and submit all requests and changes by email to SEGS at events@uclawsf.edu.
Event	A meeting, program, or activity held at the College. ~~See section 6 for types of Events. See Section 5.1 for exclusions.~~Event is the umbrella term: every Event is either a College Event or a Non-College Event. See Section 6 for the classifications and Section 5.1 for exclusions.
Event Organizer	The person or unit responsible for an Event. See Section 3.

Term / Acronym	Meaning
Event Space	A designated space specifically reserved and equipped for hosting Events. See Section 8.
FLA	Facilities License Agreement. The College's standard contract for external use of Campus space (see Section 9.15).
Licensee	An external organization that has executed an FLA.
Major Event	An Event that meets one or more of the triggers in Section 9.8 and therefore requires coordination beyond the standard reservation process. An Event of any classification may be a Major Event.
Non-College Event	An Event that is not a College Event: an Academic Partner Class or Program (Section 6.2), a Third-Party Event (Section 6.3), or a co-hosted Event that SEGS classifies as a Third-Party Event (Section 6.4).
PADO	Provost & Academic Dean's Office.
SEGS	Special Events & Guest Services, the department that administers this Policy (events@uclawsf.edu).
Third Party	A person or organization distinct from the College.
~~Third Parties~~	~~Plural of Third Party. Persons or organizations distinct from the College.~~

Section 3: Event Organizers

~~A UC Law San Francisco Event Organizer oversees~~An Event Organizer oversees the content, planning, management, logistics, and presentation of an Event, and must ensure that the Event complies with College policies. Only the following may serve as an Event Organizer:

1. A College department, center, program, or other administrative unit (collectively, an "Administrative Unit");
2. A faculty member;[1] ~~or~~
3. ~~A College student organization registered with and approved by the Office of Student Services.~~A College student organization registered with and approved by the Office of Student Services; or
4. A Third Party organizing an Event.

College Event Organizers — Administrative Units, faculty members, and registered student organizations — request and manage space directly in CourseDog. A Third Party has no CourseDog access: it submits every request, change, and cancellation by email to SEGS at events@uclawsf.edu,

[1]For purposes of this Policy, "faculty member" includes Ladder Faculty, Long-Term Contract Faculty, Lecturers, and full-time Visiting Professors. The Provost & Academic Dean may designate Adjunct Professors and Overload Visitors as faculty members eligible to host Faculty Events, by advance written permission.

and must execute a Facilities License Agreement under Section 9.15 before the College confirms space.

Section 4: Fronting & False Sponsorship

4.1 Third Parties

Third Parties are persons or organizations distinct from the College. The provisions governing Third-Party Events apply to any Third Party using Campus space.

4.2 Prohibition

The College prohibits fronting and false sponsorship, and denies any request for space that constitutes either. Fronting or false sponsorship occurs when a College employee, including managerial staff and faculty members, Administrative Unit, student organization, or student ~~falsely presents itself as the primary host, organizer, or sponsor of an Event~~falsely presents itself as a host, co-host, organizer, or sponsor of an Event in order to secure access, benefits, or rates intended for internal organizers, ~~when the Event in fact serves an external organization.~~when the Event in fact primarily serves an external organization.

No College employee, Administrative Unit, student organization, or student may use an internal affiliation as a cover for an outside group's purposes. ~~They must have a meaningful hosting or planning role in the event in order to receive internal rates/privileges.~~To receive internal rates or privileges, that employee, Administrative Unit, student organization, or student must hold a meaningful hosting or planning role in the Event. Some benefit to an affiliated external organization — as where a student organization is affiliated with a national body — does not by itself constitute fronting. No external organization may solicit, arrange, or accept such an arrangement.

Presenting an external organization's Event as an alumni, prospective-student, visiting-scholar, or academic-partner gathering ~~in order to~~to avoid the Facilities License Agreement or insurance requirements constitutes fronting under this Section. See Sections 4.3 and 9.18.

4.3 Consequences

For College employees, Administrative Units, student organizations, and students, the consequences are those described in Section 9.

For an external organization that engages in or benefits from fronting or false sponsorship, the College may take any or all of the following actions:

1. Deny the pending request for space.
2. Cancel a confirmed reservation and terminate any executed Facilities License Agreement, effective immediately, without refund and without liability to the College.
3. Invoice the organization at the full applicable rate for any space or services already used, regardless of the rate originally quoted.
4. Bar the organization from future use of Campus facilities. A bar may be permanent, in the College's sole discretion, and the College may extend it to affiliated entities, successor organizations, and the individuals who arranged the fronting.

The Chief Operating Officer decides whether to impose ~~a bar and its duration~~any of these consequences, in consultation with the Office of General Counsel. These remedies are cumulative and do not limit any other remedy available to the College at law or under an executed agreement.

~~Presenting an external organization's Event as an alumni, prospective-student, visiting-scholar, or academic-partner gathering in order to avoid the Facilities License Agreement or insurance requirements constitutes fronting under this Section. See Section 9.18.~~

Section 5: Events & College Event Criteria

5.1 Definition of Event

An Event is a meeting, program, or activity held at UC Law San Francisco. Events do not include College classes, College exams, or ~~meetings that employees or student organizations hold in their own designated office spaces.~~meetings that employees or student organizations hold in their own designated office spaces. Every Event is either a College Event — a Departmental, Faculty, or Student Organization Event under Section 6.1 — or a Non-College Event. Non-College Events comprise Academic Partner Classes and Programs (Section 6.2), Third-Party Events (Section 6.3), and co-hosted or co-sponsored Events that SEGS classifies as Third-Party Events (Section 6.4).

5.2 College Event Criteria

The following criteria apply to every College Event — Departmental, Faculty, and Student Organization Events alike — and the College evaluates each request against them. ~~Section 6 states the additional requirements that attach to each classification.~~Section 6 states the additional requirements that attach to each classification. These criteria apply only to College Events. Non-College Events are governed by Sections 6.2 and 6.3, the rate provisions of Section 7, and the Facilities License Agreement and insurance requirements of Sections 9.15 and 9.16.

Criterion	Requirement
Mission alignment	The College Event advances the academic, scholarly, professional, or public-service mission of the College or of one of its centers or programs.
Community benefit	The College Event primarily benefits College faculty, students, or staff; serves practitioner or professional communities within the organizer's area of focus; or convenes external scholars, researchers, or practitioners in furtherance of the College's academic or institutional goals.
Substantive control	The organizing faculty member, Administrative Unit, or student organization initiates and hosts the College Event, exercises substantive control over its planning, content, and execution, and participates actively in it.
Classification by organizer	The College classifies a College Event by the nature of the organizing body and its substantive control over the College Event — not by the intended audience or primary beneficiary. A College Event may serve the College community, external communities, or both.

Criterion	Requirement
Location	The College Event takes place outside the organizer's own designated office or departmental conference room.

Section 6: Event Classifications

The classification of an Event determines its reservation priority under Section 10, its rate treatment under Section 7, ~~and the CourseDog form the organizer uses to request space.~~and, for College Event Organizers, the CourseDog form used to request space. Section 6.1 covers College Events; Sections 6.2 and 6.3 cover Non-College Events; Section 6.4 addresses co-hosted and co-sponsored Events. Classification is separate from the Major Event designation in Section 9.8, which turns on an Event's operational and safety demands and may attach to an Event of any classification.

6.1 College Events

A College Event is an Event that a faculty member, an Administrative Unit, or a registered student organization initiates, hosts, and substantively controls. The three classifications below are College Events, and each must satisfy the College Event Criteria in Section 5.2.

6.1.1 Departmental Events

An Administrative Unit organizes a Departmental Event, which takes place outside that unit's designated departmental or office conference rooms. Departmental Events include, without limitation, on-campus interviews that the Career Development Office coordinates and programming that the Office of Student Services organizes.

6.1.2 Faculty Events

A faculty member or faculty-led Administrative Unit initiates, hosts/co-hosts, and significantly manages and directs a Faculty Event. Faculty Events include, without limitation, special guest lecture series, colloquia, panel discussions, workshops, symposia, and comparable academic engagements.

The College Event Criteria in Section 5.2 govern whether an activity qualifies as a Faculty Event.

6.1.3 Student Organization Events

An officially recognized College student organization or journal initiates, hosts, and materially manages and directs a Student Organization Event, which takes place outside the group's designated office space. Student Organization Events include, without limitation, membership meetings, guest speaker sessions, panel discussions, social gatherings, community outreach programs, and public service activities that the student group organizes and leads.

6.2 Academic Partner Classes & Programs

An external institution offers an Academic Partner Class or Program under a formal Academic Partner agreement with the College. The contractual agreement between the College and the

Academic Partner must explicitly identify and outline the specific classes or programs for this classification to apply. Academic Partners (i.e., UC Davis, UCSF, and SFSU) offer classes or programs on the College campus under a current Academic Partner agreement. An academic institution that holds no such agreement with the College is not an Academic Partner, and its use of College space is a Third-Party Event under Section 6.3.

6.3 Third-Party Events

An entity that holds no official affiliation with the College plans, hosts, and organizes a Third-Party Event. Third-Party Events include conferences, receptions, meetings, and similar activities that external organizations or individuals conduct. The hallmarks of a Third-Party Event include, without limitation:

1. An outside individual or organization conceives, organizes, or controls the Event.
2. The Event primarily serves that outside entity's purposes — revenue, promotion, training, and the like — even if College students or employees attend.
3. The Event operates independently of the College's governance or sponsorship.
4. The Event charges fees that the organizer does not remit to, or significantly share with, the College. The College treats such an Event as a Third-Party Event unless the Provost & Academic Dean or the COO grants an exception.[2]

6.4 Co-Hosted & Co-Sponsored Events

Third Parties sometimes partner with College faculty, Administrative Units, or student organizations to co-host Events. Whether a co-hosted or co-sponsored Event constitutes a College Event or a Third-Party Event depends on the factors listed above.

The Event Organizer must seek this determination early in the planning process. SEGS makes the determination in the first instance. If an Event Organizer disputes the determination, the matter proceeds to the COO and the Division Head who oversees the employee, Administrative Unit, or student organization raising the dispute. The CFO stands in when the COO is unavailable. If the COO (or the CFO, if standing in) and the Division Head cannot agree, the Chancellor & Dean resolves the question. Section 11 summarizes this escalation path.

Section 7: Reduced Rate Events

A Reduced Rate Event is any Event in which an external organization seeks access to College facilities or services at a reduced rate or at no cost. Reduced Rate Events include Third-Party Events that the College co-hosts or co-sponsors, as well as College Events whose primary or substantial beneficiary is an external organization or the general public rather than the College community.

~~As a general rule, the College does not reduce rental rates~~A Reduced Rate Event describes a category of request, not an entitlement to a reduction. As a general rule, the College does not reduce rental rates for any Event whose primary or substantial beneficiary is an external organization, regardless of whether a College faculty member, Administrative Unit, or student organization co-hosts, co-

[2]The College holds any proceeds it receives from Event fees for its sole benefit, unless the Provost & Academic Dean, the Chancellor & Dean, or the Chief Operating Officer approves otherwise.

sponsors, or solely organizes it. The College may grant a reduction in its sole discretion, but only if it determines that the following factors weigh in favor of doing so:

1. A faculty member, Administrative Unit, or officially recognized student organization organizes or co-organizes the Event, or the College otherwise initiates and manages it.
2. The Event supports the overall mission of the College and aligns with the mission and strategic goals of the sponsoring employee, Administrative Unit, or student organization.
3. Where the Event forms part of an Administrative Unit's core and regular programming, that unit requests and obtains similar rate reductions only sparingly and rarely.
4. The external organization or primary beneficiary is a government entity, a nonprofit, or an organization with which the College holds a recognized academic, professional, or public service relationship.
5. The Event charges no fees, or the anticipated revenues from fees are insufficient to cover regular rental rates.
6. The Event Organizer consults with and obtains approval from the Provost & Academic Dean and the COO, including approval of proposed expenditures. The CFO stands in when the COO is unavailable. If the COO (or the CFO, if standing in) and the Provost & Academic Dean disagree on whether to apply a discount, the Chancellor & Dean resolves the question.

Section 8: Event Spaces

8.1 Definition of Event Space

An Event Space is a designated physical area that the College specifically reserves and equips for hosting Events. These spaces accommodate activities such as conferences, receptions, and other significant gatherings that require specialized facilities or amenities, including audiovisual equipment, seating arrangements, and accessibility features. Event Spaces vary in size and type, and include auditoriums, banquet halls, and multipurpose rooms.

While 100 McAllister ("the Tower") remains under construction, the College may use the Event Spaces listed below for academic classes. Academic classes supersede any other Event scheduled in these spaces.

8.2 Designated Event Spaces

Event Space	Building	Notes
Faigman Auditorium	198 McAllister	Green Room available (Section 9.22)
Alumni Reception Center	200 McAllister	—
Dining Commons[3]	200 McAllister	Law Cafe restrictions apply

[3]When Law Cafe is open, all tables and chairs in the front half of the Dining Commons — the area before the dividing wall — must remain in their designated locations, and no one may remove or rearrange them. This area is reserved exclusively for the College community and their guests to eat

Event Space	Building	Notes
Dobbs Annex	200 McAllister	—
Dobbs Atrium	200 McAllister	—
Deb Colloquium Room	333 Golden Gate	—
Sky Deck	333 Golden Gate	—
Quad	333 Golden Gate	Amplified music restricted (Section 9.24)
LL01-02 Combined	333 Golden Gate	Available when not in use as a classroom

8.3 7th Floor Communal Area (The Commons at 198 McAllister)

The College intends the 7th Floor Communal Area as an open, shared community space and generally does not make it reservable. The College permits reserved or exclusive use only in extraordinary circumstances, when no other suitable Campus space is available, and only with advance approval per Section 8.3.1.

8.3.1 Approval process

Submit requests to SEGS at events@uclawsf.edu at least two (2) weeks in advance, with detailed Event information. SEGS reviews the request to determine whether another Campus space is available or suitable. If no alternative space can accommodate the Event, or if the request qualifies as an extraordinary use, SEGS forwards the request to the COO for approval. Once the COO approves, SEGS reserves the space in CourseDog and coordinates available resources.

8.3.2 Access & security

The College permits Events in this space only between 10:00 a.m. and 10:00 p.m. The 7th floor requires Campus badge access. Events with external guests require coordination with the Director of Safety & Security, and a security officer must remain stationed at the elevator throughout the Event. Event Organizers must maintain controlled access, ensure separation from residential areas, and manage noise levels.

8.3.3 Furniture & equipment

No one may remove furniture from this space. The Director of Facilities Operations and the Custodial Manager must approve any rearrangement in advance, and will permit only limited rearrangement. Available equipment includes a portable bar, a portable refrigerator, catering tables, a podium with built-in speaker and microphone, and a rolling TV.

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and gather. Only the furniture in the back half of the Dining Commons, behind the dividing wall, may be modified or rearranged during Law Cafe operating hours. When Law Cafe is closed, any rearrangement of the Dining Commons requires prior approval.

8.4 Building Lobbies

Building lobbies serve as circulation areas only. No one may reserve or use a lobby for receptions, parties, gatherings, or other Events. Casual, brief use — such as waiting for or greeting visitors — remains permitted so long as it does not block access or disrupt building operations.

8.5 ~~Preferred Spaces for Instruction & Routine Department Matters~~Appropriate Spaces for Instruction & Routine Department Business

~~Hold department meetings, lectures, trainings, makeup classes, and similar activities in classrooms or conference rooms sized appropriately for the expected number of attendees.~~Event Spaces are equipped and staffed for Events, and the College holds them for that purpose. Hold routine activities — department meetings, lectures, trainings, makeup classes, and the like — in a classroom or conference room sized for the expected number of attendees rather than in an Event Space. ~~If no suitable alternative room is available, you may submit a formal request for an Event Space.~~If no classroom or conference room can accommodate the activity, you may submit a request for an Event Space, explaining why no other space is suitable.

Section 9: Facility Rules & Requirements

Noncompliance with these rules carries consequences. ~~For College employees, the consequences match those for violating any other College policy. For student organizations, the College may suspend or revoke the privilege to host and request future Events. Loss of Event Organizer privileges results in loss of access to CourseDog Reservations.~~The College may refer students and College employees to the appropriate office for discipline, including an individual student associated with a student organization that does not comply. For student organizations, the College may suspend or revoke the privilege to host and request future Events; loss of College Event Organizer privileges results in loss of access to CourseDog Reservations. Section 4.3 states the consequences that apply to external organizations, and Section 9.25 describes how the College records confirmed breaches.

9.1 Reserving & Holding Space

Request and reserve only the spaces essential to your Event. You may not reserve backup spaces or rooms you are unsure about. You may reserve space no earlier than one year before your Event date; to reserve space further in advance, contact events@uclawsf.edu for prior approval.

1. If it becomes clear that you need fewer rooms, or that a smaller room would suffice, promptly release the extra rooms or relocate to a more appropriately sized space if one is available.
2. If it appears that you have reserved more or larger rooms than necessary, SEGS will contact you to discuss your needs. Under the direction of the COO, SEGS may cancel or adjust excessive or oversized room bookings.
3. Reservation timing: your reservation must reflect the exact times you need the space, including setup and teardown. If it becomes apparent that you need the space for less time than you originally requested, ~~update the reservation time in CourseDog as soon as possible.~~update the reservation time as soon as possible — College Event Organizers in CourseDog, and Third Parties and Academic Village Partners by email to SEGS at events@uclawsf.edu.

4. Release any unnecessary spaces, or reduce Event durations, at least thirty (30) days before the Event so that other community members can access these resources.
5. If you cancel an Event tied to a space reservation, notify SEGS immediately at events@uclawsf.edu so that the College can cancel resources and room setups accordingly.

9.2 No Transfer or Sub-Licensing of Reservations

A reservation is specific to the Event Organizer or Licensee that obtained it and confers no transferable interest in Campus space. No Event Organizer or Licensee may:

1. Assign, transfer, sublicense, sublease, or otherwise convey a reservation, in whole or in part, to any other person or organization;
2. Permit another group to use reserved space, whether or not for consideration and whether or not the original Event Organizer remains present; or
3. Sell, resell, trade, or broker reserved space or reservation time.

The College treats any attempt to transfer a reservation as a violation of this Policy and as evidence of fronting under Section 4, and may cancel the reservation immediately. No approval is available for a transfer; a group that wishes to use Campus space must request its own reservation.

Engaging vendors to support your own Event — caterers, AV providers, security providers, and similar service providers — does not constitute a transfer, provided that the Event Organizer remains responsible for the Event and that each vendor satisfies the insurance requirements in Section 9.16.

9.3 Room Reservation Scheduling Limitation Periods

The College enforces the limitation periods described below to ensure appropriate planning and resource allocation. You may schedule Events only during designated timeframes, and the College may decline requests that fall outside these periods. The exact limitation periods vary with the nature of the Event and the type of room; typically, the College restricts scheduling during peak academic times, College holidays, and institutional blackout dates.

First two weeks of fall instruction

During the first two weeks of instruction in the fall semester, College Events geared toward or likely to attract 1L students require approval from the Dean of Students ~~before submission in CourseDog.~~before the request is submitted. To request an exception, contact Student Services at studentservices@uclawsf.edu. The College considers exceptions case by case, ~~for Events that specifically require scheduling during the first two weeks of school.~~for Events that specifically require scheduling during the first two weeks of school. This requirement applies to College Events; SEGS may schedule Third-Party Events during this period where space allows.

Reading & finals period

The College discourages Events during the exam reading and finals period. It may allow a limited number of Events that are small and quiet and that do not occupy spaces students commonly use to study. The following approvals apply:

- Events in non-Event Spaces: the Provost & Academic Dean must approve.
- Events in Event Spaces: the Provost & Academic Dean or the COO must approve.
- Student Events: the Dean of Students must also approve.

- Third-Party Events: the COO or the Provost & Academic Dean must approve.

Event Organizers may complete space reservations during the finals period only after the Registrar's Office updates the final exam schedule in CourseDog. Once the Registrar posts the schedule, the College lifts the blackout on room reservations for all classrooms, conference rooms, and Event Spaces, except:

- The 6th floor of 200 McAllister, which remains reserved exclusively for DRP finals; and
- The Quad between the 333 Golden Gate and 200 McAllister buildings.

Estimated finals schedule release dates: Fall — October; Spring — March; Summer — June.

9.4 Holidays & Administrative Days

No IT or administrative staff support Events during holidays and administrative days. For a complete list, consult the UC Law San Francisco Academic Calendar.

9.5 Events Outside Designated Building Hours

The College maintains designated building hours to ensure the safety, security, and efficient operation of Campus facilities. Any Event that requires building access beyond those hours must comply with the following requirements.

Advance notice

Submit requests to hold Events outside designated building hours at least fourteen (14) calendar days in advance. This notice allows sufficient time to coordinate required safety and security staffing.

Submission process

Email all requests to extend building access to both the Director of Safety & Security, Noah Skinner (skinnernoah@uclawsf.edu), and SEGS (events@uclawsf.edu). Include the Event date, start and end times, location, and contact information for the Event Organizer.

Additional staffing & fees

Extending building hours may require additional security, custodial, and engineering personnel, and may incur extra hourly charges. The College communicates any such charges to the Event Organizer upon approving the request. Event Organizers must cover these fees.

Final approval

Building hour extensions depend on staffing availability and operational considerations. The College does not guarantee approval, and SEGS notifies Event Organizers promptly if it cannot accommodate a request.

Building hours

Building hours are subject to change. Consult the official schedule regularly at uclawsf.edu/building-hours.

9.6 Event Modification or Cancellation by the College

The College reserves the right to change, cancel, or eliminate Events for any reason, including unforeseen or extraordinary circumstances, requirements imposed by Public Health or other local, state, or federal directives, or noncompliance with College policies. If the College must relocate an

Event because of unforeseen issues, SEGS notifies the Event Organizers of departmental and third-party reservations, and the Provost & Academic Dean's Office (PADO) relocates academic classes and faculty reservations and notifies affected faculty and students.

9.7 Prohibited Events

The College encourages and supports the free exchange of ideas and political viewpoints. However, as a public and nonprofit institution of higher education, the College prohibits the use of its facilities and resources for Events that give the appearance that the College supports one political candidate, party, referendum, or political action committee.

The College hosts nonpartisan political activities — such as properly organized voter registration activities, voter education programs, and candidate debates — that do not evidence a preference for or opposition to a political party or to candidates who have taken a particular position, and that provide an equal forum for opposing parties and candidates.

9.8 Major & High-Impact Events

Some Events require coordination beyond the standard reservation process. The College designates these as Major Events. A visit by a head of state or an official delegation, for example, requires pre-approvals and security planning and draws on additional College resources across multiple departments.

Triggers

An Event is a Major Event if any of the following triggers applies. Event Organizers must identify applicable triggers when submitting the reservation request.

Trigger	Threshold
Total attendance	Expected attendance of 200 or more.
External attendance	More than 100 external attendees, or external attendees making up a majority of expected attendance.
Dignitaries & officials	A head of state or official foreign delegation; a sitting federal or state appellate judge; a federal or statewide elected official; or any guest traveling with a government or private protective detail.
Anticipated controversy	A speaker, topic, or organization that has drawn protest, disruption, or significant public controversy at this or another institution, or that the Event Organizer reasonably anticipates will do so.
Protest or counter-activity	Credible notice of a planned protest, demonstration, picket, or counter-event, whether on Campus or immediately adjacent to it.
Media	Anticipated press attendance, live broadcast, or public streaming of the Event.
Access control	Ticketing, credentialing, bag checks, magnetometers, or any restricted-access requirement.
Campus footprint	Simultaneous use of three or more spaces, or of spaces in more than one building.

Trigger	Threshold
Timing	Any portion of the Event falls outside designated building hours, or on a College holiday or administrative day.
Alcohol at scale	Service of alcohol at an Event with more than 100 attendees.
Outdoor amplification	Amplified sound in the Quad, on the Sky Deck, or in any other outdoor space.

Notice

Notify Special Events & Guest Services as soon as a trigger applies, and no later than thirty (30) calendar days before the Event date. If a trigger emerges inside that window — for example, credible notice of a planned protest — notify SEGS and the Director of Safety & Security immediately. Do not wait for the reservation review cycle.

Coordination

SEGS convenes a planning group scaled to the applicable triggers. Depending on the Event, that group may include Safety & Security, Facilities, IT and AV, Communications, the Provost & Academic Dean's Office, the Dean of Students, Purchasing, and the Office of General Counsel. These Events often require early planning across multiple units on security arrangements, venue impact analysis, communications, attendee access and protocols, and other complex logistics, including coordination with federal, state, and local law enforcement agencies.

Following that review, the College may require any of the following, at the Event Organizer's expense: additional security officers or a professional crowd-management detail; controlled entry and credentialing; relocation to a space better suited to the expected attendance or security profile; adjusted Event timing; or additional custodial and engineering coverage. The College has an institutional interest in making these Events successful without unduly affecting daily College activities or other Campus priorities and operations.

Content neutrality

A Major Event designation reflects the operational and safety demands of an Event, not a judgment about its content or viewpoint. The College applies these triggers and any resulting security requirements without regard to the viewpoint of any speaker, organizer, or attendee, consistent with Section 9.7.

If you are unsure

If you are unsure whether a trigger applies to your Event, contact SEGS at events@uclawsf.edu before submitting your request. Raising the question early costs nothing; discovering a trigger late may cost you the date.

9.9 Event Resources & Room Setup Requests

~~Submit all room setup and resource requests through CourseDog at least five (5) business days before your Event.~~Submit all room setup and resource requests at least five (5) business days before your Event — College Event Organizers through CourseDog, and Third Parties and Academic Village Partners by email to SEGS at events@uclawsf.edu. Facilities staff strive to meet every request, but

the College cannot guarantee that it will grant all of them. For setup-related inquiries, contact the Facilities Department at facilities@uclawsf.edu and SEGS at events@uclawsf.edu.

1. Use Event resources only in designated Event Spaces. To use resources in a non-Event Space, obtain prior approval from the Director of Events & Marketing, the Director of Facilities, and/or the COO or CIO, depending on whether the request concerns facilities or IT resources.
2. Engineers must start and extinguish all fire tables and heat lamps. Request activation of these resources in accordance with the notice requirements above. Engineers exercise discretion in determining whether wind and environmental conditions make their use appropriate. These resources are available during the engineers' regular hours (Monday–Friday, 7:00 a.m.–10:00 p.m.; Saturday–Sunday, 7:00 a.m.–3:00 p.m.).
3. Submit modifications to an Event at least five (5) business days before the scheduled date.
4. If you request a modification to a previously requested setup within the five-day notice period, email the request to the Director of Facilities, the Director of Events & Marketing, and the COO or CIO for approval.
5. Late resource requests: to submit a request with less than five (5) business days' notice, email the Director of Facilities Operations, the Director of Events & Marketing, and the COO for approval of any late resource preparations. Do not contact custodial staff directly about late resource requests. Route all inquiries and requests for exceptions through the directors named above.

9.10 Room Setup & Teardown Time

Each Event Space requires sufficient time for setup and teardown so that custodial and support staff can configure the room to Event requirements and restore it afterward. Plan your Event schedule to include the recommended times below, which vary by location and specific setup needs.

Event Space	Setup Before	Teardown After
Faigman Auditorium (198 McAllister)	3 hours	3 hours
Alumni Reception Center	2 hours	2 hours
Dining Commons	2 hours	2 hours
Dobbs Annex	2 hours	2 hours
Dobbs Atrium	2 hours	2 hours
Deb Colloquium Room	2 hours	2 hours
Sky Deck	2 hours	2 hours
Quad	2 hours	2 hours
LL01-02 Combined	3 hours	3 hours

9.11 Room Cleanup

After your Event concludes, return the room to its original condition and dispose of all waste in the designated receptacles. If the receptacles cannot accommodate all Event waste, notify College security before leaving. Report any damage to the room, furniture, or equipment to security immediately so that the College can resolve it promptly.

9.12 Audio/Visual (AV)

Submit AV support requests at least five (5) business days in advance. This notice gives the AV team adequate time to prepare and to confirm staffing for your Event. The College may not be able to provide AV services outside normal business hours — Monday through Friday, 8:30 a.m. to 5:00 p.m. — and such requests may incur additional fees. ~~Plan accordingly to avoid scheduling conflicts and extra charges.~~Plan accordingly to avoid scheduling conflicts and extra charges. AV support is an Event Resource: submit AV requests together with your other resource requests under Section 9.9, on the same five-business-day timeline.

9.13 Accessibility & Disability Accommodations

The College's Event Spaces include accessibility features, but physically accessible space alone does not satisfy the Event Organizer's obligations. Every Event held on Campus must be accessible to attendees with disabilities.

Organizer responsibility

The Event Organizer is responsible for arranging and funding disability accommodations for its Event, including ASL interpreters, CART or live captioning, assistive listening devices, materials in alternative formats, and accessible transportation or parking arrangements. Accommodation costs are an Event expense borne by the Event Organizer's budget, or by the Licensee under its Facilities License Agreement — not by the College's central budget, unless the Chief Operating Officer or the Provost & Academic Dean approves otherwise in advance. Accommodation costs also cannot be charged to a person receiving the accommodation.

Required notice on Event materials

Include an accommodation notice on all Event publicity, registration materials, and calendar entries. Use language substantially as follows:

> *"To request a disability accommodation for this event, contact [name] at [email] by [date]. Please allow at least ten (10) business days so that we can arrange your accommodation."*

Timing

Submit accommodation requests to vendors — interpreters, captioners, and similar providers — at least ten (10) business days before the Event. The Event Organizer and the College will make good-faith efforts to fulfill later requests, but qualified providers are often unavailable on short notice, so build the lead time into your publicity dates.

Physical setup

Event Organizers must maintain accessible routes to and within the Event Space. When submitting a setup request under Section 9.9, plan for the following, and do not block accessible routes, doorways, ramps, or elevator access with furniture, signage, catering stations, or equipment.

- At least 36 inches of clear aisle width throughout the Event Space.
- Reserved accessible seating, with adjacent companion seating.
- An accessible route to any stage, podium, head table, or registration table.
- At least one table or service surface at a height that accommodates wheelchair users at catering, registration, and exhibit stations.

Support

SEGS can identify approved accommodation vendors and advise on room configuration. Coordinate accommodations involving College students with the Disability Resource Program, and accommodations involving College employees with Human Resources.

9.14 Event Security

If you have any concerns about security for your Event, notify the Director of Safety & Security after making your reservation. The College's safety and security team, under the direction of the COO, assesses whether the Event requires additional security. If the College determines that additional security is necessary, or if you request it, the hosting individual or organization may incur a fee covering those added costs. If you plan to bring in outside security, ensure that the College's safety and security team coordinates with that provider in advance of the Event.

9.15 Facilities License Agreement (FLA)

For Events that a College Event Organizer does not solely host, external organizations must enter into the College's standard Facilities License Agreement (FLA) and furnish proof of insurance that meets the College's requirements. The College must receive and approve proof of insurance before it confirms an Event.

External (non-UC) organizations must execute the College's standard FLA to use Event or meeting space, including when the College provides space at a discounted rate or at no cost. The FLA sets forth the terms of use, responsibilities, and conditions of access consistent with College policies. To initiate an FLA, contact SEGS at events@uclawsf.edu for drafting support. The hosting organization and the College's CFO must execute the final agreement via DocuSign before the College confirms any space. After full execution, submit the fully signed agreement to the Purchasing Department for record retention and invoice issuance, even when the amount due is zero.

9.16 Liability Insurance, COI & Indemnification

As part of the licensing process, Licensees must provide a valid Certificate of Insurance (COI) verifying that they maintain Comprehensive General Liability coverage of at least $1,000,000 throughout their use of the facilities. The policy must name UC Law San Francisco, its officers and employees, and the State of California as additional insured parties; provide at least thirty (30) days' advance notice of termination or policy changes; and issue from carriers rated A-10 or better by Best's and admitted by the California Insurance Commissioner.

Licensees agree to indemnify and hold harmless the College and its employees, officers, directors, representatives, and agents against all claims, damages, legal proceedings, losses, and costs (including attorneys' fees) arising from injury, death, or property damage that the Licensee or its affiliates cause, except to the extent that the willful misconduct or negligence of the College causes them. This coverage is primary and noncontributory with respect to the College's own insurance.

Any third-party vendor that a Licensee hires must also carry appropriate insurance coverage and must provide a Certificate of Insurance to the College upon request.

Self-insurance and alternative evidence of coverage

Many public entities do not carry commercial liability policies and cannot issue a standard Certificate of Insurance. The College accepts the following in place of a commercial COI, subject to review and acceptance by the ~~Chief Financial Officer~~CFO.

Type of Organization	Acceptable Evidence of Coverage
Another University of California campus, or a UC department, center, or auxiliary	Coverage under the UC systemwide self-insurance and risk financing programs. A memorandum of understanding, campus agreement, or Academic Partner agreement referencing that coverage is sufficient; no separate COI or additional-insured endorsement is required.
A California State University campus, or another public college or university	A letter of self-insurance on institutional letterhead, signed by the institution's risk manager or equivalent officer, confirming coverage at limits at least equal to those required above.
A federal, state, county, or municipal agency, including courts and public authorities	A letter of self-insurance or a certificate of coverage from the agency's risk management or self-insurance authority. Statutory immunities and self-insured retentions may substitute for commercial coverage where the agency cannot name additional insureds.
A private nonprofit or commercial organization	A commercial Certificate of Insurance meeting the requirements above.

A self-insurance letter must state the type of coverage, the applicable limits, the period of coverage, and that the coverage extends to the organization's use of College facilities and to the acts of its employees and agents.

Where law or institutional policy prevents a public entity from naming additional insureds or from providing thirty (30) days' notice of cancellation, the ~~Chief Financial Officer~~CFO may waive those specific requirements in writing. No waiver relieves the organization of the indemnification obligations in this Section.

Academic Partners

An Academic Partner operating under a current participation agreement satisfies this Section through the insurance provisions of that agreement, and need not furnish a separate COI or self-insurance letter for each Event falling within the agreement's scope. Events outside that scope are governed by the requirements above.

9.17 Events Hosted Exclusively by the College Community

An Event that College community members — students, staff, or faculty — host and manage exclusively requires neither a Facilities License Agreement nor a Certificate of Insurance.

9.18 Guest Categories & Insurance Treatment

The classification of an Event depends on who organizes it, not on who attends (Section 5.2). Attendance by a non-College guest does not, by itself, make an Event a Third-Party Event or trigger an insurance requirement. This Section applies that principle to the categories that arise most often.

Category	Status	FLA & Insurance Treatment
Current students, faculty, and staff	College community	None.
Emeriti faculty and College retirees	College community	None.
Alumni attending as individuals	Guests of the College	None, where a College Event Organizer hosts the Event. Individual alumni are not Third Parties.
An alumni association, class committee, or alumni chapter hosting its own Event	External organization	FLA and evidence of coverage required, unless a College Administrative Unit is the actual Event Organizer under Section 3.
Prospective students and their families at admitted-student events, tours, and open houses	Guests of the College	None. Admissions or another Administrative Unit is the Event Organizer.
Visiting scholars, researchers, and fellows holding a College appointment or formal invitation	College community for the duration of the appointment	None for Events hosted by a faculty member or Administrative Unit. The visitor's home institution is not a Licensee.
A visiting scholar's home institution hosting its own Event on Campus	External organization	FLA and evidence of coverage required.
Academic Partners under a current participation agreement	Contract partner	Governed by the participation agreement; no per-Event COI within its scope (Section 9.16).
Members of the public attending an open College Event	Guests of the College	None.
Vendors, caterers, contractors, and service providers	Third-party vendors	Own coverage and COI required under Section 9.16, regardless of who hosts the Event.
Any organization that conceives, controls, or primarily benefits from the Event	Third Party	FLA and evidence of coverage required (~~Sections 6.5, 9.15, and 9.16~~Sections 6.3, 9.15, and 9.16).

Where a category is unclear, request a determination from Special Events & Guest Services early in planning, under Section 6. Falsely characterizing an external organization's Event as an alumni, prospective-student, or visiting-scholar gathering in order to avoid the Facilities License Agreement or insurance requirements constitutes fronting under Section 4.

9.19 Outside Attendees & Registration Tables

For Events with more than thirty (30) external attendees or guests, the College requires a registration table in the lobby through which attendees will enter, to facilitate check-in, unless SEGS grants an exception. At least one representative of the Event Organizer must remain at the registration desk until all guests have arrived. For Events spanning multiple College buildings, Event Organizers must issue outside guests a clearly visible Event name tag or badge; lobby security uses this identification to recognize guests, which eliminates the need for guests to check in at each additional building.

9.20 Catering

The Purchasing Department maintains a list of approved caterers and provides it on request. To use a caterer not on the approved list, that caterer must first complete pre-qualification through the Purchasing Department — contact purchasing@uclawsf.edu to initiate the process. Pre-qualification confirms that a vendor holds proper licensing and insurance and can take up to twenty-one (21) business days, so submit your caterer's information well in advance of your Event.

1. The College strictly prohibits the use of open flames for cooking or warming food in all Campus locations. Caterers must use low-wattage, low-amp electric chafing heaters to keep food warm, and those heaters must carry a UL rating and receive College approval.
2. The College prohibits cooking of any kind in all Campus spaces, including the catering kitchen. Caterers must prepare and cook all food off Campus.
3. If your caterer only delivers food and beverages and provides no service during the Event, you need no pre-approval.
4. Where feasible, use reusable dishware to reduce the College's contribution to the landfill. Where that is infeasible, use environmentally friendly disposable cups, plates, bowls, and utensils clearly labeled "compostable."
5. Dispose of all Event waste in the correct stream — landfill, recycling, or green waste.

9.21 Catering Kitchen Reservations

To prevent double bookings, you may reserve the Catering Kitchen at 198 McAllister and the Catering Kitchenette at 333 Golden Gate Avenue only for the day of your Event. The College permits no advance bookings of these spaces.

1. The Event Organizer must ensure that all necessary equipment and supplies are ready for use on the scheduled day.
2. The Event Organizer must also ensure that staff thoroughly clean the kitchen after use.
3. These kitchens are not equipped for cooking. Prepare and cook all food off Campus.

9.22 198 McAllister Green Room & Robing Room

To prevent double bookings, the College designates the Green Room and the Robing Room exclusively for individuals and groups that have reserved the associated spaces.

1. The Green Room, on the lower level of 198 McAllister, serves individuals and groups that have reserved the Faigman Auditorium. Access to the Green Room requires no separate reservation.
2. The Robing Room, on the 1st floor of 198 McAllister behind the courtrooms, serves individuals and groups that have reserved the Baxter Courtroom. Access to the Robing Room requires no separate reservation.

9.23 College Communications

If your Event has the potential to draw press coverage, whether requested or not, alert the College's Communications Department so that the communications team, under the direction of the Chief Communications Officer, can prepare.

Publicizing, advertising & posting of Events

~~If your Event is directed toward the College community, publicize it in accordance with the Calendaring & Publicizing Events Guidelines that the Provost & Academic Dean's Office maintains.~~This subsection applies to College Events. If your College Event is directed toward the College community, publicize it in accordance with the Calendaring & Publicizing Events Guidelines that the Provost & Academic Dean's Office maintains. The College does not publicize or advertise Third-Party Events, and a Licensee may not state or imply that the College hosts, sponsors, or endorses its Event. Any physical posting or signage on Campus must also comply with the College's Bulletin Board Policy.

Sign stands for directional signage

Facilities supplies sign stands for directional signage, which you may use to display 8″×11″ or 11″×17″ signs guiding attendees to the Event Space. The host must position the stands. ~~Request sign stands through CourseDog resources,~~Request sign stands as a CourseDog resource, or by email to SEGS if you have no CourseDog access, and Facilities will deliver them directly to the reserved Event Space.

9.24 Noise Levels

Event Organizers must minimize noise during an Event, particularly when the Event takes place near other Campus activities such as classes. The College does not permit live bands or DJs in the Quad on weekdays during class sessions without prior approval.

9.25 Recordkeeping

Special Events & Guest Services maintains a record of confirmed breaches of this Policy, including no-shows, unauthorized use, fronting, late cancellations, failures to cancel, and late resource requests. Records are kept for one year from the date of the confirmed breach.

The College uses these records to identify recurring operational problems, to inform scheduling and resource decisions, and to support conversations with Event Organizers about repeated issues.

The College addresses confirmed breaches through its existing processes: ~~for employees, the same processes that apply to any other College policy;~~for students and employees, referral to the

appropriate office for discipline; for student organizations, the processes of the Office of Student Services; and for external organizations, the remedies in Section 4.3 together with the terms of the applicable Facilities License Agreement. This Policy does not establish a separate disciplinary process or schedule of sanctions.

Section 10: Event Prioritization & Conflict Resolution

The College's class and exam schedule takes priority over Events scheduled in classrooms and academic spaces.

10.1 Classrooms & Academic Spaces

Any space not designated as an Event Space under Section 8.2 counts as a classroom or academic space.

The College may relocate any Event scheduled in a classroom or academic space before it posts the academic schedule, moving that Event to an alternative space that meets its requirements. In more limited circumstances, the College may change the time or date, or cancel the Event, if no alternative location is available. Event reservations made after the College posts the academic calendar, or set to occur within thirty (30) days, are not subject to such changes except in exceptional circumstances.

Events located in classrooms and academic spaces — that is, in locations other than the designated Event Spaces — follow this priority order by Event type:

Priority	Event Type
1	College curricular needs, including classes, exams, and contracted Academic Partner Classes.
2	Co-curricular programming, such as law school Orientation, 1L Essentials, OASIS, Inns of Court, and Board of Directors meetings.
3	Faculty, Departmental, and Student Organization Events.
4	Academic Partner Classes or Events not included in contract terms.
5	Co-hosted, co-sponsored, and Third-Party Events.

10.2 Effect of a Facilities License Agreement

Once an FLA is in place, the Event date and location are fixed. The College will not reschedule the Event to accommodate another Event except as the FLA permits.

10.3 Conflict Resolution

This protocol applies whenever competing requests for Event Space arise. The College accords substantial weight to whoever was "first-in-time" in making the reservation. A higher-priority use of space may require relocating or otherwise revising an earlier reservation, based on a balancing of institutional needs under this protocol.

Informal resolution

The Provost & Academic Dean, SEGS, the Event Organizers, and any other involved individuals or entities work together to find a mutually agreeable resolution. If they cannot reach one, the College provides a formal resolution.

Formal resolution

The entities involved in the conflict determine who resolves it. The table below sets out the resolving authority for each type of conflict.

Type of Conflict	Resolved By
Among College academic or faculty programs	Provost & Academic Dean
Among student organizations	Dean of Students
Between student organizations and other elements of the academic program	Provost & Academic Dean
Among external groups, departments, and academic partners	Chief Operating Officer
Between the College academic program and external Events	Provost & Academic Dean and Chief Operating Officer
Not capable of resolution between the Provost & Academic Dean and the COO	Chancellor & Dean

Section 11: Approval Matrix

This Section consolidates the approvals required elsewhere in this Policy. Where a conflict appears between this matrix and the substantive text of a Section, the substantive text controls. The CFO stands in for the COO whenever the COO is unavailable.

11.1 Approvals & Exceptions

Request or Decision	Approver(s)	Notes
Space reservation (all types)	Special Events & Guest Services	Up to 5 business days; see Appendix A
Classification of a co-hosted or co-sponsored Event	SEGS in the first instance	Dispute → COO + Division Head; unresolved → Chancellor & Dean (§ 6.4)
Rate reduction (Reduced Rate Event)	Provost & Academic Dean and COO	Disagreement → Chancellor & Dean (§ 7)
Adjunct Professor or Overload Visitor hosting a Faculty Event	Provost & Academic Dean	Advance written permission (§ 3, n.1)
Retaining Event fee proceeds other than for the College's sole benefit	Provost & Academic Dean, Chancellor & Dean, or COO	§ 6.3, n.2
Reservation more than one year in advance	SEGS	events@uclawsf.edu (§ 9.1)
Cancelling or adjusting excessive or oversized bookings	SEGS, under direction of the COO	§ 9.1
Events attracting 1L students in the first two weeks of fall instruction	Dean of Students	Exceptions via Student Services (§ 9.3)
Events in non-Event Spaces during reading & finals	Provost & Academic Dean	§ 9.3
Events in Event Spaces during reading & finals	Provost & Academic Dean or COO	§ 9.3
Student Events during reading & finals	Dean of Students, in addition to the above	§ 9.3
Third-Party Events during reading & finals	COO or Provost & Academic Dean	§ 9.3
Reserved use of the 7th Floor Communal Area	COO, on referral from SEGS	2 weeks' notice (§ 8.3)
Furniture rearrangement, 7th Floor Communal Area	Director of Facilities Operations and Custodial Manager	§ 8.3

Request or Decision	Approver(s)	Notes
Rearranging Dining Commons furniture	Prior approval required	Law Cafe restrictions (§ 8.2, n.3)
Events outside designated building hours	Director of Safety & Security and SEGS	14 calendar days' notice; approval not guaranteed (§ 9.5)
Use of Event resources in a non-Event Space	Director of Events & Marketing, Director of Facilities, and/or COO or CIO	Depends on facilities vs. IT (§ 9.9)
Setup modification within the 5-business-day window	Director of Facilities, Director of Events & Marketing, and COO or CIO	§ 9.9
Late resource request (under 5 business days)	Director of Facilities Operations, Director of Events & Marketing, and COO	No direct contact with custodial staff (§ 9.9)
Additional Event security	Safety & Security team, under direction of the COO	Fees may apply (§ 9.14)
Facilities License Agreement	Hosting organization and the CFO, via DocuSign	SEGS drafts (§ 9.15)
Caterer not on the approved list	Purchasing Department pre-qualification	Up to 21 business days (§ 9.20)
Live bands or DJs in the Quad on class weekdays	Prior approval required	§ 9.24
Transfer or sub-licensing of a reservation	Prohibited — no approval available	Request a separate reservation (§ 9.2)
Barring an external organization for fronting	COO, in consultation with the Office of General Counsel	May be permanent (§ 4.3)
Major Event coordination	SEGS convenes; Director of Safety & Security participates	30 calendar days' notice; immediate if a trigger emerges later (§ 9.8)
Accepting a self-insurance letter in place of a COI	~~Chief Financial Officer~~CFO	§ 9.16
Waiving additional-insured or cancellation-notice requirements for a public entity	~~Chief Financial Officer~~CFO, in writing	Indemnification obligations remain (§ 9.16)
Central funding of disability accommodation costs	COO or Provost & Academic Dean	Advance approval; otherwise borne by the organizer (§ 9.13)

Appendix A: Reservation Procedures

This Appendix states the procedures for requesting space. It is procedural guidance and does not alter the rules in ~~Sections 1 through 12.~~Sections 1 through 11.

A.1 Who Requests Space, and How

Requester	Method
College Event Organizers	Submit the request directly through CourseDog, logging in with uclawsf.edu email credentials.
Third Parties and Academic Village Partners	Email Special Events & Guest Services at events@uclawsf.edu.

The Event classification determines reservation priority (see Section 10) ~~and determines which CourseDog form the organizer uses.~~and, for College Event Organizers, determines which CourseDog form the organizer uses. Third Parties and Academic Village Partners have no CourseDog access: they submit every request, change, and cancellation by email to SEGS at events@uclawsf.edu, and SEGS enters and maintains the reservation on their behalf. All requests undergo review and approval. The College does not approve requests automatically upon submission.

A.2 Reservation Checklist: Minimum Information Required

The College cannot confirm a reservation until it receives all of the following. Gather these items before logging in to CourseDog or emailing SEGS.

	Required Information	What to Provide
☐	Event Organizer	The responsible employee or student, plus the faculty member, Administrative Unit, or student organization hosting the Event.
☐	Event Name	A title the College community can reasonably understand — not an acronym — that conveys the basic purpose of the Event.
☐	Event Description	Enough detail for any reader of the master calendar to understand the Event: the Event Organizer, the purpose, the location, the target audience, and the names of any guest speakers.
☐	Expected Head Count	An estimate of attendance.
☐	Outside Speakers & VIP Guests	Whether the Event includes either, plus the full names and roles of all such guests, in advance of the Event. VIP Guests are non-College-affiliated individuals who require special accommodations, dedicated access, heightened coordination, or elevated attention — including keynote speakers, panelists, dignitaries, elected officials, executives, donors, and other individuals of distinction.
☐	Ticketing & Charges	Whether the Event will issue tickets to participants or ask them to pay fees.
☐	Catering	Whether an outside caterer will serve the Event (see Section 9.20).

	Required Information	What to Provide
☐	Alcohol	Whether the Event will include alcohol and, if so, how it will comply with the College's alcohol policy.
☐	Audio/Visual Setup	Your AV setup needs (see Section 9.12).
☐	Furniture Setup	Your furniture setup needs (see Section 9.9).

A.3 Confirmation Timeline

Special Events & Guest Services reviews all space reservation requests. The department responsible for coordinating a given resource reviews the corresponding resource request. The full approval process for both Event Space reservations and resource requests may take up to five (5) business days.

Appendix B: Event Resource Directory & Staff Schedules

B.1 Event Resource Directory

Department	Inquiry Type	Contact
Special Events & Guest Services	Space reservations, Facilities License Agreements, event coordination	events@uclawsf.edu
IT Helpdesk	Audio/visual support	ITServices@uclawsf.edu
Communications	Advertising, publicity, press coverage	communications@uclawsf.edu
Safety & Security	Security staffing, after-hours building access	Noah Skinner — skinnernoah@uclawsf.edu
Facilities	Room setup, event resources, sign stands	facilities@uclawsf.edu
Facilities — Work Orders	Building issues and repairs	Create a work order in Asset Essentials
Purchasing	Approved caterers, caterer pre-qualification, executed FLA retention	purchasing@uclawsf.edu
Student Services	1L event exceptions, student organization recognition	studentservices@uclawsf.edu

B.2 Staff Schedules

Team	Days	Hours
Custodial — Day Shift	Monday–Friday	7:00 a.m. – 3:00 p.m.
Custodial — Night Shift	Monday–Friday	4:00 p.m. – 11:00 p.m.
Custodial — Weekend	Saturday	8:00 a.m. – 4:00 p.m.
Engineers	Monday–Friday	7:00 a.m. – 10:00 p.m.
Engineers	Saturday–Sunday	7:00 a.m. – 3:00 p.m.
AV Tech	Monday–Friday	8:30 a.m. – 5:00 p.m.

NETWORK SECURITY POLICY

UC Law San Francisco

Information Security Policy Suite Supporting Policy: Network Security

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Field	Value
Document Owner	Ben Huffman, CIO – UC Law San Francisco
Approved By	
Effective Date	
Last Reviewed	
Next Scheduled Review	[Effective Date + 12 months]
Version	1.0 (Initial Policy)

Purpose

This Network Security Policy establishes minimum requirements and responsibilities for protecting UC Law San Francisco's network infrastructure, connected systems, and information traversing the School's network environment. This Policy supports and implements the network security objectives established by the School's Information Security Policy.

The School has invested in centralized and automated security technologies to strengthen network visibility, policy enforcement, threat detection, and response capabilities. Key components of the current network security architecture include:

- Cisco Meraki — provides centralized management and visibility for supported switching, wireless, SD-WAN, and other network services, enabling consistent configuration and policy enforcement across the School's network environment.
- Darktrace — provides network detection and response capabilities through behavioral analysis of network activity, supplementing preventive controls by identifying potentially anomalous or malicious activity and supporting investigation and, where authorized, automated containment.

These technologies operate as components of the School's defense-in-depth security architecture and do not replace appropriate human oversight, identity controls, endpoint security, vulnerability management, firewall controls, logging, or incident response.

2. Scope

This Policy applies to:

- All network infrastructure owned, operated, or managed by or on behalf of the School, including wired and wireless networks, firewalls, VPN gateways, switches, access points, and cloud-managed network services (collectively, the "Network").
- All devices connected to the Network, whether School-owned or personally owned (faculty, staff, students, clinics, research centers, and guests).
- All faculty, staff, students, contractors, vendors, and third parties who connect to, configure, or administer the Network.
- All locations, including main campus buildings, law clinics, remote/satellite offices, and remote work connections into School systems.

This Policy does not by itself govern acceptable use of School systems, data classification, or end-user account/password standards — those are addressed in companion policies referenced in Section 3 and Appendix A, and should be read alongside this document.

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3. Policy Objectives

- Protect the confidentiality, integrity, and availability of student, faculty, staff, and institutional data traversing or stored on the Network.
- Maintain a defensible, auditable security posture that satisfies the School's regulatory and accreditation obligations (Section 4).
- Use centralized, automated, and risk-appropriate security controls where they improve consistency, visibility, scalability, and response efficiency while maintaining appropriate human oversight.
- Establish clear, enforceable accountability for network configuration, monitoring, and incident response.
- Provide a stable foundation onto which additional policies, controls, and maturity can be layered over time.

4. Regulatory and Standards Alignment

As an ABA-accredited law school, the School is subject to several overlapping compliance and accreditation drivers relevant to network security. This Policy is designed to support — not by itself fully satisfy — the following:

Framework / Requirement	Relevance to This Policy
FERPA (Family Educational Rights and Privacy Act)	Requires the School to use reasonable methods to control access to protected education records and to appropriately identify and authenticate parties receiving protected information. Network access and segmentation controls support these requirements.
GLBA Safeguards Rule (16 CFR Part 314)	Applies to institutions administering federal financial aid. Requires a written information security program, including access controls, network monitoring, encryption, and incident response — this Policy addresses the network-layer component of that program.
ABA Standards for Approval of Law Schools	Requires institutions to maintain adequate information resources and safeguard the integrity of academic and institutional records and systems.
NIST Cybersecurity Framework (CSF) 2.0	Used as the organizing model for this Policy's control structure — Identify, Protect, Detect, Respond, Govern, Recover — so maturity can be measured and reported consistently over time.

5. Roles and Responsibilities

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Role	Responsibility
Executive Sponsor (Dean / CIO)	Owns institutional accountability for this Policy; approves the Policy and material exceptions; ensures the IT Function is adequately resourced.
IT Function (UC Law IT / MSP / vCISO)	Owns day-to-day administration of Meraki and Darktrace; configures and maintains network controls described in this Policy; triages alerts; leads incident response; reports status to the Executive Sponsor.
Faculty, Staff, and Students	Comply with network access and connection requirements in this Policy and related Acceptable Use Policy; report suspected security incidents promptly.
Third-Party Vendors / Contractors	Comply with the access, monitoring, and offboarding requirements in Section 13 when granted Network access.

6. Network Architecture and Segmentation

The School's Network shall be logically segmented using Cisco VLANs and Palo Alto firewall rules to limit the blast radius of any single compromised device or account. At minimum, the following segments shall be maintained:

- Administrative / Institutional Systems — finance, HR, registrar, financial aid, and other systems handling FERPA- or GLBA-regulated data.
- Faculty and Staff — general productivity and academic work.
- Student — student-owned devices and student-facing academic systems.
- Guest / Public — captive-portal internet-only access, fully isolated from all internal segments.
- Clinics / Sensitive Research (if applicable) — isolated segment for law clinics or research handling privileged, confidential, or client matter data.
- IoT / Building Systems — printers, badge readers, cameras, AV, and other non-user devices, isolated from data-bearing segments.

Inter-VLAN traffic shall be default-deny, with exceptions explicitly permitted through documented Palo Alto firewall rules. Segmentation shall be reviewed at least annually and whenever a new system or building is added.

7. Perimeter and Firewall Security

- All internet-facing traffic shall traverse the security appliance configured with intrusion detection/prevention (IDS/IPS) enabled in prevention mode.
- Default-deny inbound firewall posture; inbound rules limited to explicitly justified, documented exceptions with an assigned business owner.

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- Outbound content filtering shall block known-malicious, high-risk, and policy-prohibited categories.
- Firmware on all Meraki security appliances shall be kept current on the stable release channel, with upgrades scheduled during defined maintenance windows.
- Firewall rule sets shall be reviewed at least every six months to remove stale or overly permissive rules.

8. Wireless Network Security

- Institutional SSIDs (Administrative, Faculty/Staff, Student) shall use WPA2-Enterprise or WPA3-Enterprise with authentication against the School's identity directory — no shared/static wireless passphrases for institutional SSIDs.
- A separate Guest SSID shall be provided using an isolated VLAN, client isolation enabled, and a captive portal with acceptable-use acknowledgment.
- Rogue access point detection (via Meraki Air Marshal or equivalent) shall be enabled, with automated containment of unauthorized broadcast SSIDs impersonating School networks.
- Wireless coverage and access point placement shall be reviewed when new spaces are added or upon persistent reported connectivity issues.

9. Network Access Control and Authentication

- Multi-factor authentication (MFA) is required for all administrative access to network infrastructure, including the Meraki dashboard and Darktrace console.
- Administrative access to Meraki and Darktrace shall be limited to named individual accounts (no shared logins) and follow least-privilege role assignment.
- Network access for faculty, staff, and students shall be tied to identity lifecycle events — access shall be provisioned upon onboarding and deprovisioned no later than the effective date of separation.
- Where feasible, device posture (e.g., managed vs. unmanaged, OS patch level) shall inform network access decisions for sensitive segments.

10. Remote Access and VPN

- Remote access to internal, non-public School systems shall occur only through the Meraki client VPN or an approved equivalent, with MFA enforced.
- Split-tunneling shall be disabled by default for connections accessing Administrative/Institutional systems.
- VPN access logs shall be retained per Section 12 and reviewed as part of routine monitoring.
- Remote access accounts inactive for 90 days shall be automatically disabled pending re-verification.

11. Continuous Monitoring and Threat Detection

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Darktrace shall serve as a primary component of the School's network detection and response architecture, providing continuous behavioral visibility intended to supplement firewall, identity, endpoint, email, vulnerability management, and other security controls.

- Darktrace coverage shall be designed to provide risk appropriate visibility across internal network segments, critical network paths, and supported cloud or identity environments where technically and contractually available.
- The School shall periodically assess Darktrace coverage, sensor health, asset identification, alert quality, and integration effectiveness to confirm that the platform continues to provide the intended security value.
- High priority alerts shall be routed through channels capable of notifying responsible personnel without requiring continuous dashboard monitoring.
- Alert configurations and detection models shall be periodically tuned to improve the signal-to-noise ratio, reduce repetitive or low value alerts, and increase the identification of actionable security events.
- Where Darktrace Autonomous Response is enabled, automated containment capabilities shall operate according to documented, pre-authorized use cases and risk criteria. Actions affecting critical services shall include appropriate safeguards and after action review.
- Relevant Meraki, firewall, authentication, and endpoint data shall be centrally retained and, where beneficial, integrated with Darktrace or other analysis platforms to improve investigative context.

12. Vulnerability and Patch Management

- Network infrastructure firmware and the Darktrace platform shall be kept on vendor-supported, current versions.
- Critical/high-severity vulnerabilities affecting network infrastructure shall be remediated or mitigated within a risk-based timeframe not to exceed 30 days from vendor disclosure, or sooner if actively exploited.
- A lightweight quarterly review of connected device inventory (via the Meraki dashboard's client list) shall be performed to identify unsupported or high-risk devices on institutional segments.

13. Logging, Monitoring, and Audit Records

- Cisco and Palo Alto event logs, firewall logs, VPN authentication logs, and Darktrace model breach logs shall be retained for a minimum of 12 months, consistent with common regulatory expectations for institutions handling FERPA/GLBA-regulated data.
- Administrative changes to firewall rules, VLAN configuration, and wireless authentication settings shall be logged and attributable to an individual account.

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- Logs shall be protected from unauthorized modification or deletion and shall be reviewable by the Executive Sponsor or an independent auditor on request.

14. Incident Response

This section summarizes network-layer incident response expectations; a full Incident Response Plan is expected as a companion document.

- Any Darktrace critical-severity alert, or any suspected unauthorized network access, shall trigger an incident response process within a defined response window appropriate to the School's risk tolerance (e.g., initial triage within 4 business hours).
- The IT Function shall have pre-authorized ability to use Cisco tools (device/port/client blocking) and Darktrace Antigena (traffic restriction) to contain an active threat without waiting for additional approval, given limited after-hours staffing.
- Incidents involving actual or suspected exposure of student education records or financial aid data shall be escalated immediately to the Executive Sponsor for FERPA/GLBA breach-notification assessment.
- A brief post-incident review shall be completed for any significant incident, documenting cause, response, and any resulting control changes.

15. Change Management

- Material changes to network architecture, firewall policy, VLAN segmentation, or wireless authentication shall be documented before implementation, including the change, rationale, and rollback plan.
- Emergency changes made to contain an active security incident are exempt from advance documentation but shall be documented retroactively within 48 hours.
- Given limited staff, a lightweight change log (e.g., a shared ticket or change record) is sufficient — the goal is traceability, not process overhead.

16. Third-Party and Vendor Network Access

- Vendors and contractors requiring network access (e.g., MSP, Cisco/Darktrace support, building systems integrators) shall be granted access only to the specific segment(s) required for their function.
- Vendor administrative portal access to Cisco or Palo Alto, or Darktrace shall use named accounts, MFA, and time-bound or regularly reviewed permissions — not standing unrestricted access.
- Vendor network access shall be reviewed at least annually and revoked promptly at the end of an engagement.

17. Physical Network Security

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- Network closets, switches, and firewall appliances shall be located in locked, access-controlled spaces.
- Unused physical network ports in public or semi-public areas shall be disabled at the switch or placed on the isolated Guest/IoT VLAN.

18. Policy Exceptions

Any exception to this Policy must be requested in writing, include business justification and compensating controls, and be approved by the Executive Sponsor. Exceptions shall be time-bound, logged, and reviewed at each Policy review cycle.

19. Policy Review and Maintenance

This Policy shall be reviewed at least annually, and additionally upon: a significant change to network architecture; a significant security incident; onboarding or replacement of a core security platform (e.g., Meraki, Darktrace); or a material change in applicable regulatory requirements.

20. Enforcement

Violations of this Policy by faculty, staff, students, or contractors may result in disciplinary action up to and including termination of employment, dismissal, or termination of a vendor/contractor relationship, in accordance with the School's applicable human resources, student conduct, and procurement policies.

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21. Appendix A — Definitions and Related Policies

Term	Definition
Network	All wired, wireless, and VPN infrastructure and connected systems owned or managed by the School.
NDR (Network Detection and Response)	A class of security tool (e.g., Darktrace) that monitors network traffic to detect anomalous or malicious behavior.
Antigena	Darktrace's autonomous response capability, able to take proportionate action to contain a detected threat.
MX Appliance	Cisco Meraki's security/SD-WAN appliance product line, used as the School's firewall/perimeter device.
VLAN	Virtual Local Area Network; used to logically segment network traffic.
Palo Alto firewall	On premise infrastructure to manage the external and internal perimeter
Related Policies (to be developed)	Acceptable Use Policy; Data Classification and Handling Policy; Incident Response Plan; Vendor Risk Management Policy; Identity and Access Management Policy.

22. Appendix B — Approval

Name	Title	Signature	Date
	Executive Sponsor		
	IT Function Lead		

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SOFTWARE LICENSING POLICY

UC Law San Francisco

Policy Owner	Ben Huffman – CIO – UC Law San Francisco
Effective Date	[Insert Date]
Last Reviewed	[Insert Date]
Review Cycle	Annual
Applies To	All faculty, staff, students, contractors, and affiliates using law College-owned or law College-managed computing resources
Related Policies	Multi-Factor Authentication (MFA) Policy; Acceptable Use Policy; Data Classification Policy

1. Purpose

This policy establishes the UC Law San Francisco requirements for acquiring, installing, using, tracking, and retiring software and cloud-based (SaaS) applications. It is designed to protect the institution from legal, financial, and security risks associated with unlicensed or improperly managed software, while remaining practical to administer given the College's limited IT staffing.

This policy builds on the access-control foundation established by the College's recently implemented Multi-Factor Authentication (MFA) Policy. Where MFA governs who can reach institutional systems, this policy governs what software may run on those systems and how the College stays in compliance with the terms under which it obtained that software.

2. Scope

This policy applies to:

- All software, applications, browser extensions, and cloud/SaaS subscriptions installed on or accessed through law College-owned devices, law College-managed accounts, or law College network and cloud infrastructure.
- All faculty, staff, student employees, work-study students, contractors, vendors, and affiliates who install, request, or use software on behalf of the law College.
- Free, open-source, and “no-cost” software, which carries license terms and compliance obligations even without a purchase.
- Personally owned devices only to the extent they connect to law College systems containing institutional data (see the MFA Policy and Acceptable Use Policy for related device requirements).

3. Policy Statement

UC Law SF will maintain a current, accurate record of all software and SaaS applications in use, ensure all software is acquired and used in compliance with its license terms, and require review and approval before introducing new software into the environment. No individual may install, download, or subscribe to software on a law College-owned device or account, or use software to process institutional or student data, without following the approval process in Section 5.

Unlicensed, pirated, or unauthorized software use exposes the law College to legal liability, audit penalties, and security risk, and is prohibited under this policy and the College's Acceptable Use Policy.

4. Roles – Sized for a Small IT Team

Because UC Law SF does not have a dedicated software asset management function, this policy assigns a small number of clearly defined roles rather than a large committee structure. The goal is one accountable owner, one lightweight approval checkpoint, and shared awareness — not new headcount.

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4.1 Software Licensing Owner

A single designated individual (typically the Director of IT Operations or vCISO/vCTO advisor) holds overall accountability for this policy, with the CIO providing ultimate oversight. Responsibilities:

- Maintains the software inventory (Section 6).
- Reviews and approves or denies new software requests (Section 5).
- Tracks license renewals, seat counts, and expirations.
- Serves as the point of contact for vendor audits or compliance inquiries.
- Reports policy status to College leadership annually or upon request.

4.2 Requesters (Faculty, Staff, Departments)

Anyone who wants to acquire or install software submits a request rather than installing it directly. Requesters must identify the business need, the data the software will touch, and the funding source.

4.3 Budget / Business Office

Confirms funding and processes purchase orders or subscription payments only after the Software Licensing Owner has approved a request, ensuring purchasing and compliance stay linked.

5. Software Acquisition and Approval Process

To keep the process usable with limited staff, this is intentionally a short workflow rather than a formal change-advisory board:

1. Request. The requester submits a brief request (a short form, ticket, or email is sufficient) describing the software, intended use, users, and whether it will handle student, employee, financial, or other sensitive data.
2. Risk and compliance screen. IT Services checks the request against applicable obligations — FERPA (student education records), GLBA (financial data), ABA Standards, and the College's NIST CSF-aligned security practices — and confirms the vendor supports MFA and single sign-on where available, consistent with the MFA Policy.
3. License review. The Owner confirms the license type (per-seat, per-device, site license, subscription, open source) and the terms of use, redistribution, and renewal.
4. Approval and purchase. Once approved, the request is passed to the Budget/Business Office for purchase. Software is not installed or provisioned before approval.
5. Inventory entry. Every approved acquisition is logged in the software inventory (Section 6) before or immediately upon deployment.

Emergency exceptions (e.g., a faculty member needs software for a class starting the next day) may be approved verbally by the Software Licensing Owner, but must be logged in the inventory within five business days.

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6. License Inventory and Tracking

The law College will maintain a single, centralized software inventory — a spreadsheet or lightweight IT asset management tool is sufficient and preferred over a complex system, given available staff time. At minimum, the inventory tracks:

Field	Purpose
Software/vendor name	Identifies the product and publisher
License type	Per-seat, site license, subscription (SaaS), freeware, open source
Number of licenses/seats owned	Basis for compliance checks against actual installs or active users
Number of licenses in use	Compared against seats owned to catch over-deployment
Purchase/renewal date and cost	Supports budgeting and renewal planning
Renewal or expiration date	Triggers timely renewal or decommission decisions
Data sensitivity	Flags whether the software touches student, financial, or other regulated data
MFA / SSO support	Confirms alignment with the MFA Policy
Business owner/department	Identifies who requested and relies on the software

The Software Licensing Owner reviews the inventory at least twice per year to identify unused licenses that can be reclaimed, approaching renewals, and any software in use that never went through the approval process (Section 5).

7. Open Source and Free Software

Open source and “free” software still carries license terms (e.g., GPL, MIT, Apache) that govern permitted use, modification, and redistribution, and still must go through the request process in Section 5. Faculty and staff may not assume that “no cost” means “no approval required.” The Software Licensing Owner will confirm that the specific license terms are compatible with institutional use before approval.

8. Cloud and SaaS Applications (“Shadow IT”)

Web-based and cloud subscription software is the most common source of unmanaged software risk because users can sign up with a credit card and no local installation. This policy treats SaaS sign-ups the same as installed software: they require approval before use, particularly where institutional email addresses, student data, or payment information will be entered.

- Free trials for evaluation purposes may be started without full approval but must not be used to process real student, employee, or financial data, and must be reported to the Software Licensing Owner if the department intends to continue using the tool.

- Departmental credit cards or reimbursement requests for software subscriptions require prior confirmation from the Software Licensing Owner that the request has been logged.

9. Renewal, Reclamation, and Decommissioning

To avoid both lapsed licenses (compliance risk) and unused paid licenses (wasted cost), the Software Licensing Owner will:

- Review upcoming renewals at least 60 days before expiration.
- Reassign or cancel licenses for departed employees or students as part of the offboarding checklist.
- Remove or downgrade software that is no longer in active use, based on the inventory review in Section 6.
- Securely remove institutional data from any decommissioned SaaS application before cancellation.

10. Security and Regulatory Alignment

This policy operates alongside the College's existing security controls, not as a standalone requirement. In particular:

- Software handling institutional accounts must support MFA, consistent with the College's MFA Policy and implementation.
- Software handling student education records is evaluated for FERPA compliance before approval.
- Software handling financial data is evaluated for GLBA Safeguards Rule compliance before approval.
- Approval decisions and inventory practices are intended to support the College's broader alignment with NIST CSF 2.0 and applicable ABA Standards for law College technology governance.

11. Prohibited Activities

- Installing or using unlicensed, pirated, or counterfeit software on law College devices or accounts.
- Exceeding the number of licensed seats or installations permitted under a license agreement.
- Sharing individually licensed software credentials or installation media beyond what the license permits.
- Signing up for SaaS tools using institutional data or email addresses without following Section 5.
- Circumventing licensing controls, such as using unauthorized product keys or license-cracking tools.

12. Exceptions

Any exception to this policy must be requested in writing to the Software Licensing Owner, who will document the business justification, the associated risk, and any compensating controls. Exceptions are time-limited and subject to review at the next inventory cycle.

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13. Enforcement

Violations of this policy may result in removal of the unauthorized software, restriction of system access, and disciplinary action consistent with the College's employee and student conduct policies. Departments that repeatedly bypass the approval process may be required to route all technology purchases through the Software Licensing Owner.

14. Policy Review

This policy will be reviewed at least annually by the Software Licensing Owner, or sooner if there is a significant change in the College's technology environment, licensing obligations, or applicable regulations. Given current staffing levels, this review may be conducted as part of the College's broader annual IT policy review rather than as a separate exercise.

15. Definitions

Term	Definition
License	The legal agreement granting rights to install, access, or use software, including any limits on the number of users, devices, or duration.
SaaS (Software as a Service)	Cloud-hosted software accessed via subscription rather than installed locally.
Shadow IT	Software or cloud services acquired or used without going through the approval process in this policy.
Seat	A single license unit, typically tied to one user or one device, depending on the license type.

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INFORMATION SECURITY POLICY

UC Law San Francisco

Effective Date: [DATE] Version 1.0 Classification: Internal

Policy Owner: Ben Huffman – CIO UC Law San Francisco

Approved By:

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1. Purpose

This Information Security Policy (“Policy”) establishes the governance framework by which UC Law San Francisco (the “College”) protects the confidentiality, integrity, and availability of its information assets, including student, applicant, employee, alumni, and institutional data. It is designed to be practical for a lean administrative team to make maximum use of automated tooling and to satisfy the College's obligations as an ABA-accredited law College handling financial aid data, student education records, and confidential legal and institutional information.

The Policy translates the College's obligations under the Gramm-Leach-Bliley Act (GLBA) Safeguards Rule, the Family Educational Rights and Privacy Act (FERPA), American Bar Association (ABA) Standards for law College accreditation, and the NIST Cybersecurity Framework (CSF) 2.0 into a set of controls the College can realistically operate and sustain.

2. Scope

This Policy applies to:

- All faculty, administrators, staff, student workers, contractors, vendors, and volunteers who access College information systems (“Workforce Members”).
- All information assets owned, leased, or operated by the College, including on-premises systems, cloud services (SaaS/IaaS), and College-managed endpoints.
- All data created, received, maintained, or transmitted by the College, regardless of format or location, including data held by third-party service providers on the College's behalf.
- Personally owned devices (“BYOD”) to the extent they access College data or systems.

This Policy does not apply to the personal, non-College use of personally owned devices that never connect to College systems or data.

3. Governance and Roles — A Lean Operating Model

This Policy is deliberately structured around a small number of clearly accountable roles, supported by outsourced expertise and automated monitoring, rather than a large internal security function. Appendix A provides detailed responsibilities.

3.1 Executive Sponsor

The Dean (or designee, e.g., Chief Information Officer) is the executive sponsor of this Policy, approves exceptions with material risk or cost impact, and ensures the security program is resourced.

3.2 Information Security Lead (ISL) / vCISO

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The ISL is responsible for maintaining the information security program, overseeing the effectiveness of security monitoring and response capabilities (including the College's Darktrace deployment), coordinating incident response activities, and reporting the College's cybersecurity risk posture to appropriate leadership.

The ISL shall periodically assess whether Darktrace and other security technologies are providing the intended security coverage, actionable threat detection, integration, and operational value.

3.3 IT Administrator(s)

IT administrators and authorized service providers (T5 Solutions Technology Services) implement and operate technical security controls, administer systems and accounts, perform patching and remediation activities, and support incident detection and response under College oversight

3.4 Data Owners

Department heads (e.g., Registrar, Finance, Admissions, Career Services, Library, HR) are Data Owners for the information their functions create or maintain. Data Owners classify their data per Section 6 and approve access requests within their area.

3.5 All Workforce Members

The College supplements human security operations with automated monitoring and response technologies. Darktrace provides continuous behavioral monitoring across covered network and email environments and is intended to identify potentially malicious or anomalous activity that traditional preventive controls alone may not detect. Where approved and appropriately configured, automated response capabilities may contain threats pending human review.

4. Regulatory and Framework Alignment

This Policy is built to satisfy the following obligations relevant to a law College:

Requirement	Why It Applies	Primary Policy Sections
GLBA Safeguards Rule	The College participates in Title IV federal student financial aid and handles nonpublic financial information of students, making it a "financial institution" under GLBA.	Risk Assessment (5), Access Control (7), Vendor Management (10), Incident Response (12)
FERPA	Student education records (grades, disciplinary records, financial aid files, advising notes) are protected education records.	Data Classification (6), Access Control (7), Third-Party Management (10)
ABA Standards	Accreditation requires the College to safeguard institutional records and demonstrate sound administrative operations, including protection of data supporting reporting to the ABA.	Governance (3), Business Continuity (15), Policy Review (17)

Requirement	Why It Applies	Primary Policy Sections
NIST CSF 2.0	Provides the underlying structure for this Policy: Govern, Identify, Protect, Detect, Respond, Recover.	Entire Policy; see Appendix A for function mapping
State breach notification laws / attorney-client confidentiality expectations	The College holds confidential legal, clinic, and pro bono client data with heightened confidentiality expectations.	Data Classification (6), Incident Response (12)

This Policy is organized around the NIST CSF 2.0 functions—Govern, Identify, Protect, Detect, Respond, and Recover—so the College's limited resources can be mapped directly to a recognized framework for audits, cyber insurance applications, and ABA site evaluations.

5. Risk Assessment and Risk Management (Identify)

The ISL shall lead an annual information security risk assessment, and shall update it following any material change to the College's systems (e.g., a new SaaS platform, a new campus location, or a significant vendor change). The risk assessment shall, at minimum:

1. Inventory information systems, applications, and data repositories (including cloud/SaaS), maintained in an IT Asset Inventory.
2. Identify and classify data per Section 6.
3. Identify reasonably foreseeable internal and external threats, including those specific to higher education and legal services (e.g., phishing targeting financial aid disbursement, business email compromise, ransomware, insider misuse of student records).
4. Assess the sufficiency of existing controls and assign a risk rating (Low/Medium/High/Critical) to identified gaps.
5. Produce a prioritized remediation plan with owners and target dates, reviewed quarterly by the ISL and reported to the Dean/COO.

Risk treatment decisions must consider effectiveness, sustainability, business impact, cost, staffing requirements, and residual risk.

6. Data Classification and Handling (Identify / Protect)

All College data must be classified into one of the following tiers. Data Owners are responsible for classifying data within their function; when in doubt, the higher classification applies.

Tier	Examples	Minimum Handling Requirements
Restricted	SSNs, financial account/routing numbers, financial aid records, health information, attorney-client clinic files, login credentials	Encrypt at rest and in transit; MFA required for access; access limited to named roles; no storage on personal devices or unsanctioned cloud storage

Tier	Examples	Minimum Handling Requirements
Confidential	Grades, disciplinary records, admissions files, HR records, contracts, board materials	Access limited to those with a legitimate need; MFA required; shared only via approved, College-managed platforms
Internal	Internal memos, non-public policies, operational reports	Not for public release; standard account access controls apply
Public	Course catalogs, published directory information, marketing materials	No special handling required

Restricted and Confidential data may not be transmitted via unencrypted email, stored on personal cloud drives, or printed and left in unsecured areas. Data Owners must review access lists to Restricted data at least semi-annually. Restricted data must not be submitted to publicly available or unapproved generative artificial intelligence services.

7. Access Control and Identity Management (Protect)

7.1 Principle of Least Privilege

Access to College systems and data is granted based on job function and reviewed at least annually by the relevant Data Owner. Access to Restricted data requires written (email is acceptable) approval from the Data Owner and is logged.

7.2 Authentication

- Multi-factor authentication (MFA) is required for all remote access, all email accounts, all administrative/privileged accounts, and all access to Restricted or Confidential data.
- Passwords must meet a minimum of 16 characters
- Default and vendor-supplied credentials must be changed prior to any system going into production.

7.3 Account Lifecycle

- New accounts are provisioned only after HR or the Registrar confirms an active employment/enrollment relationship.
- Accounts must be disabled within two business days of the final day of employment, and deleted or archived per the retention schedule within 30 days.
- Privileged/administrative accounts are limited to IT Administrator(s) and the ISL, are separate from those individuals' standard user accounts, and are reviewed quarterly.

7.4 Remote Access and BYOD *(Under consideration)*

Remote access to College systems requires MFA and, where Restricted data is involved, a College-managed device or a College-approved virtual desktop/VPN solution. Personally owned devices accessing College email or

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documents must have a passcode/biometric lock and remote-wipe capability enabled via mobile device management (MDM), where technically feasible given current staffing.

8. Acceptable Use of Information Resources (Protect) *(Separate policy under development)*

Workforce Members must use College information resources primarily for legitimate College business. Incidental personal use is permitted if it does not interfere with duties, consume disproportionate resources, or violate this Policy or other College policies.

Prohibited activities include:

- Sharing passwords or credentials, or accessing accounts/data without authorization.
- Installing unauthorized software, disabling security agents (including the Darktrace network sensor, antivirus/EDR, or MFA), or attempting to bypass security controls.
- Transmitting Restricted or Confidential data to personal email accounts or unsanctioned cloud storage (e.g., personal Dropbox/Google Drive).
- Connecting unauthorized devices to the College's internal network, including personal routers, wireless access points, or IoT devices, without IT approval.
- Using College systems to violate copyright, harass others, or engage in unlawful activity.

Workforce Members should have no expectation of privacy in their use of College systems, subject to applicable law; the College reserves the right to monitor system usage, consistent with this Policy and applicable privacy obligations.

9. Network Security and Continuous Monitoring — Darktrace (Detect)

Given the College's limited security staffing, continuous automated monitoring is a cornerstone of this Policy rather than a supplemental control. The College deploys Darktrace as its primary network and email detection platform, using self-learning artificial intelligence to establish a behavioral baseline (a “pattern of life”) for users, devices, and network traffic, and to surface anomalies that indicate compromise, insider misuse, or emerging threats.

9.1 Deployment Principles

- Darktrace sensors/probes and, where licensed, Darktrace/Email shall be deployed to cover all College network segments, key cloud services (e.g., Microsoft 365/Google Workspace), and email flow, to the extent technically and contractually feasible.
- The IT Administrator(s), with vCISO oversight, are responsible for the initial tuning (learning) period, integration with existing firewalls/switches, and ongoing health checks of Darktrace sensors.
- Darktrace should be integrated with existing identity, email, and endpoint tools where possible to maximize visibility with minimal added administrative burden.

9.2 Alerting and Autonomous Response

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- Darktrace alerts are triaged by severity. The IT Administrator or ISL must review High and Critical severity model breaches within the timeframes in Appendix B.
- Where the College enables Darktrace's Autonomous Response (Antigena) capability, it is configured to take proportionate, automatic containment actions (e.g., blocking a connection or enforcing a device's normal pattern of life) for high-confidence, high-severity threats, particularly outside business hours when staff are unavailable to respond manually. Autonomous Response actions must be reviewed and confirmed or reversed by IT staff on the next business day at the latest.
- The ISL must review Darktrace alert configuration, including autonomous response scope, at least quarterly to reduce alert fatigue and confirm coverage remains aligned with the current environment.

9.3 Complementary Network Controls

- Network segmentation should separate administrative/financial systems, student/faculty general use, guest/campus Wi-Fi, and any point-of-sale or building systems.
- Firewalls, DNS filtering, and email security (anti-phishing, attachment sandboxing) remain in place as complementary layers to Darktrace's detection capability; Darktrace is a detection and response layer, not a replacement for baseline preventive controls.
- Vulnerability scanning of externally facing systems should occur at least quarterly, with critical vulnerabilities remediated within 15 days and high vulnerabilities within 30 days.

10. Endpoint and Device Security (Protect)

- All College-owned laptops, desktops, and servers must run current, centrally managed endpoint detection and response (EDR) or antivirus software, with alerts routed to the IT Administrator.
- Full-disk encryption is required on all laptops and any device that may store Restricted or Confidential data.
- Operating system and application security patches must be applied within 30 days of vendor release for standard patches and within 72 hours for patches addressing actively exploited or critical vulnerabilities.
- Lost or stolen devices must be reported to IT immediately so that remote lock/wipe can be initiated where MDM is deployed.
- End-of-life systems that can no longer receive security patches must be decommissioned or isolated on a restricted network segment.

11. Third-Party and Vendor Risk Management (Protect / Govern)

The College relies on cloud and managed service providers (e.g., student information systems, financial aid processors, email/collaboration platforms, the Darktrace service itself, and any MSP supporting IT operations) to perform functions the College's small staff cannot perform entirely in-house. Third parties are, however, a significant source of risk and must be managed accordingly.

- Contracts with such vendors must include data protection terms, breach notification timelines, and, where FERPA-covered data is involved, appropriate “College official” and legitimate-educational-interest language.
- The College must maintain and review a current inventory of critical vendors, including the MSP and Darktrace, annually.

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- Vendor access to College systems must follow the same least-privilege and MFA requirements as internal accounts, and must be disabled promptly at contract end.

12. Security Awareness and Training (Protect)

- Automated monitoring technologies, including Darktrace, enhance the College's ability to detect suspicious activity but cannot identify every security event. Workforce Members therefore remain responsible for promptly reporting suspected phishing, account compromise, unauthorized access, lost devices, unusual system behavior, and other suspected cybersecurity incidents.
- All Workforce Members must complete security awareness training upon hire and at least annually thereafter, covering phishing/social engineering, data classification, password/MFA hygiene, and incident reporting.
- Simulated phishing exercises should be conducted at least twice per year; individuals who repeatedly fail simulations receive targeted follow-up training.
- Staff with elevated access (IT Administrators, Financial Aid, Registrar, HR, Business Office) should receive role-based training addressing GLBA and FERPA obligations specific to their function.
- Because the College cannot staff a 24/7 monitoring desk, training must emphasize that every Workforce Member is a sensor: prompt reporting of suspicious activity is treated as a critical control, not merely encouraged behavior.

13. Incident Response and Breach Notification (Respond)

13.1 Reporting

Any Workforce Member who suspects a security incident (e.g., a phishing click, lost device, suspicious Darktrace alert escalation, or unauthorized data access) must report it immediately to IT Services at itservices@uclawsf.edu. There is no penalty for good-faith reporting, including self-reporting.

13.2 Response Process

1. Detect — via Darktrace alert, EDR alert, helpdesk ticket, or Workforce Member report.
2. Triage — the ISL or IT Administrator classifies severity (Low/Medium/High/Critical) within the timeframes in Appendix B.
3. Contain — isolate affected accounts/devices; Darktrace Autonomous Response may auto-contain high-confidence threats; manual containment (disabling accounts, blocking IPs) follows for confirmed incidents.
4. Investigate — determine scope, root cause, and whether Restricted/Confidential data was accessed or exfiltrated, using Darktrace's forensic/investigation views and available logs.
5. Notify — the ISL notifies the Dean/COO for any High or Critical incident within 24 hours; outside counsel and breach-notification obligations under GLBA, FERPA, and applicable state law are evaluated with counsel for any confirmed exposure of Restricted data.
6. Remediate and Recover — per Section 15.
7. Document and Review — a written after-action summary is completed for every High/Critical incident, including lessons learned and control improvements.

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Because the College lacks a dedicated incident response team, this Policy anticipates engaging a pre-identified external incident response/forensics retainer for Critical incidents (e.g., ransomware, confirmed data exfiltration); the ISL should maintain this relationship proactively rather than searching for a provider during an active incident. The contracted vCISO will help to lead this effort

14. Physical and Environmental Security (Protect)

- Server rooms, network closets, and other areas housing critical infrastructure must be locked, with access limited to IT Administrator(s) and logged where feasible.
- Workstations must be locked (screen-lock) when unattended; a maximum idle timeout of 15 minutes is enforced via system policy where possible.
- Printed Restricted or Confidential documents (e.g., financial aid files, clinic client files) must be stored in locked cabinets and disposed of via cross-cut shredding or a certified document destruction vendor.
- Visitors to non-public areas must be escorted or badge-controlled.

15. Business Continuity and Disaster Recovery (Recover) *(Under development)*

- Critical systems (student information system, financial aid systems, email, learning management system) must be backed up on a schedule appropriate to their criticality, with backups encrypted, tested for restorability at least annually, and where feasible stored with immutability/offsite separation to resist ransomware.
- A written Incident Response and Business Continuity runbook must identify critical systems, recovery time objectives (RTOs), recovery point objectives (RPOs), and named backup contacts for the ISL and IT Administrator roles, so a single point of failure in staffing does not stall recovery.
- The runbook must be tested at least annually via a tabletop exercise involving the Dean/COO, ISL, IT Administrator(s), and relevant Data Owners.

16. Policy Compliance, Exceptions, and Enforcement (Govern)

Compliance with this Policy is a condition of continued access to College information systems. Violations may result in disciplinary action up to and including termination of employment, dismissal, or termination of a vendor contract, consistent with applicable College policies, faculty governance procedures, and employment/collective bargaining agreements.

Any exception to this Policy must be requested in writing to the ISL, include a business justification, compensating controls, and an expiration date, and be approved by the Dean/COO for any exception involving Restricted data. Exceptions are logged and reviewed at each Policy review cycle.

17. Policy Review and Maintenance (Govern)

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This Policy is reviewed at least annually by the ISL and approved by the Dean/COO, and is also reviewed following any material change in law (GLBA, FERPA, state breach law), ABA Standards, the College's technology environment, or after any High/Critical security incident. Appendix E maintains the version history.

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Appendix A: Roles and Responsibilities Matrix

Activity	Dean/COO	ISL / vCISO	IT Admin / MSP	Data Owners
Approve Policy & exceptions	Approve	Recommend	Consulted	Consulted
Maintain Darktrace tuning & alert review	Informed	Oversee	Perform	—
Classify data	Informed	Support	—	Perform
Grant/revoke access	—	Oversee	Perform	Approve
Incident triage & response	Informed (High/Critical)	Lead	Perform	Support
Vendor risk review	Informed	Perform	Support	Consulted
Security awareness training	Informed	Own program	Deliver/track	Reinforce
Backup testing & BCDR exercises	Participate	Lead	Perform	Participate

Appendix B: Darktrace / Incident Severity and Response-Time Matrix

Severity	Example	Initial Response Target	Escalation
Critical	Confirmed ransomware, active data exfiltration, Autonomous Response blocking a core server	Immediate (on-call, 24/7)	ISL + CIO/Dean/COO + external IR retainer
High	High-confidence model breach (e.g., unusual data transfer, credential compromise indicators)	Within 4 business hours	IT Admin → ISL/CIO
Medium	Anomalous but plausible activity (new device, unusual login location)	Within 1 business day	IT Admin
Low	Minor deviations from baseline, informational alerts	Reviewed in weekly Darktrace queue review	IT Admin

Appendix C: Data Classification Quick Reference

See Section 6 for full detail. Quick rule of thumb: if data includes SSNs, financial account numbers, health information, or attorney-client clinic material, treat it as Restricted. If unsure, ask the relevant Data Owner or the ISL before sharing. When classification is uncertain, treat the information according to the higher applicable classification until the Data Owner or ISL determines otherwise.

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Appendix D: Incident Response Contact List (Template)

Role	Name	Phone	Email
Dean / CIO	[Name]	[Phone]	[Email]
Information Security Lead / vCISO	[Name]	[Phone]	[Email]
IT Administrator / MSP	[Name]	[Phone]	[Email]
Darktrace Support	Darktrace Customer Support	[Per contract]	[Per contract]
External Incident Response / Forensics Retainer	[Firm Name]	[Phone]	[Email]
Outside Counsel (Breach Notification)	[Firm Name]	[Phone]	[Email]
Cyber Insurance Carrier	[Carrier Name]	[Claims Line]	[Email]

Appendix E: Version History and Glossary

Version	Date	Author	Summary of Changes
1.0	[Date]	[ISL / vCISO Name]	Initial adoption — first formal Information Security Policy

Glossary

- BCDR — Business Continuity and Disaster Recovery
- EDR — Endpoint Detection and Response
- FERPA — Family Educational Rights and Privacy Act
- GLBA — Gramm-Leach-Bliley Act
- ISL — Information Security Lead
- MDM — Mobile Device Management
- MFA — Multi-Factor Authentication
- MSP — Managed Service Provider
- NIST CSF 2.0 — National Institute of Standards and Technology Cybersecurity Framework, version 2.0
- vCISO — Virtual/Fractional Chief Information Security Officer

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ACCEPTABLE USE OF INFORMATION RESOURCES POLICY

UC Law San Francisco
Effective Date: [Complete upon approval] · Version 1.0 · Classification: Internal
Policy Owner: vCISO
Approved By: [Dean/authorized approver — complete upon approval]

1. Purpose

This Policy defines acceptable and prohibited use of UC Law San Francisco (the “College”) information resources. It supports the College Information Security Policy, protects College information and services, and sets clear expectations for people using College systems.

2. Scope

This Policy applies to faculty, administrators, staff, student workers, contractors, vendors, volunteers, and other authorized users (“Workforce Members”). It covers College-owned or managed systems and devices, accounts, networks, applications, data, cloud services, and communications, as well as personally owned devices when they access College resources or data.

3. Policy

College information resources are provided primarily for authorized College business, teaching, learning, research, and administration. Limited incidental personal use is permitted when it does not interfere with College duties or operations, create material cost or security risk, violate law or College policy, or involve inappropriate content or conduct. The College may restrict or discontinue personal use when necessary to protect operations or comply with law.

Users must access only the systems and information they are authorized to use and must handle information according to its classification and the Information Security Policy.

4. User Responsibilities

All users must:

- Protect accounts, authentication factors, devices, and College information from loss, disclosure, or unauthorized use.
- Use unique credentials, required MFA, and approved authentication methods. Never share passwords, MFA codes, tokens, or recovery codes.
- Lock devices when unattended, keep software and security controls enabled, and report lost or stolen devices promptly.
- Use approved College services to store, process, or transmit College information. Verify recipients and permissions before sharing files or sending messages.
- Keep Restricted and Confidential information out of personal email, personal cloud storage, public websites, and unapproved applications.
- Report suspected phishing, account compromise, accidental disclosure, lost devices, malware, or other security events immediately through the College incident reporting channel: itservices@uclawsf.edu or the College service desk.
- Complete required security and privacy training and acknowledge this Policy when requested.

5. Prohibited Use

Users must not:

- Access, use, alter, disclose, or delete College systems or data without authorization or for a purpose outside their approved duties.
- Attempt to bypass access controls, MFA, network protections, monitoring, or other security safeguards; probe or scan systems without written authorization.
- Share accounts or credentials, impersonate another person, or approve an authentication request they did not initiate.
- Install or run unapproved software, browser extensions, scripts, or services on College systems, or connect unauthorized routers, wireless access points, or other network equipment.
- Disable, remove, or interfere with security tools, including endpoint protection, device management, network sensors, logging, or approved monitoring.
- Store or transmit College data using unapproved personal devices or services, or copy Restricted or Confidential data to removable media without documented approval and appropriate protection.
- Use College resources to create, access, store, or distribute unlawful, harassing, discriminatory, threatening, sexually exploitative, or otherwise prohibited material; infringe intellectual property; or conduct fraud, unauthorized commercial activity, or other unlawful activity.
- Use College resources to disrupt services, introduce malware, perform denial-of-service activity, or conduct security testing without written authorization.

- Misrepresent personal views as official College statements or use College accounts for public statements on the College’s behalf without authorization.

6. Email, Web, and Collaboration

Users must exercise care with unexpected links, attachments, requests for payment, password resets, or sensitive information. Confirm unusual requests through a separate trusted channel. College email and collaboration services must be used in accordance with records-retention, privacy, academic, and other applicable College requirements. Automatic forwarding of College messages to personal accounts is prohibited unless explicitly approved by IT for a documented business need and protected by appropriate controls.

7. Artificial Intelligence and External Services

Users may use only College-approved artificial intelligence tools and external services for College work. Restricted information, credentials, confidential legal or clinic information, and other non-public College data must not be entered into public or unapproved AI services. Users remain responsible for verifying AI-generated output, respecting intellectual property and privacy, and following applicable academic and employment rules. The College may publish additional approved-tool guidance.

8. Personally Owned Devices and Remote Access

Personally owned devices may access College systems only through approved access methods and must meet applicable authentication, device-lock, encryption, and management requirements. The College may require security updates or removal of College data from a device when permitted by law and technically supported. Users must not store Restricted data on a personally owned device unless a College-approved solution specifically permits it.

9. Monitoring and Privacy

To protect its users, information, and operations, the College may monitor, log, inspect, preserve, or disclose use of College resources, subject to applicable law, collective bargaining obligations, and College policy. Monitoring may include email and collaboration metadata or content, network activity, authentication records, endpoint activity, and use of College accounts and devices. Users should have no expectation of privacy in College systems except as provided by applicable law or a specific College policy. Monitoring will be conducted for legitimate College purposes, and access to collected information will be limited to authorized personnel and service providers with a business need.

10. Exceptions

Exceptions must be submitted in writing to the ISL, include a business justification, scope, duration, and proposed compensating controls, and be approved by the appropriate College authority under the Information Security Policy. Exceptions involving Restricted information require Dean/COO approval. Approved exceptions must be recorded and reviewed before expiration.

11. Enforcement

Violations may result in suspension or removal of access and disciplinary action up to and including termination, dismissal, or contract termination, consistent with applicable law, College policies, faculty governance procedures, and employment or collective bargaining agreements. Suspected criminal conduct may be referred to law enforcement or other appropriate authorities.

12. Roles and Review

The CIO, along with the General Counsel, maintains this Policy. IT administrators implement technical controls and support awareness and enforcement. Supervisors and Data Owners reinforce appropriate use within their areas. All users are responsible for complying with this Policy.

This Policy must be reviewed at least annually and after material changes to College systems, applicable requirements, or a significant security incident. The Information Security Policy governs where the two policies conflict, unless an approved policy states otherwise.

Appendix A: User Acknowledgment

I acknowledge that I have received and reviewed the Acceptable Use of Information Resources Policy. I understand that access to College resources is conditioned on compliance with this Policy and that the College may monitor use as described above and permitted by law.

Name: _____ Role/Department: _____

Signature: _____ Date: _____

BUSINESS CONTINUITY AND DISASTER RECOVERY (BC/DR) POLICY

UC Law San Francisco
Effective Date: [Complete upon approval] · Version 1.0 · Classification: Internal
Policy Owner: vCISO
Approved By: [Dean/authorized approver — complete upon approval]

1. Purpose

This Policy establishes requirements for maintaining essential College operations during disruption and restoring information systems, data, and technology services after a disruptive event. It complements the Information Security Policy and Incident Response procedures. It is designed for a lean operating environment that relies on College leaders, IT administrators, service providers, and documented alternates.

2. Scope

This Policy applies to College departments, Workforce Members, systems, facilities, data, and third-party services that support essential operations. It addresses disruptions caused by cyber incidents, technology failures, utility or facility outages, natural hazards, loss of key personnel, and vendor or communications failures.

3. Definitions

- **Business continuity (BC):** Maintaining or restoring essential business, academic, student, and administrative functions during a disruption.
- **Disaster recovery (DR):** Restoring technology services, systems, and data after a disruption.
- **Business impact analysis (BIA):** A documented assessment of essential functions, dependencies, impacts of disruption, and recovery priorities.
- **Recovery Time Objective (RTO):** The target time to restore a function or service after disruption.
- **Recovery Point Objective (RPO):** The maximum targeted amount of data loss measured in time.

4. Governance and Responsibilities

- **Chancellor & Dean/COO or designee:** Sponsors continuity planning; authorizes activation of the College-wide response when material operations are disrupted; approves significant recovery priorities and resource decisions.
- **ISL/vCISO:** Coordinates the BC/DR program, BIA, plan maintenance, exercises, and after-action improvements; advises leadership on cyber risk and recovery readiness.
- **IT Administrator(s)/MSP:** Maintain technical recovery procedures and inventories; coordinate service-provider recovery; protect and test backups; preserve logs and evidence when recovery follows a security incident.
- **Department heads and Data Owners:** Identify essential functions, minimum staffing, manual workarounds, records, dependencies, and recovery priorities; validate the BIA and participate in exercises.
- **All Workforce Members:** Follow continuity instructions, protect records and equipment, and report disruptions through established channels.
- **Named alternates:** Each critical role must have a documented alternate and current contact method. A single unavailable person must not prevent plan activation or recovery decisions.

5. Business Impact Analysis and Recovery Priorities

The ISL shall coordinate a BIA at least annually and after material changes to business functions, technology, vendors, or facilities. The BIA must identify:

1. Essential academic, student, financial, legal/clinic, HR, and administrative functions.

2. The systems, data, facilities, people, vendors, identity services, network connectivity, and communications on which each function depends.
3. The impact of disruption over time, including legal, financial, student, safety, operational, and reputational consequences.
4. Minimum service levels and acceptable manual workarounds during an outage.
5. A proposed recovery priority, RTO, and RPO for each critical function and supporting technology service.
6. Data owners, system owners, primary and alternate contacts, vendor escalation details, and recovery prerequisites.

Business owners propose recovery targets based on operational impact. IT and service providers assess whether the targets are technically and contractually achievable and identify gaps and costs. The Dean/COO or designee approves final priorities and material residual risk. Until targets are approved, the BIA must mark them **TBD**, document the interim priority, and identify a responsible owner and completion date. Targets must not be represented as guaranteed service levels unless supported by a vendor commitment or tested capability.

At minimum, the BIA must consider student information and registration, financial aid and payment functions, email and identity services, learning management and instructional platforms, network connectivity, payroll, and critical records. Actual priority and targets are determined through the BIA, not assumed by this list.

6. Continuity and Recovery Plans

The College shall maintain a written, controlled BC/DR plan and system-specific recovery instructions that include:

- Activation criteria, decision authority, command and coordination roles, alternates, and escalation paths.
- Internal and external contact lists, including service providers, insurers, legal counsel, emergency services where applicable, and communications leads.
- Approved communications channels and backup methods if email, identity, or network services are unavailable.
- Essential functions, approved manual workarounds, minimum staffing, alternate work arrangements, and steps for protecting physical records and equipment.
- System and vendor dependencies, recovery order, access prerequisites, clean recovery environment requirements, and validation steps.
- Procedures for restoring systems and data from backups, confirming integrity, reconnecting services safely, and obtaining business-owner acceptance.
- A process to coordinate with the Incident Response Plan when the disruption involves suspected compromise, ransomware, data loss, or unauthorized access.
- Criteria for declaring recovery complete, returning to normal operations, communicating service restoration, and capturing lessons learned.

The detailed contact roster and technical recovery procedures should be maintained separately from the public-facing policy, access-controlled, and available offline or through a secure alternate method.

7. Backup and Data Protection Requirements

IT and system owners shall maintain backup arrangements for critical College data and services that are proportionate to approved RTOs/RPOs and vendor capabilities. Backup planning must:

- Identify what is backed up, the responsible party, frequency, retention, storage location, and restoration method.
- Encrypt backups containing Restricted or Confidential information in transit and at rest, with access limited to authorized personnel and protected by MFA where supported.
- Maintain offsite, logically isolated, or immutable copies for critical data where technically and contractually feasible, to reduce ransomware and destructive-administration risk.

- Protect backup administration credentials and prevent routine production accounts from deleting or altering all recovery copies.
- Address SaaS shared-responsibility limits, including what the provider backs up, retention windows, export options, and customer recovery responsibilities.
- Document and approve backup gaps, compensating measures, and remediation owners.

Backups are not considered a complete recovery capability until restoration is tested. IT shall conduct and document restore tests at least annually for critical systems and after material changes to backup or recovery architecture. Test scope must demonstrate data integrity and access by an authorized business owner, not merely successful job completion.

8. Plan Activation and Recovery

Any member of the UC Law SF community may report a disruption. The ISL, IT Administrator, or designated leader shall promptly assess the impact and escalate material disruptions to the Dean/COO or designee. The authorized College leader may activate the BC/DR plan when one or more essential functions or critical services cannot operate normally, or when coordinated recovery is required.

When a cybersecurity incident may be involved, incident responders must preserve relevant evidence and coordinate containment before restoring affected systems. Systems must not be reconnected to production solely to meet a recovery target if they have not been assessed as safe. The incident lead and IT recovery lead shall coordinate the sequence and document risk-based decisions.

During activation, the incident/continuity lead shall maintain a decision and action log, track service status and dependencies, assign action owners, and provide situation updates at a cadence appropriate to the event. The College should use an approved alternate communications channel when primary systems are unavailable.

9. Third-Party and Cloud Services

Contracts and service records for critical vendors should identify service availability and recovery commitments, backup responsibilities, incident notification paths, data export or return capabilities, and escalation contacts. The College shall maintain a current inventory of critical third parties and review recovery dependencies at least annually. Validate vendor recovery claims against contractual terms, assurance reports, and test evidence, as appropriate.

10. Exercises, Testing, and Improvement

The ISL shall coordinate at least one BC/DR exercise each year. The exercise must include leadership, IT, and relevant business owners and test a realistic scenario, such as a ransomware event, loss of a critical SaaS service, or facility/network outage. Exercise types may include tabletop discussions, communications tests, backup restoration, or technical recovery tests. The annual program must include a documented test of backup restoration for critical systems.

Each exercise or recovery event must document objectives, participants, scenario, results, gaps, corrective actions, owners, and due dates. The ISL tracks corrective actions to closure or formally documents accepted risk. Plans must be updated after exercises, material system or vendor changes, and actual disruptions.

11. Training, Availability, and Maintenance

People assigned recovery responsibilities must know their roles and alternates. The plan, critical contact information, and recovery instructions must be accessible during an outage affecting College identity, email, or file-sharing services. The ISL shall review the plan and contact roster at least annually, confirm vendor contacts, and distribute approved changes to relevant personnel.

12. Exceptions and Compliance

Exceptions must be documented with a business justification, risk assessment, compensating controls, owner, and expiration date. The Dean/COO or authorized designee approves material exceptions. Failure to follow this Policy may result in corrective action consistent with College policy and applicable agreements.

13. Review and Approval

This Policy shall be reviewed at least annually by the ISL and approved by the Chancellor and Dean or authorized designee. It shall also be reviewed after a material disruption, significant test finding, major change to critical systems or vendors, or material change to applicable requirements.

Appendix A: Business Impact Analysis Worksheet

Function/service	
Business owner	
Dependencies (systems, people, vendors, facilities)	
Impact if unavailable	
Minimum service/workaround	
Priority	
Proposed RTO	
Proposed RPO	
IT/vendor feasibility	
Approved RTO/RPO	
Alternate contact	

Repeat this worksheet for each essential function or service.

Appendix B: Exercise and Recovery Test Record

Field	Record
Date and exercise type	
Scenario and objectives	
Participants	
Systems/functions tested	
Results and elapsed recovery time	
Data integrity/business validation	
Gaps and corrective actions	
Owner and due date for each action	
After-action review date	

Appendix C: Version History

Version	Date	Author	Summary
1.0	[Upon approval]	vCISO	Initial policy

REPORT ITEM

1. REPORT BY: Deputy CFO and Controller Sandra Plenski

2. SUBJECT: Listing of Checks and Electronic Transfers over $100,000

3. REPORT:

Listed below are checks & electronic transfers issued by the College for the period of 8/1/2026-10/31/2026:

Date	Check No.	Vendor Name	Amount	Description
8/7/2026	E0086707	Consolidated Engineering Labor	$ 132,184.13	100 McAllister Engineering - June 2026
8/7/2026	E0086731	Urban Alchemy	$ 298,709.83	Security (Exterior) - June 2026
8/14/2026	E0086738	Plant Construction Company LP	$ 6,860,172.26	100 McAllister Construction - May 2026
8/14/2026	E0087194	Perkins & Will, Inc.	$ 219,900.00	100 McAllister Architecture - May 2026
8/19/2026	0284876	PG&E Company	$ 163,606.30	Electric and Gas - July 2026
8/21/2026	E0087329	Perkins & Will, Inc.	$ 309,207.25	100 McAllister Architecture - April 2026
8/28/2026	ACH2532	Regents of the University of Calif.	$ 8,731,278.92	Payroll - June & July 2026
8/28/2026	E0087554	George S. Hall, Inc.	$ 210,558.06	Engineering - August 2026
8/28/2026	E0087569	Township Building Services, Inc.	$ 167,377.31	Custodial - August 2026
9/4/2026	E0087793	Restoration Management Company	$ 113,506.86	Flood Damage Mitigation
9/16/2026	0285024	PG&E Company	$ 174,632.18	Electric and Gas - August 2026
9/18/2026	E0087971	Baker Tilly Advisory Group	$ 105,000.00	FY2026 Financial Statement & Audit
9/18/2026	E0087978	Consolidated Engineering Labor	$ 111,487.59	100 McAllister Engineering - May & July 2026
9/18/2026	E0087990	Plant Construction Company LP	$ 7,557,908.42	100 McAllister Construction - June 2026
9/25/2026	E0088049	AllCampus PB LLC	$ 200,000.00	Student Enrollment Digital Marketing
9/25/2026	E0088064	George S. Hall, Inc.	$ 210,558.06	Engineering - September 2026
9/25/2026	E0088077	Township Building Services, Inc.	$ 167,377.31	Custodial - September 2026
10/2/2026	E0088127	Regents of the University of Calif.	$ 108,374.98	UC Path Debt Service
10/2/2026	E0088130	Urban Alchemy	$ 255,562.00	Security (Exterior) - July 2026
10/9/2026	E0088180	The Regents of the University	$ 1,080,343.94	Fall 2026 Premium Sweep
10/9/2026	E0088187	Urban Alchemy	$ 276,116.00	Security (Exterior) - August 2026
10/16/2026	ACH2540	Regents of the University of Calif.	$ 4,540,221.91	Payroll - August 2026
10/16/2026	E0088249	Plant Construction Company LP	$ 6,894,990.75	100 McAllister Construction - July 2026
10/21/2026	0285177	PG&E Company	$ 204,549.74	Electric & Gas - September 2026
10/23/2026	E0088299	Carbon Health Technologies, Inc.	$ 379,383.96	Enterprise Subscription - August 2026 - January 2027
10/23/2026	E0088302	ePlus Technology, Inc.	$ 356,761.63	Darktrace AI Security Platform
10/23/2026	E0088303	Gibson, Dunn & Crutcher LLP	$ 117,428.67	Legal Services - August 2026
10/23/2026	E0088309	Perkins & Will, Inc.	$ 310,949.38	100 McAllister Architecture - June & July 2026
10/30/2026	E0088362	George S. Hall, Inc.	$ 210,558.06	Engineering - October 2026
10/30/2026	E0088379	Township Building Services, Inc.	$ 167,377.31	Custodial - October 2026